

Effective Field Theory of Multi-Field Inflation a la Weinberg

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JCAP, arXiv:1203.2266

map:

- keywords: Effective Field Theory, Inflation

single field	C. Cheung et al. JHEP, arXiv:0709.0293 The EFT of Inflation	S. Weinberg PRD, arXiv:0804.4291 EFT for Inflation
multi-field	L. Senatore and M. Zaldarriaga JHEP, arXiv:1009.2093 The EFT of Multifield Inflation	JCAP, arXiv:1203.2266 EFT of Multi-Field Inflation a la Weinberg

Effective Field Theory

- an effective theory:
 - is true for a certain domain of energy.
- two cases:
 - as a part of a true theory for whole energy scales
 - using EFT to simplify calculations!
 - in lack of a complete theory for the energy scales of interests
 - using EFT since there is no other choice!
 - constructing EFT, by considering e.g. symmetry properties of the model.

EFT for Multi-Field Inflation

- the most general form of Lagrangian up to the 4th order derivatives:

after simplifications:

$$\begin{aligned}
 & \left\{ b_1^{IJ}(\vec{\varphi}) \square \varphi_I \square \varphi_J + b_2^{IJK}(\vec{\varphi}) \nabla_\mu \varphi_I \nabla^\mu \varphi_J \square \varphi_K + b_3^{IJKL}(\vec{\varphi}) \nabla_\mu \varphi_I \nabla^\mu \varphi_J \nabla_\nu \varphi_K \nabla^\nu \varphi_L + b_4^{IJ}(\vec{\varphi}) \nabla_\mu \varphi_I \nabla^\mu \varphi_J \right. \\
 & + b_5(\vec{\varphi}) + b_6^{IJ}(\vec{\varphi}) (\nabla^\mu \varphi_I) (\square \nabla_\mu \varphi_J) + b_7^I(\vec{\varphi}) (\nabla_\mu \nabla_\nu \varphi_I)^2 + b_8^{IJK}(\vec{\varphi}) (\nabla_\mu \varphi_I) (\nabla_\nu \varphi_J) (\nabla^\mu \nabla^\nu \varphi_K) + b_9^I(\vec{\varphi}) \nabla^\mu \square \nabla_\mu \varphi_I \\
 & + b_{10}^I(\vec{\varphi}) \square^2 \varphi_I + b_{11}^I(\vec{\varphi}) (\nabla^\mu) \nabla_\mu \square \varphi_I + c_1^{IJ}(\vec{\varphi}) R \nabla_\mu \varphi_I \nabla^\mu \varphi_J + c_2^{IJ}(\vec{\varphi}) R^{\mu\nu} \nabla_\mu \varphi_I \nabla_\nu \varphi_J + c_3^I(\vec{\varphi}) R \square \varphi_I \\
 & \left. + c_4^I(\vec{\varphi}) (\nabla^\mu R) (\nabla_\mu \varphi_I) + c_5(\vec{\varphi}) \square R + c_6^I(\vec{\varphi}) R_{\mu\nu} \nabla^\mu \nabla^\nu \varphi_I + a_1(\vec{\varphi}) R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + a_2(\vec{\varphi}) R_{\mu\nu} R^{\mu\nu} + a_3(\vec{\varphi}) R^2 + a_4(\vec{\varphi}) R \right\} \quad (A1)
 \end{aligned}$$

before simplifications!

perturbations:

- perturbations in single field model (Weinberg's paper)

$$\begin{aligned}\mathcal{L} &= \sqrt{g} \left[-\frac{M^2}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - M_P^2 U(\varphi) + f(\varphi) \left(g^{\mu\nu} \varphi_{,\mu} \varphi_{,\nu} \right)^2 \right] \\ &= \bar{\mathcal{L}} - \frac{1}{2} a^3 \left(M^2 + 4f(\bar{\varphi}) \dot{\bar{\varphi}}^2 \right) \times \left(-\dot{\delta\varphi}^2 + a^{-2} (\vec{\nabla} \delta\varphi)^2 \right) \\ &\quad + 4a^3 f(\bar{\varphi}) \dot{\bar{\varphi}}^2 \left(\dot{\delta\varphi}^2 + \dot{\delta\varphi}^3 / \dot{\bar{\varphi}} - a^{-2} \dot{\delta\varphi} (\vec{\nabla} \delta\varphi)^2 / \dot{\bar{\varphi}} + \frac{1}{4} \dot{\delta\varphi}^4 / \dot{\bar{\varphi}}^2 - \frac{1}{2} a^{-2} \dot{\delta\varphi}^2 (\vec{\nabla} \delta\varphi)^2 / \dot{\bar{\varphi}}^2 + \frac{1}{4} a^{-4} (\vec{\nabla} \delta\varphi)^4 / \dot{\bar{\varphi}}^2 \right)\end{aligned}$$

-- it is up to 4th order of perturbations automatically.

-- speed of sound $\neq 1$

-- large non-Gaussianity?

-- speed of sound is constrained by validity of EFT!

perturbations:

□ multi-field case:

$$a^{-3}\Delta\mathcal{L}^{(2)} = b_3^{IJKL}(\bar{\varphi}) \left\{ \dot{\bar{\varphi}}_I \dot{\bar{\varphi}}_J \dot{\delta\varphi}_K \dot{\delta\varphi}_L + \dot{\bar{\varphi}}_I \dot{\bar{\varphi}}_K \dot{\delta\varphi}_J \dot{\delta\varphi}_L + \dot{\bar{\varphi}}_I \dot{\bar{\varphi}}_L \dot{\delta\varphi}_K \dot{\delta\varphi}_J + \dot{\bar{\varphi}}_K \dot{\bar{\varphi}}_J \dot{\delta\varphi}_I \dot{\delta\varphi}_L + \dot{\bar{\varphi}}_L \dot{\bar{\varphi}}_J \dot{\delta\varphi}_I \dot{\delta\varphi}_L + \dot{\bar{\varphi}}_K \dot{\bar{\varphi}}_L \dot{\delta\varphi}_I \dot{\delta\varphi}_J \right. \\ \left. - a^{-2} \dot{\bar{\varphi}}_I \dot{\bar{\varphi}}_J \partial_i \delta\varphi_K \partial^i \delta\varphi_L - a^{-2} \dot{\bar{\varphi}}_K \dot{\bar{\varphi}}_L \partial_i \delta\varphi_I \partial^i \delta\varphi_J \right\} - \frac{M^2}{2} \delta^{IJ} \left[- \dot{\delta\varphi}_I \dot{\delta\varphi}_J + a^{-2} \partial_i \delta\varphi_I \partial^i \delta\varphi_J \right],$$

$$a^{-3}\Delta\mathcal{L}^{(3)} = b_3^{IJKL}(\bar{\varphi}) \left\{ \dot{\bar{\varphi}}_I \dot{\delta\varphi}_J \dot{\delta\varphi}_K \dot{\delta\varphi}_L + \dot{\bar{\varphi}}_J \dot{\delta\varphi}_I \dot{\delta\varphi}_K \dot{\delta\varphi}_L + \dot{\bar{\varphi}}_K \dot{\delta\varphi}_L \dot{\delta\varphi}_I \dot{\delta\varphi}_J + \dot{\bar{\varphi}}_L \dot{\delta\varphi}_K \dot{\delta\varphi}_I \dot{\delta\varphi}_J \right. \\ \left. - a^{-2} \left(\dot{\bar{\varphi}}_I \dot{\delta\varphi}_J \partial_i \delta\varphi_K \partial^i \delta\varphi_L + \dot{\bar{\varphi}}_J \dot{\delta\varphi}_I \partial_i \delta\varphi_K \partial^i \delta\varphi_L + \dot{\bar{\varphi}}_K \dot{\delta\varphi}_L \partial_i \delta\varphi_I \partial^i \delta\varphi_J + \dot{\bar{\varphi}}_L \dot{\delta\varphi}_K \partial_i \delta\varphi_I \partial^i \delta\varphi_J \right) \right\},$$

$$a^{-3}\Delta\mathcal{L}^{(4)} = b_3^{IJKL}(\bar{\varphi}) \left\{ \dot{\delta\varphi}_I \dot{\delta\varphi}_J \dot{\delta\varphi}_K \dot{\delta\varphi}_L - a^{-2} \left(\dot{\delta\varphi}_I \dot{\delta\varphi}_J \partial_i \delta\varphi_K \partial^i \delta\varphi_L + \dot{\delta\varphi}_K \dot{\delta\varphi}_L \partial_i \delta\varphi_I \partial^i \delta\varphi_J \right) \right. \\ \left. + a^{-4} \partial_i \delta\varphi_I \partial^i \delta\varphi_J \partial_j \delta\varphi_K \partial^j \delta\varphi_L \right\}.$$

perturbations!

$$\begin{aligned}
\mathcal{L} = -a^3 \Big\{ & -\frac{M_1^2}{2} \partial_\mu \varphi \partial^\mu \varphi - \frac{M_2^2}{2} \partial_\mu \chi \partial^\mu \chi - M_P^2 U(\varphi, \chi) + g_1(\varphi, \chi) (\partial_\mu \varphi \partial^\mu \varphi)^2 + g_2(\varphi, \chi) (\partial_\mu \chi \partial^\mu \chi)^2 \\
& + g_3(\varphi, \chi) (\partial_\mu \varphi \partial^\mu \varphi) (\partial_\nu \varphi \partial^\nu \varphi) + g_4(\varphi, \chi) (\partial_\mu \chi \partial^\mu \chi) (\partial_\nu \chi \partial^\nu \chi) \\
& + g_5(\varphi, \chi) (\partial_\mu \varphi \partial^\mu \varphi) (\partial_\nu \chi \partial^\nu \chi) + g_6(\varphi, \chi) (\partial_\mu \varphi \partial^\mu \chi) (\partial_\nu \varphi \partial^\nu \chi) \Big\}
\end{aligned}$$

perturbations:

□ two-field case:

$$\begin{aligned} a^{-3} \Delta \mathcal{L}^{(2)} = & \dot{\delta\varphi}^2 \left[\frac{M_1^2}{2} + 6g_1 \dot{\varphi}^2 + 3g_3 \dot{\varphi} \dot{\chi} + (g_5 + g_6) \dot{\chi}^2 \right] + \dot{\delta\chi}^2 \left[\frac{M_2^2}{2} + 6g_2 \dot{\chi}^2 + 3g_4 \dot{\varphi} \dot{\chi} + (g_5 + g_6) \dot{\varphi}^2 \right] \\ & + \dot{\delta\varphi} \dot{\delta\chi} [3g_3 \dot{\varphi}^2 + 3g_4 \dot{\chi}^2 + 4(g_5 + g_6) \dot{\varphi} \dot{\chi}] \\ & - a^{-2} \left(\partial_i \delta\varphi \partial^i \delta\varphi \left[\frac{M_1}{2} + 2g_1 \dot{\varphi}^2 + g_3 \dot{\varphi} \dot{\chi} + g_5 \dot{\chi}^2 \right] + \partial_i \delta\chi \partial^i \delta\chi \left[\frac{M_2}{2} + 2g_2 \dot{\chi}^2 + g_4 \dot{\varphi} \dot{\chi} + g_5 \dot{\varphi}^2 \right] \right. \\ & \left. + \partial_i \delta\varphi \partial^i \delta\chi [g_3 \dot{\varphi}^2 + g_4 \dot{\chi}^2 + 2g_6 \dot{\varphi} \dot{\chi}] \right), \end{aligned}$$

second order perturbations!

.... and cubic and quartic terms!

. . . transition to adiabatic and entropy curvature perturbations!

perturbations: adiabatic & entropy modes

□ adiabatic mode:

entropy mode:

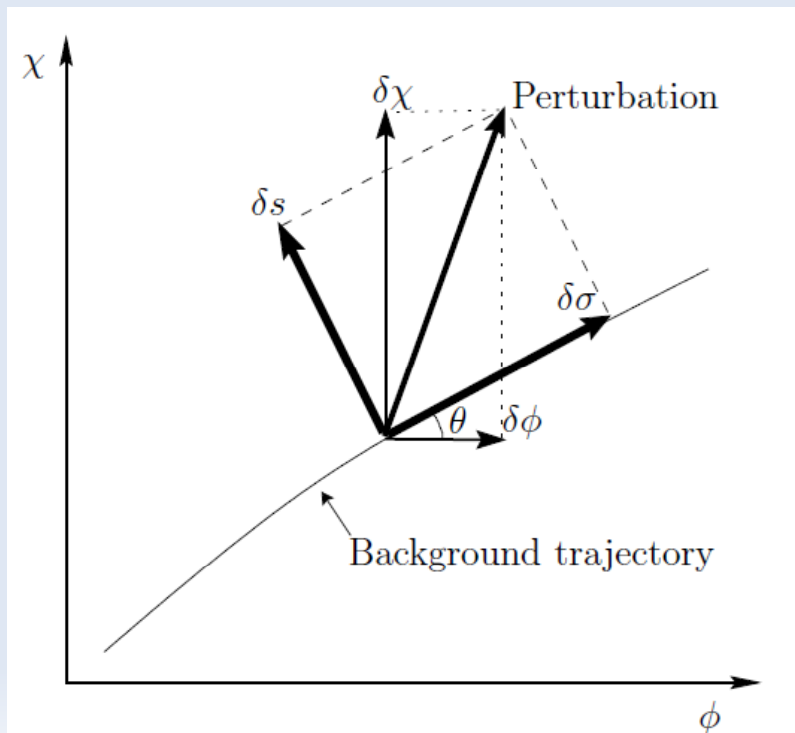
$$\delta\sigma \equiv \vec{T} \cdot \vec{\delta},$$

$$\delta s \equiv \vec{N} \cdot \vec{\delta}$$

$$\vec{\delta} \equiv (\delta\varphi, \delta\chi),$$

$$\vec{T} = (\cos \theta, \sin \theta) \equiv (\dot{\varphi}/\dot{\sigma}, \dot{\chi}/\dot{\sigma}),$$

$$\vec{N} \equiv (\sin \theta, -\cos \theta)$$



Gordon et al. [arXiv:astro-ph/0009131](https://arxiv.org/abs/astro-ph/0009131)

perturbations: adiabatic & entropy modes

$$\begin{aligned}
 & 4\dot{\sigma}(\vec{T} \cdot \dot{\vec{\delta}})^3 \times \left[g_1 \cos^4 \theta + g_2 \sin^4 \theta + g_3 \cos^3 \theta \sin \theta + g_4 \cos \theta \sin^3 \theta + (g_5 + g_6) \cos^2 \theta \sin^2 \theta \right] \\
 & + \dot{\sigma}(\vec{N} \cdot \dot{\vec{\delta}})^3 \times \\
 & \quad \left[-g_4 \cos^4 \theta + g_3 \sin^4 \theta - 2(2g_2 - (g_5 + g_6)) \cos^3 \theta \sin \theta + 2(2g_1 - (g_5 + g_6)) \cos \theta \sin^3 \theta + 3(g_4 - g_3) \cos^2 \theta \sin^2 \theta \right] \\
 & + 3\dot{\sigma}(\vec{T} \cdot \dot{\vec{\delta}})^2 (\vec{N} \cdot \dot{\vec{\delta}}) \times \\
 & \quad \left[-g_3 \cos^4 \theta + g_4 \sin^4 \theta + 2(2g_1 - (g_5 + g_6)) \cos^3 \theta \sin \theta - 2(2g_2 - (g_5 + g_6)) \cos \theta \sin^3 \theta + 3(g_3 - g_4) \cos^2 \theta \sin^2 \theta \right] \\
 & + 2\dot{\sigma}(\vec{T} \cdot \dot{\vec{\delta}}) (\vec{N} \cdot \dot{\vec{\delta}})^2 \times \\
 & \quad \left[(g_5 + g_6) (\cos^4 \theta + \sin^4 \theta) + 3(g_4 - g_3) (\cos^3 \theta \sin \theta - \cos \theta \sin^3 \theta) + (3(g_1 + g_2) - 2(g_5 + g_6)) \cos^2 \theta \sin^2 \theta \right]
 \end{aligned}$$

$$(\dot{\delta}\sigma - \dot{\theta}\delta s)$$

$$(\dot{\delta}s + \dot{\theta}\delta\sigma)$$

note that just these two combinations appear in this formalism!

shape of non-Gaussianity

- due to previous slide: for example:

$$(\vec{T} \cdot \dot{\vec{\delta}})^3 = \delta \dot{\sigma}^3 - 3\dot{\theta} \delta \dot{\sigma}^2 \delta s + 3\dot{\theta}^2 \delta \dot{\sigma} \delta s^2 - \dot{\theta}^3 \delta s^3$$

equilateral NG
in adiabatic mode



local NG
in entropy mode

--- in this formalism

the “Cosine” between different kinds of NG is fixed!

amplitude of NG

$$\frac{\mathcal{L}^{(3)}}{\mathcal{L}^{(2)}} = \frac{\{H^3, H^2\dot{\theta}, H\dot{\theta}^2, \dot{\theta}^3\}}{\{H^2, H\dot{\theta}, \dot{\theta}^2\}} \times \left(\frac{f(g_i)}{M^2} \dot{\sigma}^2 \right) \times \frac{\delta\sigma}{\dot{\sigma}} = \frac{\{H^3, H^2\dot{\theta}, H\dot{\theta}^2, \dot{\theta}^3\}}{H \times \{H^2, H\dot{\theta}, \dot{\theta}^2\}} \times \left(\frac{f(g_i)}{M^2} \dot{\sigma}^2 \right) \times \zeta$$

$$\dot{\theta} \gg H$$

$$f_{NL} \sim \frac{\mathcal{L}^{(3)}}{\mathcal{L}^{(2)}} \zeta^{-1} \sim \frac{\dot{\theta}}{H} \times \left(\frac{f(g_i)}{M^2} \dot{\sigma}^2 \right)$$

-- validity condition of EFT i.e. $\frac{f(g_i)}{M^2} \dot{\sigma}^2 < 1$ constrains the amplitude of NG!

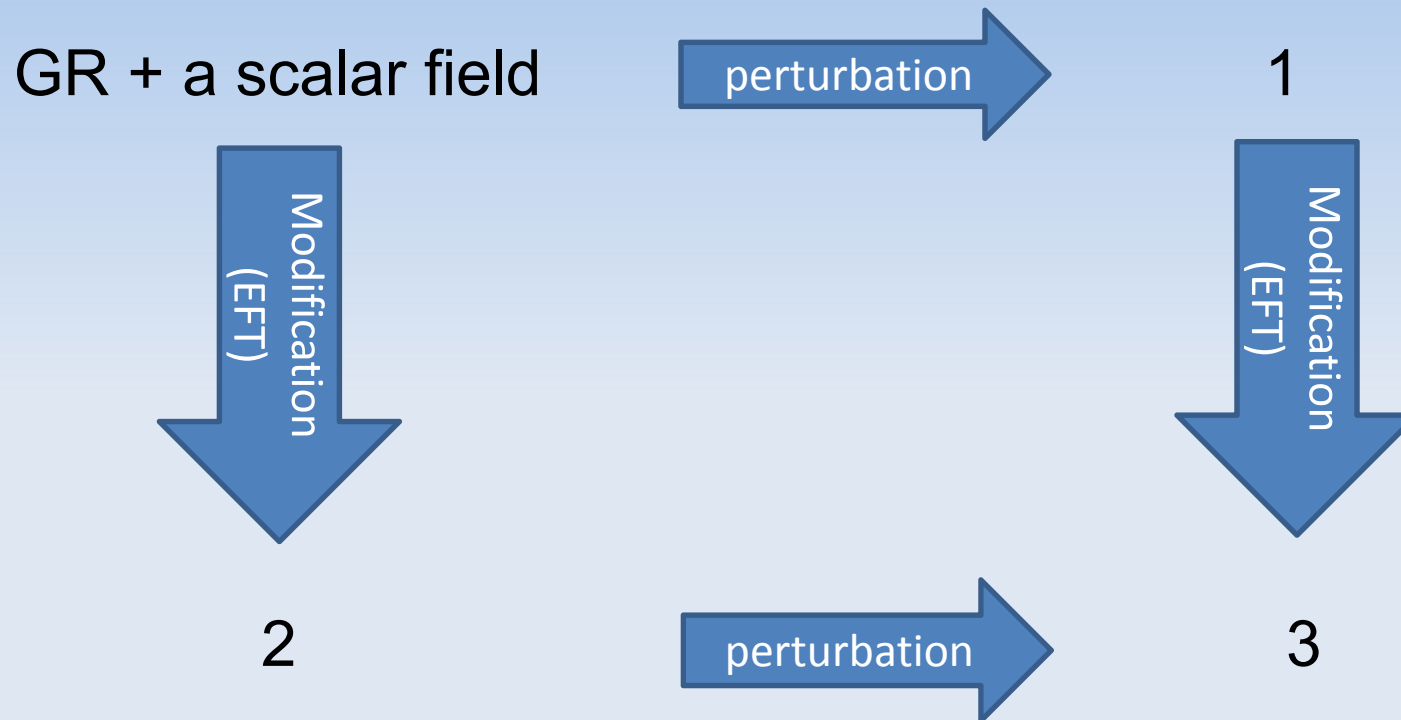
-- except if the curvature of classical (background) path be large!

-- or: if by a mechanism (e.g. Vainshtein) one can modify the validity condition of EFT!

compare with Senatore & Zaldarriaga

- Senatore & Zaldarriaga model is based on Cheung et al.'s work!
 - in Cheung's work, EFT is constructed on perturbations' level!
 - since their model is single field, the perturbation is associated to adiabatic mode!
 - so in Senatore & Z., the entropy modes are added into a base with already known adiabatic mode!
- but
- in our case we started with zeroth order term of perturbations.
 - then defined the perturbations without any distinguishability between adiabatic and entropy modes!

compare with Senatore & Zaldarriaga



Cheung et al. and Senatore & Z.: 0 \longrightarrow 1 \longrightarrow 3

Weinberg and this talk: 0 \longrightarrow 2 \longrightarrow 3

compare with Senatore & Zaldarriaga

- so in Senatore & Zaldarriaga, the shift symmetry results in a Lagrangian similar to

$$\begin{aligned} a^{-3} \Delta \mathcal{L}^{(2)} = & \delta\dot{\varphi}^2 \left[\frac{M_1^2}{2} + 6g_1\dot{\varphi}^2 + 3g_3\dot{\varphi}\dot{\chi} + (g_5 + g_6)\dot{\chi}^2 \right] + \delta\dot{\chi}^2 \left[\frac{M_2^2}{2} + 6g_2\dot{\chi}^2 + 3g_4\dot{\varphi}\dot{\chi} + (g_5 + g_6)\dot{\varphi}^2 \right] \\ & + \delta\dot{\varphi}\delta\dot{\chi} [3g_3\dot{\varphi}^2 + 3g_4\dot{\chi}^2 + 4(g_5 + g_6)\dot{\varphi}\dot{\chi}] \\ & - a^{-2} \left(\partial_i \delta\varphi \partial^i \delta\varphi \left[\frac{M_1}{2} + 2g_1\dot{\varphi}^2 + g_3\dot{\varphi}\dot{\chi} + g_5\dot{\chi}^2 \right] + \partial_i \delta\chi \partial^i \delta\chi \left[\frac{M_2}{2} + 2g_2\dot{\chi}^2 + g_4\dot{\varphi}\dot{\chi} + g_5\dot{\varphi}^2 \right] \right. \\ & \left. + \partial_i \delta\varphi \partial^i \delta\chi [g_3\dot{\varphi}^2 + g_4\dot{\chi}^2 + 2g_6\dot{\varphi}\dot{\chi}] \right), \end{aligned}$$

$$\delta\varphi \rightarrow \delta\varphi + c_1 \text{ and } \delta\chi \rightarrow \delta\chi + c_2$$

i.e. there are just derivatives of adiabatic and entropy perturbations!

but in our model the case is different!

compare with Senatore & Zaldarriaga

shift symmetry:

$$\delta\varphi \rightarrow \delta\varphi + c_1 \text{ and } \delta\chi \rightarrow \delta\chi + c_2$$

due to

$$\delta\sigma \equiv \vec{T} \cdot \vec{\delta}, \quad \delta s \equiv \vec{N} \cdot \vec{\delta}$$

$$\vec{\delta} \equiv (\delta\varphi, \delta\chi), \quad \vec{T} = (\cos\theta, \sin\theta) \equiv (\dot{\varphi}/\dot{\sigma}, \dot{\chi}/\dot{\sigma}), \quad \vec{N} \equiv (\sin\theta, -\cos\theta)$$

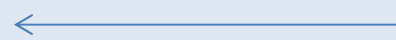
results in

$$\begin{aligned} \delta\sigma &\rightarrow \delta\sigma + (c_1 \cos\theta + c_2 \sin\theta) \\ \delta s &\rightarrow \delta s + (c_1 \sin\theta - c_2 \cos\theta) \end{aligned}$$

which causes a new symmetry for adiabatic and entropy modes:

$$\dot{\delta\sigma} - \dot{\theta}\delta s \rightarrow \dot{\delta\sigma} - \dot{\theta}\delta s$$

$$\dot{\delta s} + \dot{\theta}\delta\sigma \rightarrow \dot{\delta s} + \dot{\theta}\delta\sigma$$



$$\vec{T} \cdot \dot{\vec{\delta}}$$



$$\vec{N} \cdot \dot{\vec{\delta}}$$

conclusions

- this model does not predict a large non-Gaussianity except:
 - for a highly curved classical path in the phase-space!
 - or if a shielding mechanism allows large first correction term in EFT.
- different shapes of non-Gaussianity are correlated!
- in contrast to Senatore & Zaldarriaga, we suggest EFT for multi-filed inflation should be constructed as

$$\Delta\mathcal{L} \propto \sum c_{n_0, n_1, \dots, n_N} \left(\vec{T} \cdot \dot{\vec{\delta}} \right)^{n_0} \left(\vec{N}_1 \cdot \dot{\vec{\delta}} \right)^{n_1} \left(\vec{N}_2 \cdot \dot{\vec{\delta}} \right)^{n_2} \dots \left(\vec{N}_N \cdot \dot{\vec{\delta}} \right)^{n_N}$$

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