

Search for Grana Fermions

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Advanced school on Recent Progress in Condensed Matter Physics

IPM, June 2012

Outline

A bird's eye view on quasiparticles in CMP

Introduction to Majorana fermions (MF)

How to make MF

- Kitaev Toy model for MF

- Topological properties of systems hosting MF

- Topological superconductivity (TS)

- Possible physical realization of TS

How to use them

- Non-Abelian statistics and braiding

- Topological quantum computing

How to detect them

- Transport properties of MF

References

“Paired states of fermions in two dimensions with breaking of parity and time-reversal symmetries and the fractional quantum Hall effect”,

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“Unpaired Majorana fermions in quantum wires”,

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“Topological phases and quantum computation”,

Alexei Kitaev, Chris Laumann, arXiv:0904.2771

“New directions in the pursuit of Majorana fermions in solid state systems”,

Jason Alicea, arXiv:1202.1293

“Introduction to topological superconductivity and Majorana fermions”,

Martin Leijnse, Karsten Flensberg, arXiv:1206.1736

“Search for Majorana fermions in Superconductors”,

Carlo Beenaker, arXiv:1112.1950

“Non-Abelian anyons and topological quantum computation”,

Chetan Nayak et al., Rev. Mod. Phys. (2008)

Theory of Everything (TOE)

$$i\hbar \frac{\partial}{\partial t} |\Psi\rangle = \mathcal{H} |\Psi\rangle$$

$$\mathcal{H} = - \sum_j^{N_e} \frac{\hbar^2}{2m} \nabla_j^2 - \sum_{\alpha}^{N_i} \frac{\hbar^2}{2M_{\alpha}} \nabla_{\alpha}^2$$

$$- \sum_j^{N_e} \sum_{\alpha}^{N_i} \frac{Z_{\alpha} e^2}{|\vec{r}_j - \vec{R}_{\alpha}|} + \sum_{j \ll k}^{N_e} \frac{e^2}{|\vec{r}_j - \vec{r}_k|} + \sum_{\alpha \ll \beta}^{N_j} \frac{Z_{\alpha} Z_{\beta} e^2}{|\vec{R}_{\alpha} - \vec{r}_{\beta}|}$$

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and instead in some sense it is **nothing**.”

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number of particles: N

single particle Hilbert space dimension: k

many particle Hilbert space dimension: k^N

$N=30$

$k=2$ (spin 1/2)

$k^N = 2^{30} \sim 10^9$

**1 GB Memory to
save single state**

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approximate calculations

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experimental input for guidance

- atomic conformations of small molecules,
- simple chemical reaction rates,
- structural phase transitions, ferromagnetism,
- superconducting transition temperatures

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They are not

first principle reductions

and

there are **many failures** for them

microscopic $\Longleftrightarrow$ **macroscopic**

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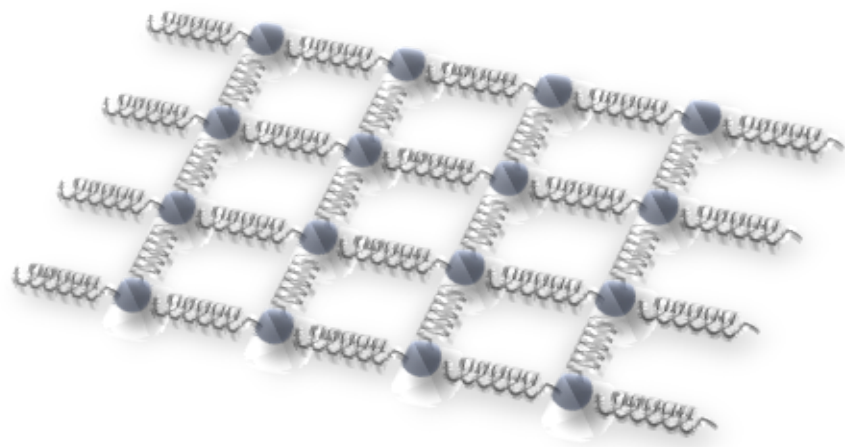
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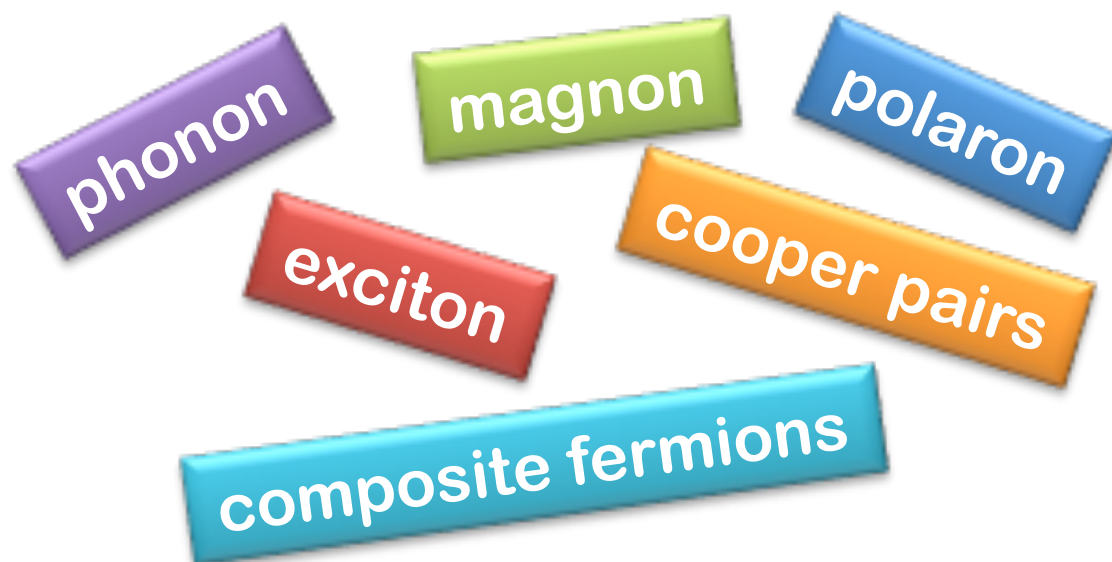
higher organizing principles in nature

The *emergent* physical phenomena regulated by *higher organizing principles* have a property, namely their *insensitivity to microscopics*.



One main purpose of CMP is to study

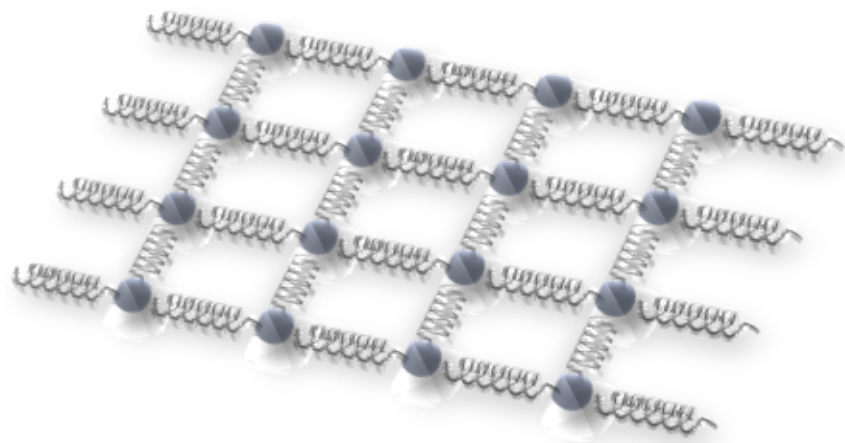
The elementary excitations



Condensed matter physics (a bird's eye view)

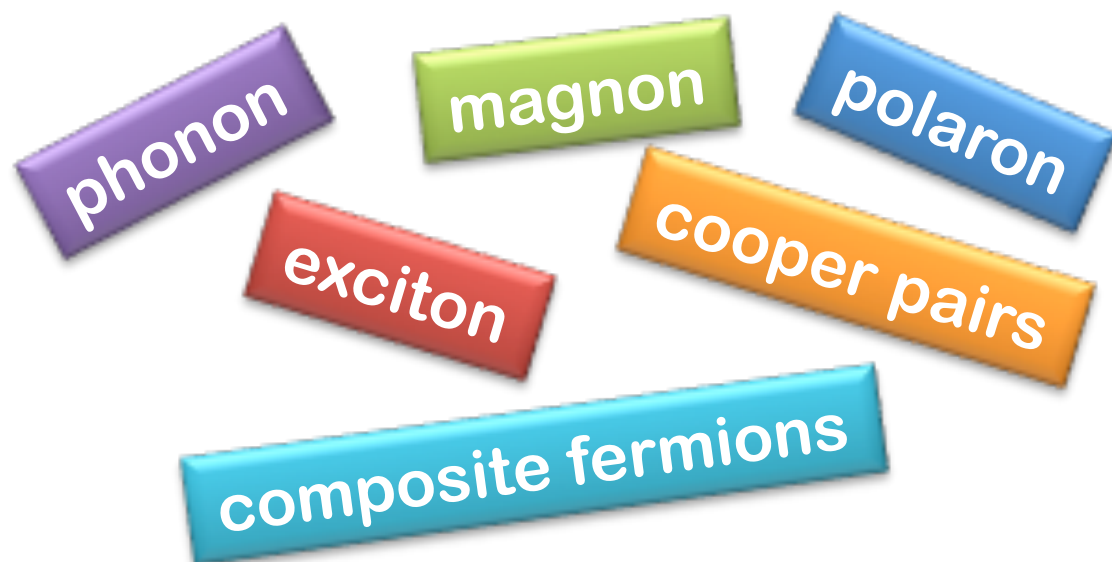
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The elementary excitations



More is Different



Emergence



Reductionism

Interactions
Correlations

We are searching new
Quasiparticles

Introduction to Majorana fermions (HEP vs CMP or LEP!)

A Majorana fermion is its own antiparticle $\gamma^\dagger = \gamma$

- ◇ History: Neutral spin-1/2 particles as real solutions of Dirac eq.
- ◇ Particle physics: **Neutrinos** might be Majorana fermions!
Neutrinoless double beta decay (**Not yet observed**)



Ettore Majorana

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Emergence in condensed matter

- ◇ Prehistory: $d = (\gamma_1 + i\gamma_2)/\sqrt{2}$
- ◇ Isolated Majoranas are believed to exist in:
Fractional quantum Hall state at $\nu = 5/2$
Boundaries of topological superconductors
(vortex cores and edges)



Ettore Majorana

Majorana's as protected qubits

A big challenge for Quantum Computer: **Decoherence**

Possible errors destroying the state of qubit (*spin system*)

Classical error: $\eta_{\text{cl}} \sigma_j^x$

flips the j th qubit

Phase error: $\eta_{\text{ph}} \sigma_j^z$

changes the relative sign

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1D spinless Fermionic lattice: **No classical error (Fermion parity)**

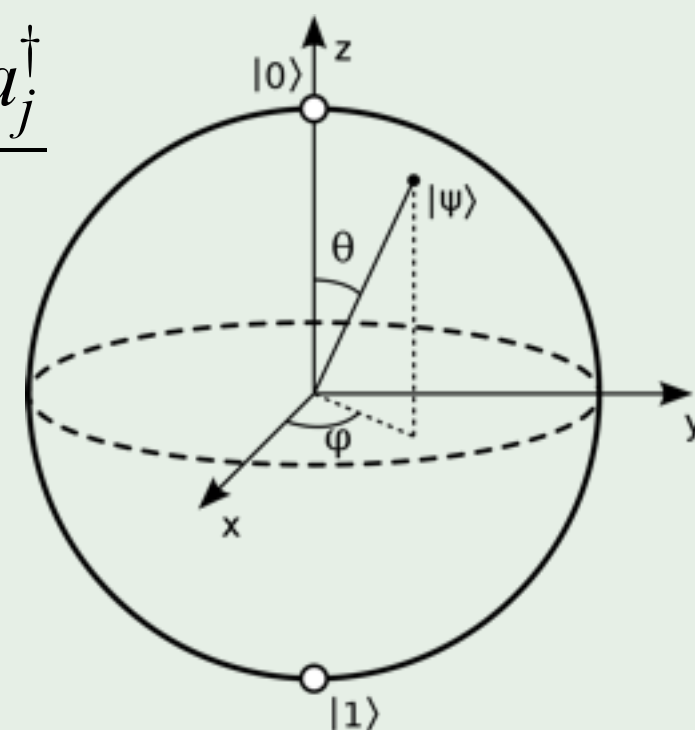
Phase error: $\eta_{ph} a_j^\dagger a_j$

Majorana operators: $c_{2j-1} = a_j + a_j^\dagger$, $c_{2j} = \frac{a_j - a_j^\dagger}{i}$

Phase error: $a_j^\dagger a_j = \frac{1}{2} (1 + i c_{2j-1} c_{2j})$

Isolated Majorana is immune against any error

$a_1 \quad a_2 \quad \dots \quad a_N$
• • • •



Toy model: Kitaev proposal for Majorana fermions

Spinless p-wave superconductor (SPSC)

$$H_1 = \sum_j \left[-w(a_j^\dagger a_{j+1} + a_{j+1}^\dagger a_j) - \mu \left(a_j^\dagger a_j - \frac{1}{2} \right) + \Delta a_j a_{j+1} + \Delta^* a_{j+1}^\dagger a_j^\dagger \right]$$



Alexi Kitaev

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Majorana operators:

$$c_{2j-1} = \exp\left(i\frac{\theta}{2}\right) a_j + \exp\left(-i\frac{\theta}{2}\right) a_j^\dagger \quad c_{2j} = -i \exp\left(i\frac{\theta}{2}\right) a_j + i \exp\left(-i\frac{\theta}{2}\right) a_j^\dagger$$

$$H_1 = \frac{i}{2} \sum_j \left[-\mu c_{2j-1} c_{2j} + (w + |\Delta|) c_{2j} c_{2j+1} + (-w + |\Delta|) c_{2j-1} c_{2j+2} \right]$$



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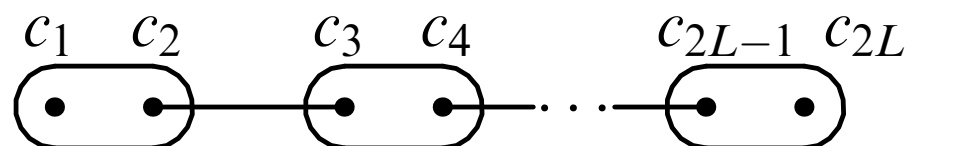
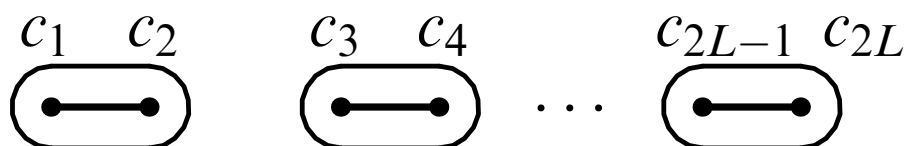
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(a) $|\Delta| = w = 0, \mu < 0$ $H_1 = -\mu \sum_j \left(a_j^\dagger a_j - \frac{1}{2} \right) = \frac{i}{2} (-\mu) \sum_j c_{2j-1} c_{2j}$

(b) $|\Delta| = w > 0, \mu = 0$ $H_1 = iw \sum_j c_{2j} c_{2j+1}$



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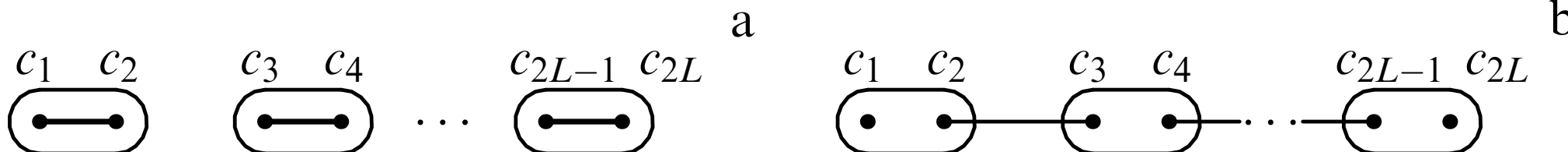
- (a) The Majorana operators **from the same site paired**, to form a ground state with occupation number 0;
- (b) The Majorana operators at the ends **remain unpaired**, leading to a two-fold degenerate ground state,

$$-ic_1 c_{2N} |\psi_0\rangle = |\psi_0\rangle \quad -ic_1 c_{2N} |\psi_1\rangle = -|\psi_1\rangle$$

$$c_1 = d + d^\dagger, \quad c_{2N} = (d - d^\dagger)/i \Rightarrow d^\dagger d |\psi_0\rangle = 0, \quad d^\dagger d |\psi_1\rangle = |\psi_1\rangle$$

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Jordan-Wigner transformation

$$a_j = \left(\prod_{k=1}^{j-1} \sigma_k^z \right) \sigma_j^+ \quad a_j^\dagger = \left(\prod_{k=1}^{j-1} \sigma_k^z \right) \sigma_j^-$$

$$\sigma_j^z = (-1)^{a_j^\dagger a_j}$$

Transverse field Ising model (TFIM)

$$H_S = -J \sum_{j=1}^{N-1} \sigma_j^x \sigma_{j+1}^x - h_z \sum_{j=1}^N \sigma_j^z$$

exercise 1

$$w = J, \quad \mu = -2h_z$$

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$\mathbb{Z}_2$ symmetry **TFIM:** $P_S = \prod_{j=1}^N \sigma_j^z$

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fermionic parity **cannot** be disturbed by local perturbation,
but the spin $\mathbb{Z}_2$ symmetry **can** be removed by h_x

Role of topology in Kitaev toy model

Kitaev Hamiltonian in k-space:

$$C_k^\dagger = [c_k^\dagger, c_{-k}] \quad H = \frac{1}{2} \sum_{k \in BZ} C_k^\dagger \mathcal{H}_k C_k, \quad \mathcal{H}_k = \begin{pmatrix} \epsilon_k & \tilde{\Delta}_k^* \\ \tilde{\Delta}_k & -\epsilon_k \end{pmatrix} \quad \text{exercise 2}$$

$$\epsilon_k = -t \cos k - \mu$$

$$\tilde{\Delta}_k = -i\Delta e^{i\phi} \sin k$$



$$E(k) = \sqrt{\epsilon_k^2 + |\tilde{\Delta}_k|^2}$$

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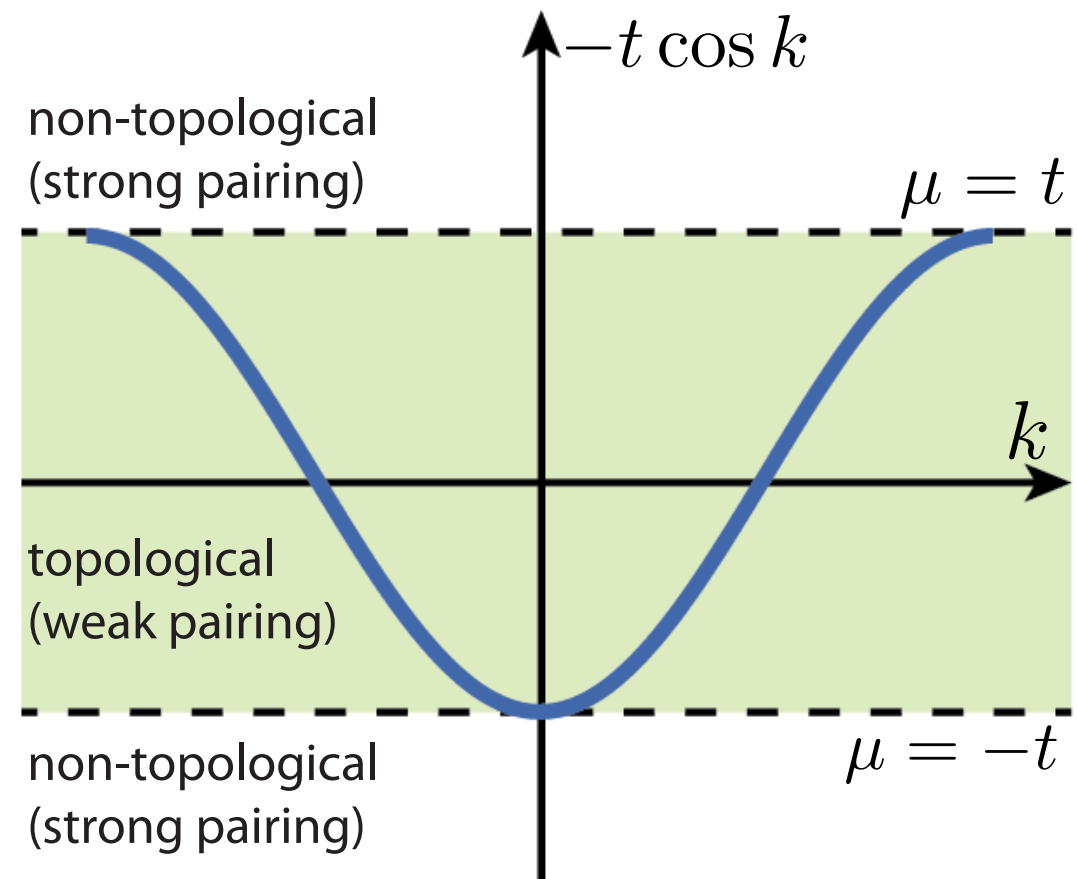
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$$|\text{g.s.}\rangle \propto \prod_{0 < k < \pi} [1 + \varphi_{\text{C.p.}}(k) c_{-k}^\dagger c_k^\dagger] |0\rangle \quad \varphi_{\text{C.p.}}(k) = (E - \epsilon) / \tilde{\Delta}_k$$

$$|\varphi_{\text{C.p.}}(x)| \sim \begin{cases} e^{-|x|/\zeta}, & \mu < -t \\ \text{const}, & |\mu| < t \end{cases}$$



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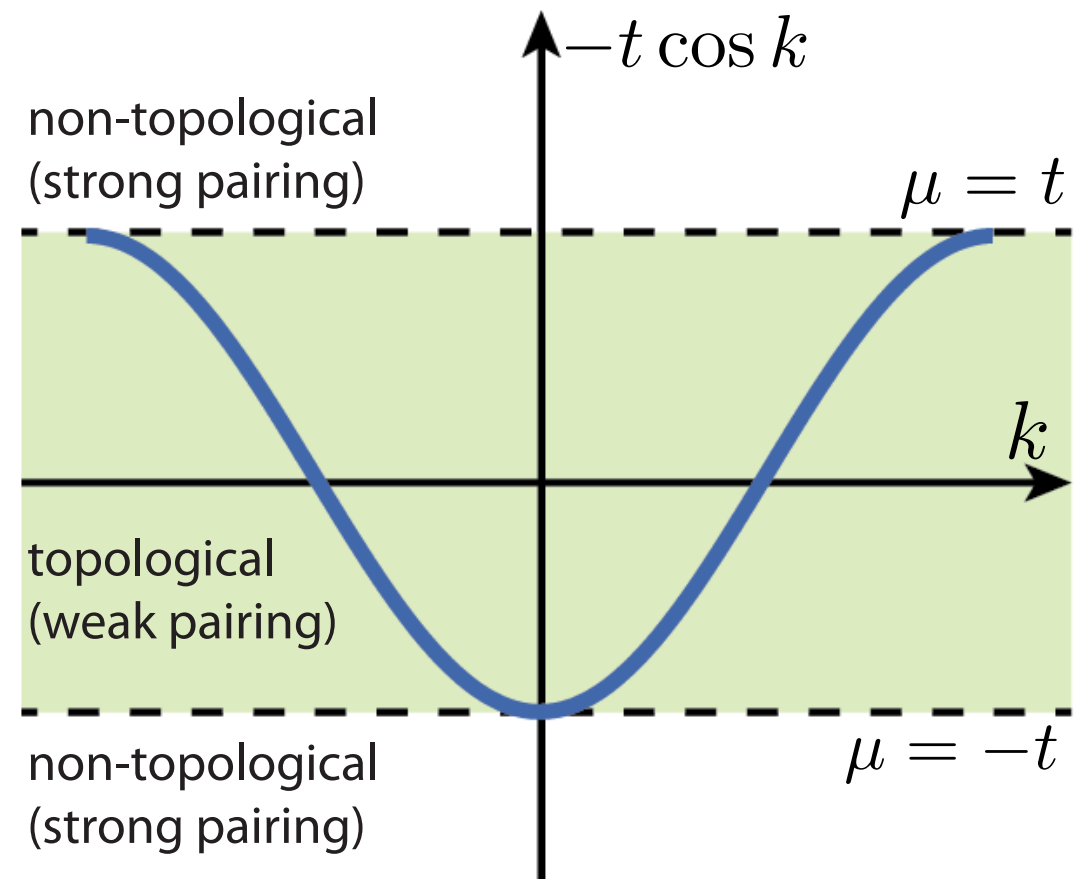
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Strong ($|\mu| > t$) vs. weak pairing ($|\mu| < t$)

BCS-BEC crossover
(still no guarantee for
topologically distinct phases)



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General form: $\mathcal{H}_k = \mathbf{h}(k) \cdot \boldsymbol{\sigma}$ $\boldsymbol{\sigma} = \sigma^x \hat{\mathbf{x}} + \sigma^y \hat{\mathbf{y}} + \sigma^z \hat{\mathbf{z}}$

$$(C_{-k}^\dagger)^T = \sigma^x C_k \implies h_{x,y}(k) = -h_{x,y}(-k), \quad h_z(k) = h_z(-k)$$

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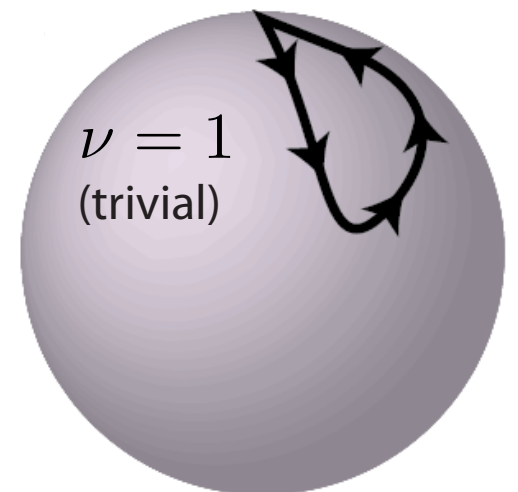
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$$\hat{\mathbf{h}}(k) = \mathbf{h}(k)/|\mathbf{h}(k)|$$

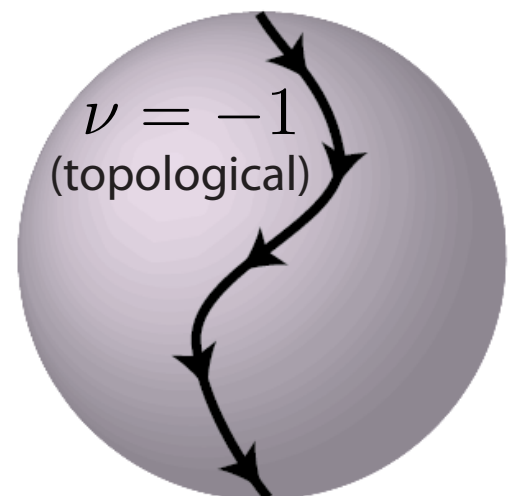
$$\hat{\mathbf{h}}(0) = s_0 \hat{\mathbf{z}}, \quad \hat{\mathbf{h}}(\pi) = s_\pi \hat{\mathbf{z}},$$

Z_2 topological invariant

$$\nu = s_0 s_\pi$$



$\nu = 1$
(trivial)



$\nu = -1$
(topological)

Role of topology in Kitaev toy model

Kitaev Hamiltonian in k-space:

$$C_k^\dagger = [c_k^\dagger, c_{-k}] \quad H = \frac{1}{2} \sum_{k \in BZ} C_k^\dagger \mathcal{H}_k C_k, \quad \mathcal{H}_k = \begin{pmatrix} \epsilon_k & \tilde{\Delta}_k^* \\ \tilde{\Delta}_k & -\epsilon_k \end{pmatrix}$$

General form: $\mathcal{H}_k = \mathbf{h}(k) \cdot \boldsymbol{\sigma}$ $\boldsymbol{\sigma} = \sigma^x \hat{\mathbf{x}} + \sigma^y \hat{\mathbf{y}} + \sigma^z \hat{\mathbf{z}}$

$$(C_{-k}^\dagger)^T = \sigma^x C_k \implies h_{x,y}(k) = -h_{x,y}(-k), \quad h_z(k) = h_z(-k)$$

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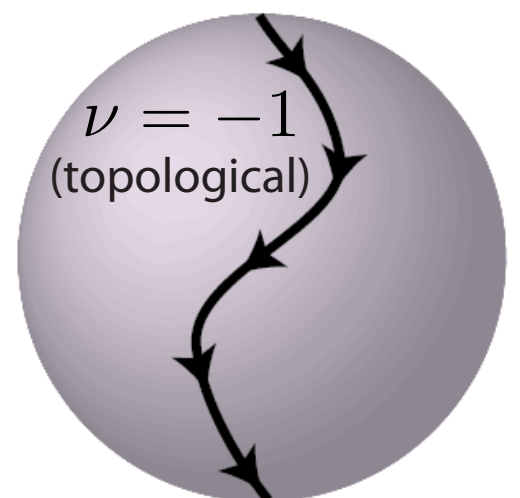
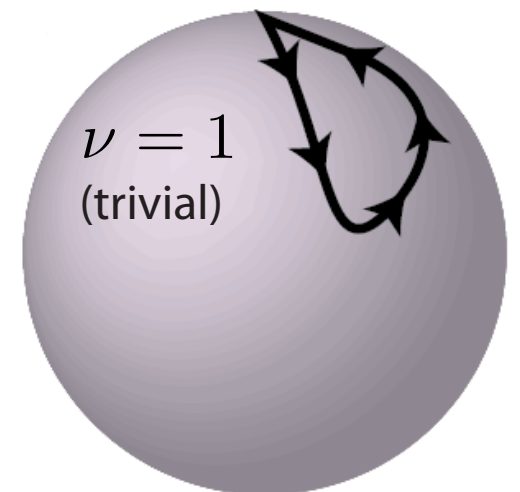
Z_2 topological invariant

$$\nu = s_0 s_\pi$$

Trivial: an even number of pairs of Fermi points

Topological: an odd number of pairs of Fermi points

Transition takes place when the gap closes;
i.e. in the boundaries where $\mathbf{h}(k)$ is ill-defined.



Topological superconductor

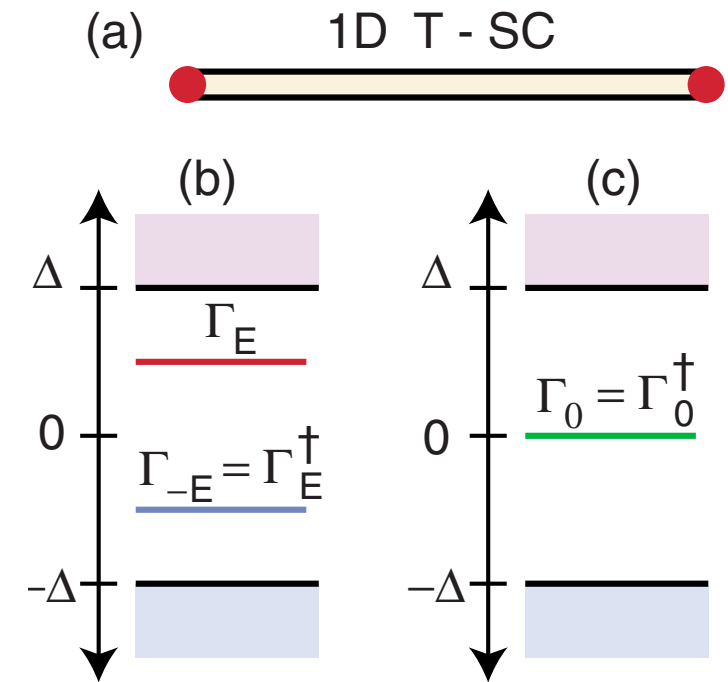
Spinless $p_x + ip_y$ superconductor

$$\mathcal{H}_{\text{BdG}}(\mathbf{k}) = [\mathcal{H}_0(\mathbf{k}) - \mu]\tau_z + \Delta_0(k_x\tau_x + ik_y\tau_y)$$

particle-hole symmetry:

$$\Xi\mathcal{H}_{\text{BdG}}(\mathbf{k})\Xi^{-1} = -\mathcal{H}_{\text{BdG}}(-\mathbf{k}) \quad (\Xi = \tau_x\mathcal{C})$$

$$\Gamma_{-E} = \Gamma_E^\dagger \quad (E = 0 \text{ if exists is protected})$$



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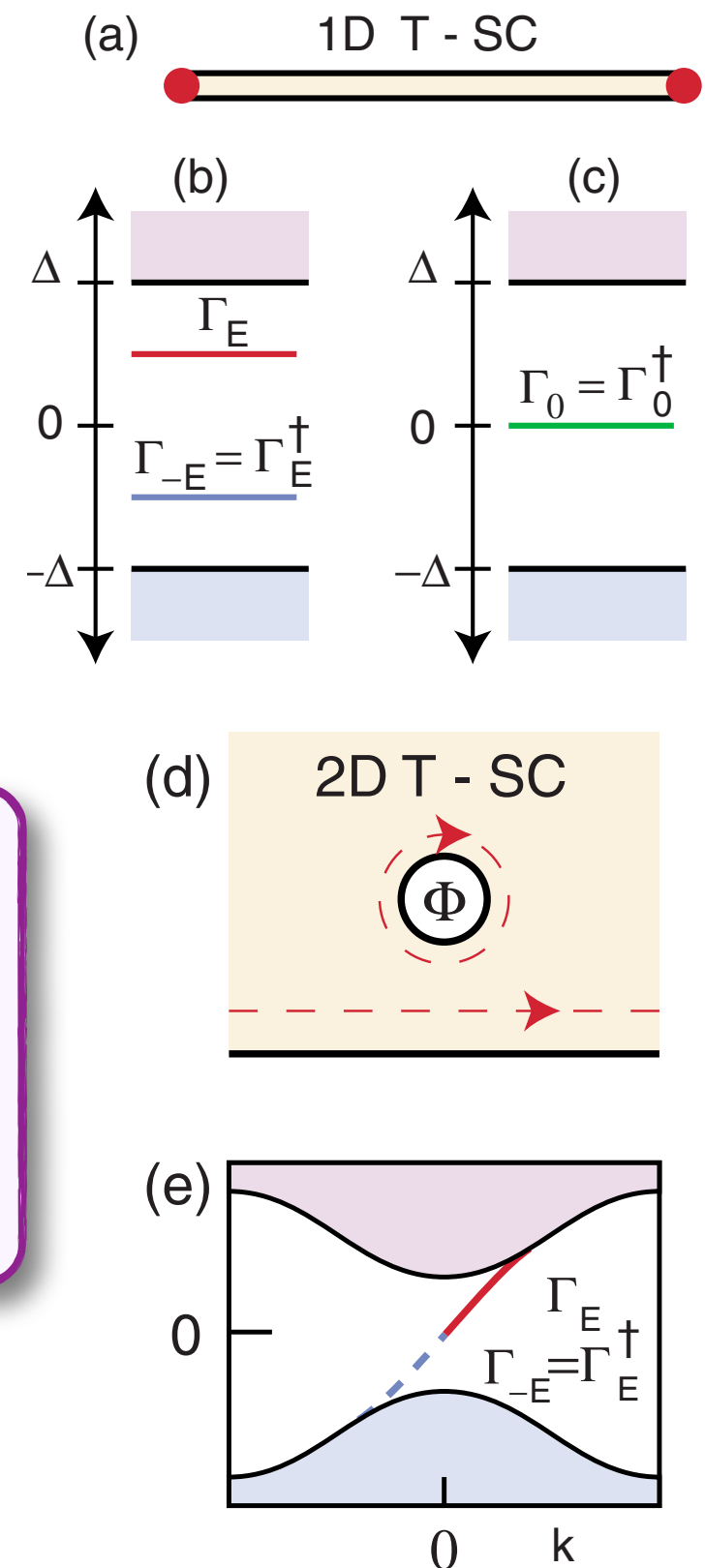
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Protected states can exist in the boundaries:

Majorana edge states (2D)

Majorana bound states at the ends (1D)

Majorana bound to vortex core (2D)



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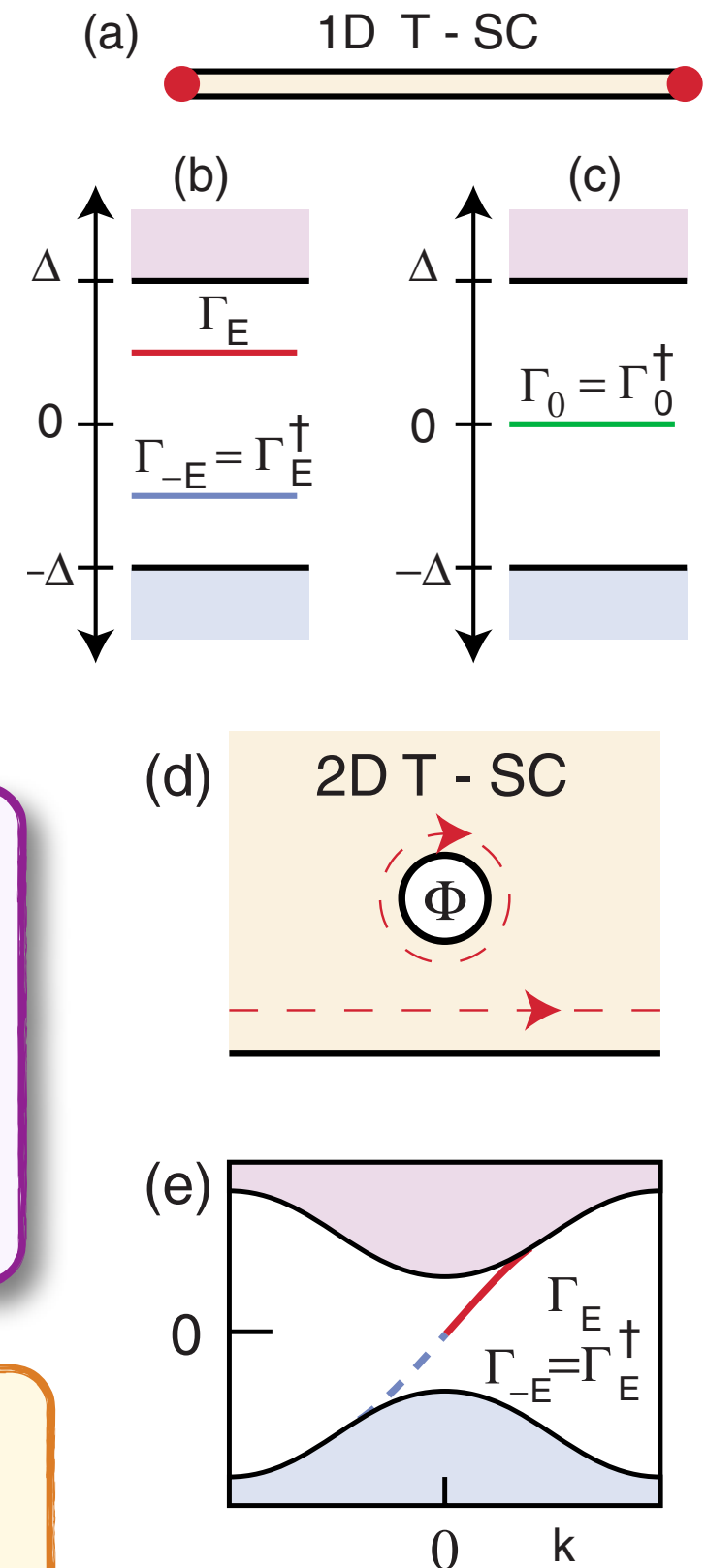
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**Bulk-edge correspondence in
topologically nontrivial state of matter**



Role of topology in 2D spinless p-wave superconductor

Hamiltonian in k-space:

$$H = \frac{1}{2} \int \frac{d^2 \mathbf{k}}{(2\pi)^2} \Psi^\dagger(\mathbf{k}) \mathcal{H}(\mathbf{k}) \Psi(\mathbf{k}), \quad \epsilon(k) = \frac{k^2}{2m} - \mu$$
$$\mathcal{H}(\mathbf{k}) = \begin{pmatrix} \epsilon(k) & \tilde{\Delta}(\mathbf{k})^* \\ \tilde{\Delta}(\mathbf{k}) & -\epsilon(k) \end{pmatrix} \quad \tilde{\Delta}(\mathbf{k}) = i\Delta e^{i\phi} (k_x + ik_y)$$

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$$|\text{g.s.}\rangle \propto \prod_{k_x \geq 0, k_y} [1 + \varphi_{\text{C.p.}}(\mathbf{k}) \psi(-\mathbf{k})^\dagger \psi(\mathbf{k})^\dagger] |0\rangle \quad |\varphi_{\text{C.p.}}(\mathbf{r})| \sim \begin{cases} e^{-|\mathbf{r}|/\zeta}, & \mu < 0 \\ |\mathbf{r}|^{-1}, & \mu > 0 \end{cases}$$

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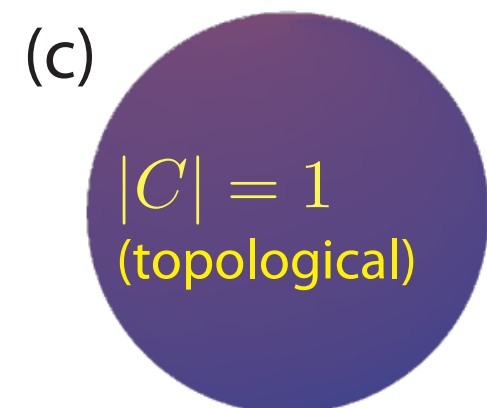
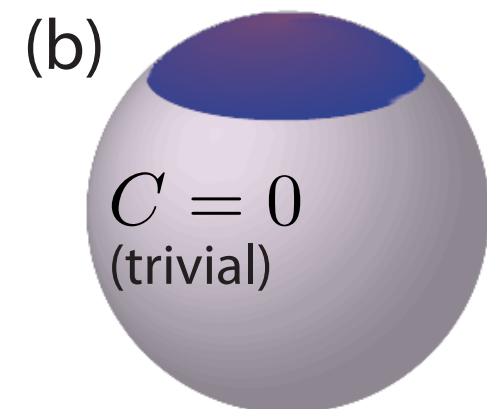
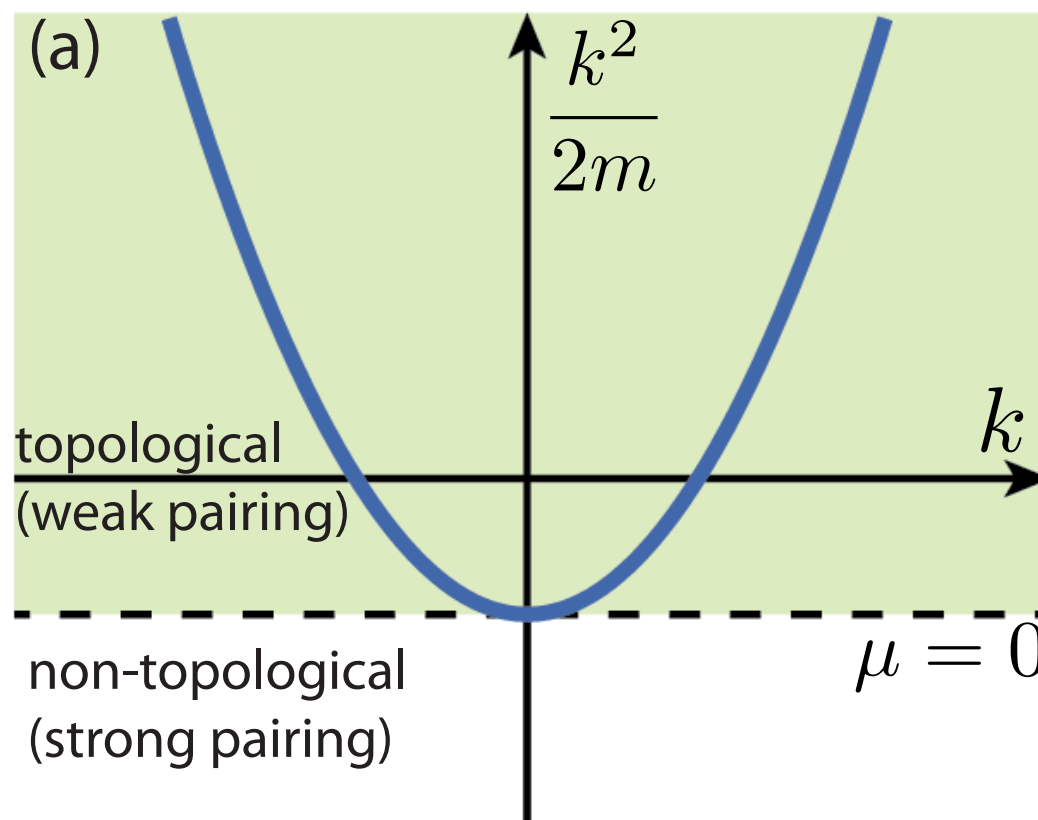
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$$\mathcal{H}_k = \mathbf{h}(k) \cdot \boldsymbol{\sigma}$$

Chern number

$$C = \int \frac{d^2 \mathbf{k}}{4\pi} [\hat{\mathbf{h}} \cdot (\partial_{k_x} \hat{\mathbf{h}} \times \partial_{k_y} \hat{\mathbf{h}})]$$

$C \neq 0$ nontrivial topology



Vortex core states in 2D spinless p-wave superconductor

$$H_{\text{edge}} = \frac{1}{2} \int d^2\mathbf{r} \Psi'^{\dagger}(\mathbf{r}) \mathcal{H}(\mathbf{r}) \Psi'(\mathbf{r}),$$

$$\psi = e^{-i\theta/2} \psi'$$

$$\mathcal{H}(\mathbf{r}) = \begin{pmatrix} -\mu(r) & \Delta e^{-i\phi} (-\partial_r + \frac{i\partial_\theta}{r}) \\ \Delta e^{i\phi} (\partial_r + \frac{i\partial_\theta}{r}) & \mu(r) \end{pmatrix} \quad \Psi'^{\dagger}(\mathbf{r}) = [\psi'^{\dagger}(\mathbf{r}), \psi'(\mathbf{r})]$$

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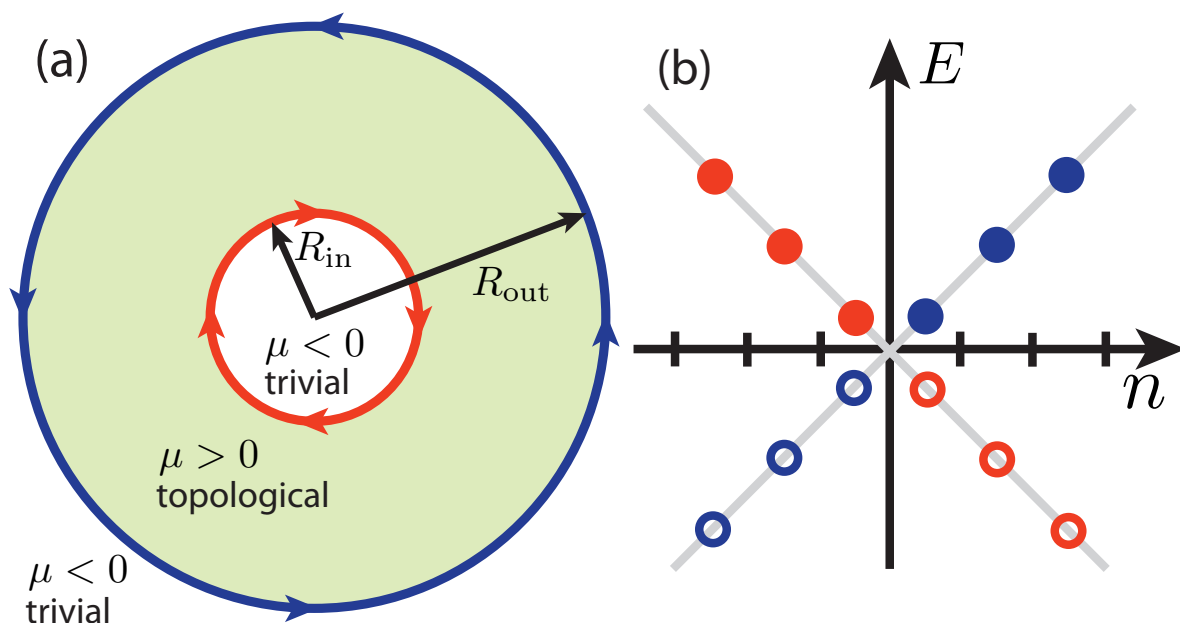
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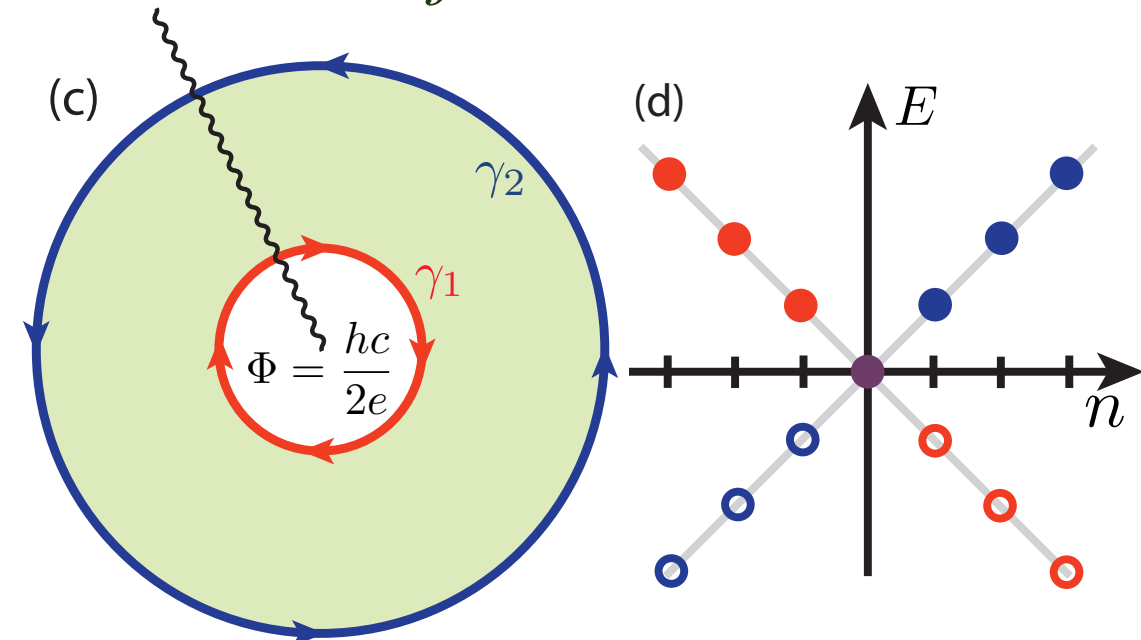
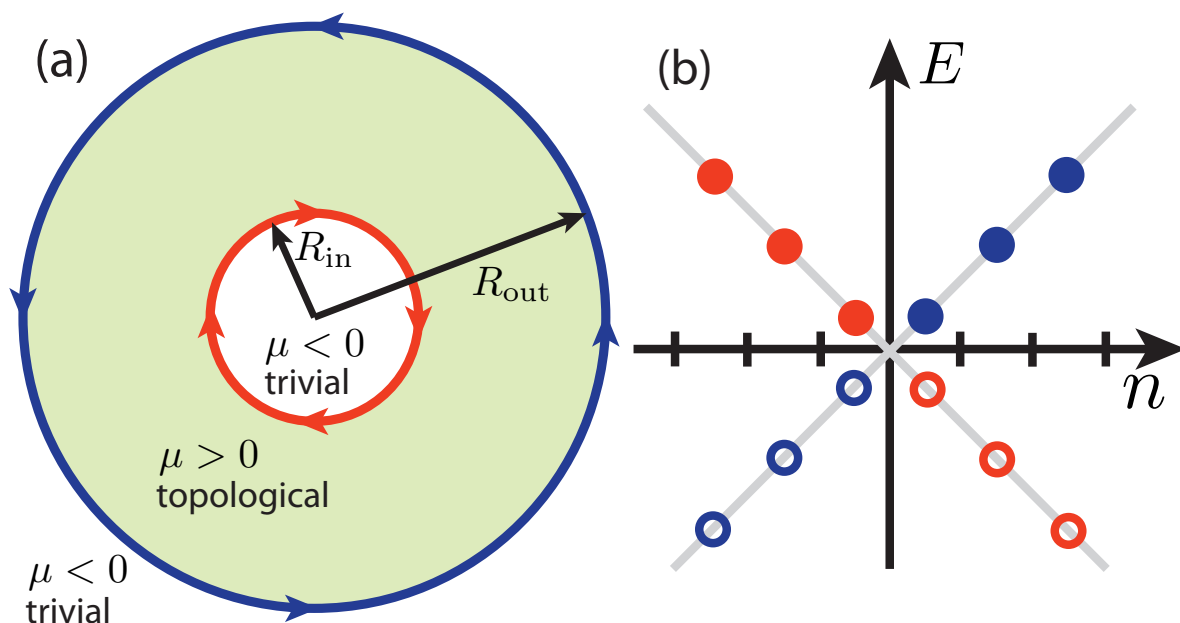
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A vortex carrying a quantum flux



periodic boundary condition
energies with integer n
 $n=0$ Majorana bound state



Physical realization: Artificial topological superconductor

Superconductor-topological insulator interface

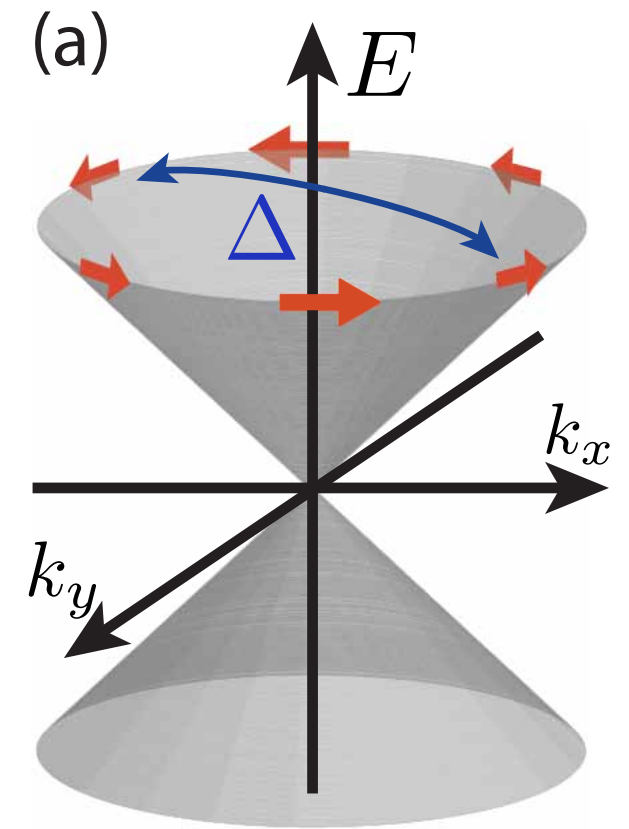
$$\mathcal{H}_{\text{BdG}}(\mathbf{k}) = (v_F \mathbf{k} \cdot \boldsymbol{\sigma} - \mu) \tau_z + \Delta_0$$

defining new fermionic operator: **exercice 3**

$$\psi_k = (c_{k\uparrow} + e^{i\theta_{\mathbf{k}}} c_{k\downarrow}) / \sqrt{2} \quad (\tan \theta_{\mathbf{k}} = k_y / k_x)$$

$$\tilde{\mathcal{H}}_{\text{BdG}}(\mathbf{k}) = (v_F |\mathbf{k}| - \mu) \tau_z + \Delta_0 (e^{i\theta_{\mathbf{k}}} \psi_k^\dagger \psi_{-k}^\dagger + H.c.)$$

Similar to the spinless $p + ip$ superconductor



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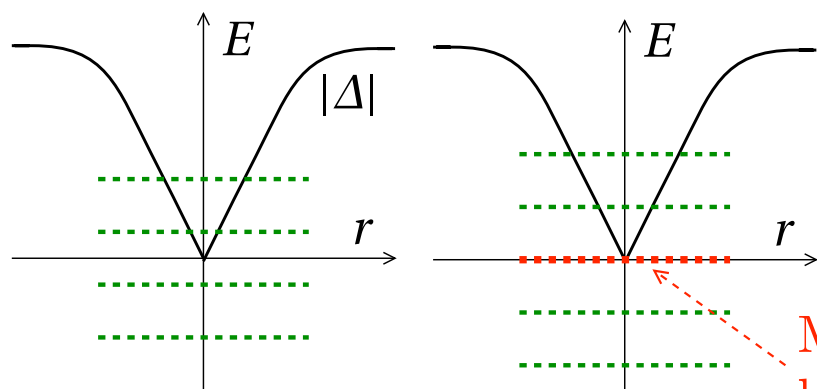
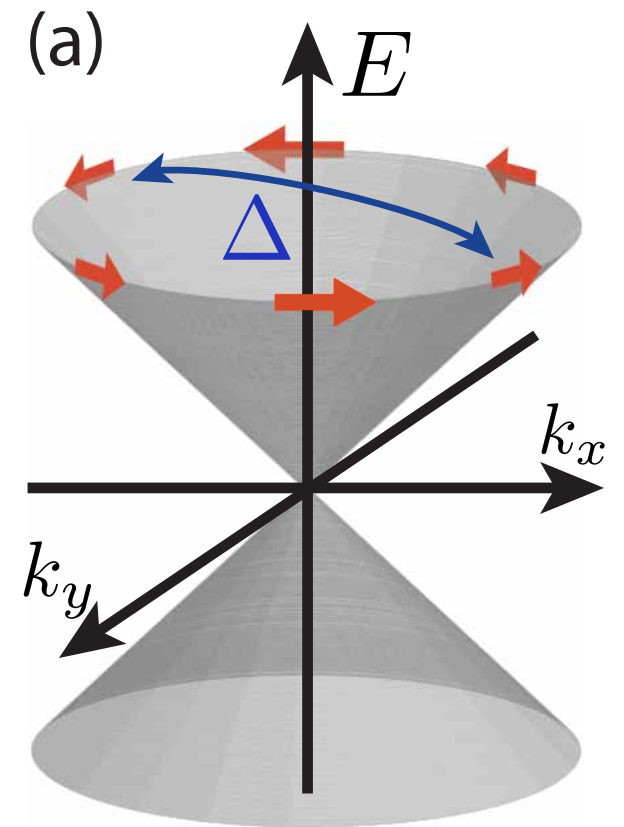
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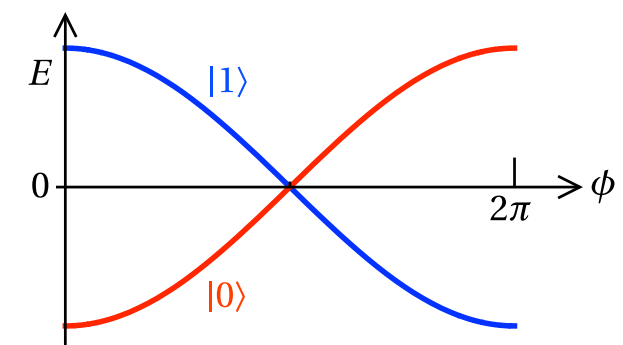
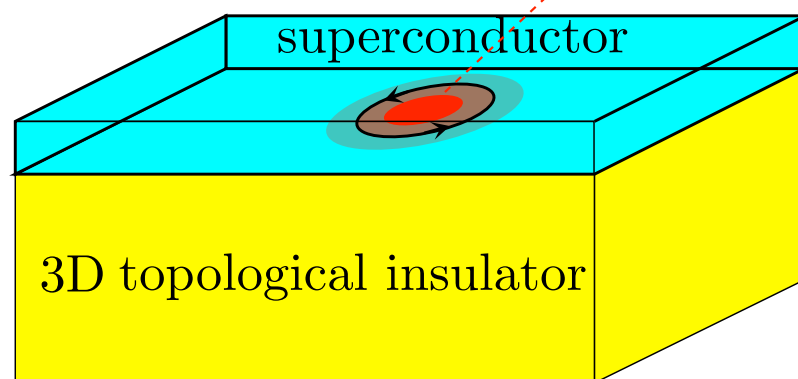
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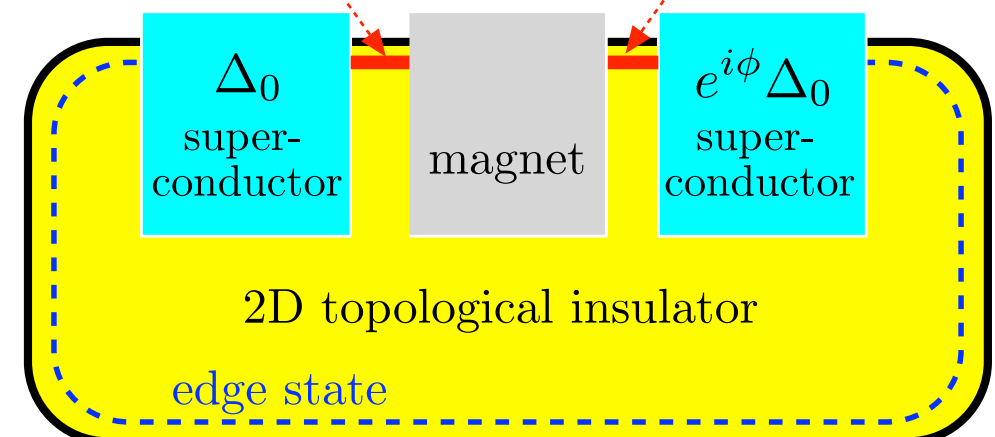
Similar to the spinless $p + ip$ superconductor



Majorana fermion
bound to a vortex



Majorana bound states

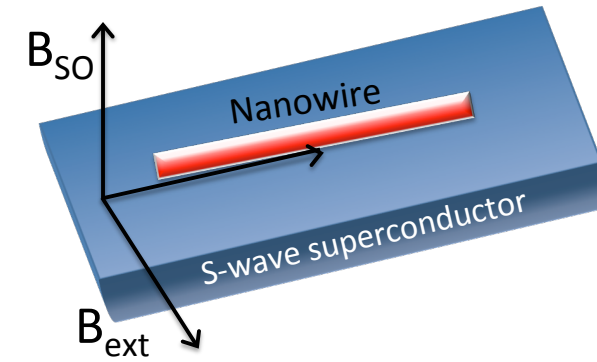


Physical realization: Nanowire with Rashba Spin-orbit

Nanowire on top of a superconductor

$$H = \int dy \Psi^\dagger(y) \mathcal{H} \Psi(y) \quad \Psi^\dagger = (\psi_\uparrow^\dagger, \psi_\downarrow^\dagger, \psi_\downarrow, -\psi_\uparrow)$$

$$\mathcal{H} = [p^2/2m - \mu(y)]\tau_z + \alpha(y)p\sigma_z\tau_z + B(y)\sigma_x + \Delta(y)\tau_x$$

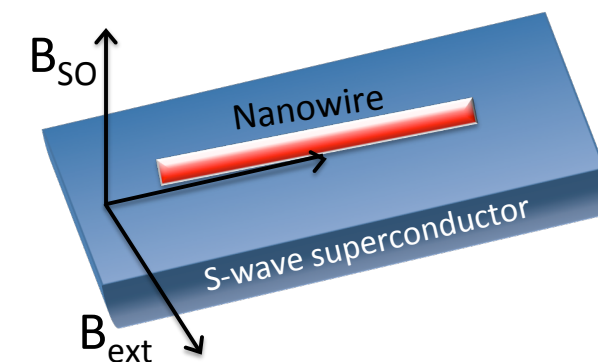


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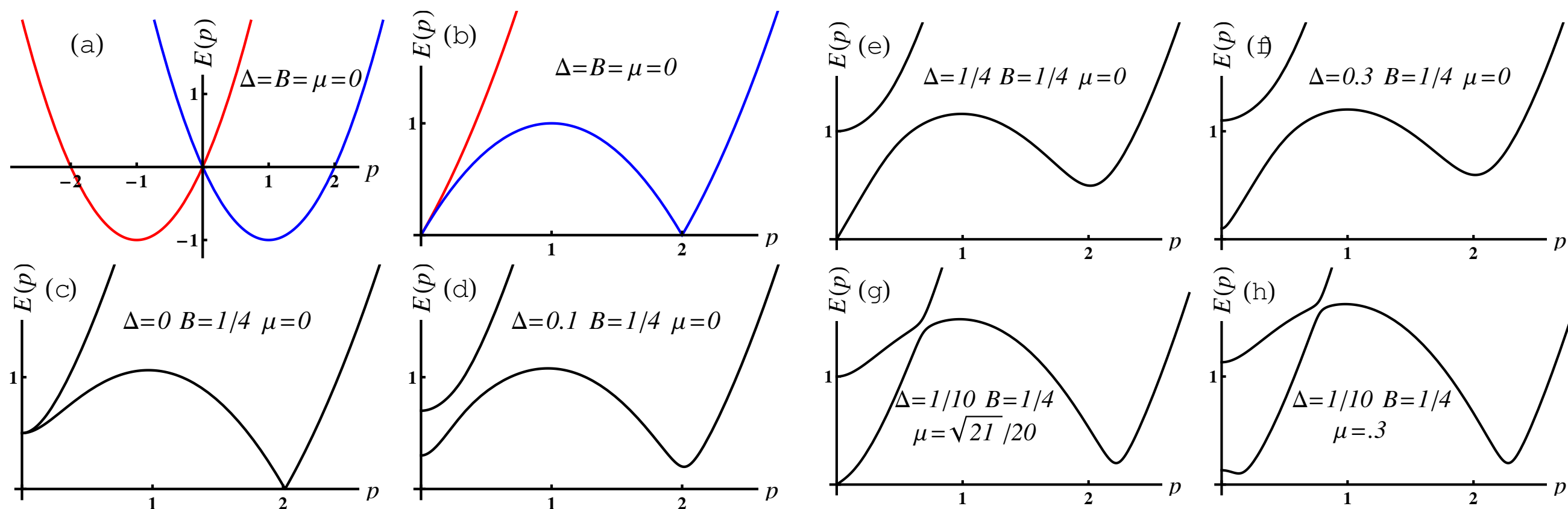
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$$E_\pm^2 = B^2 + \Delta^2 + \xi_p^2 + (\alpha p)^2 \pm 2\sqrt{B^2(\Delta^2 + \xi_p^2) + (\alpha p)^2 \xi_p^2}$$

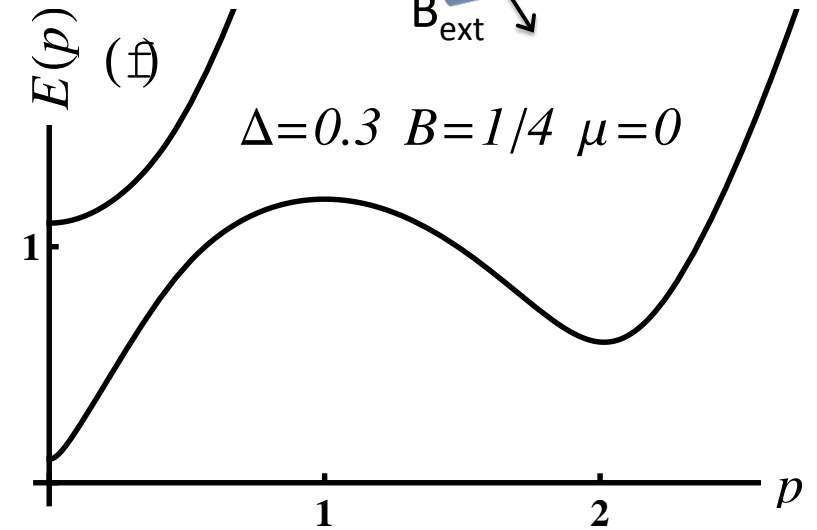
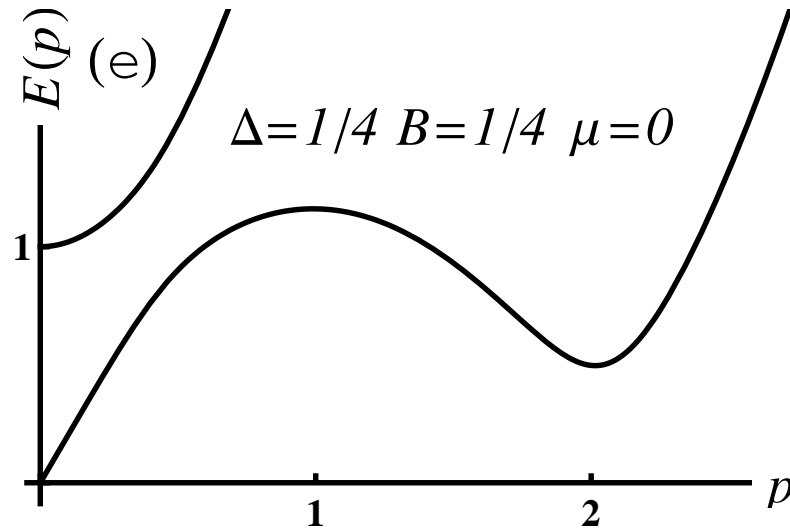
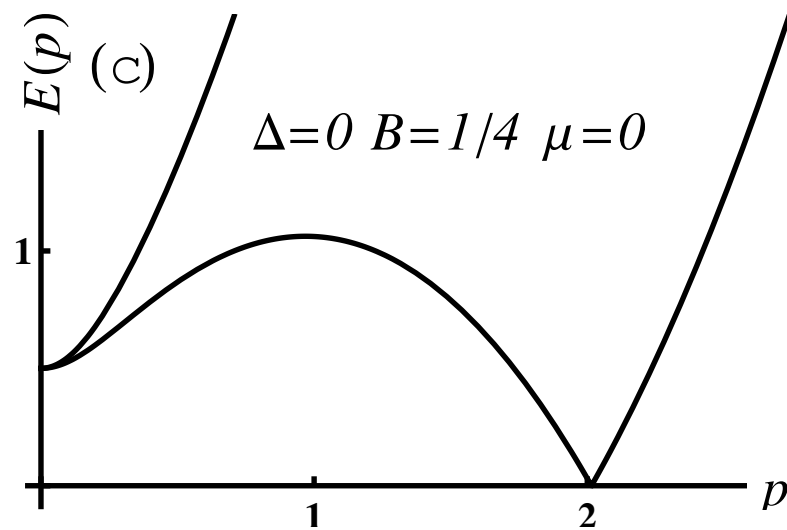
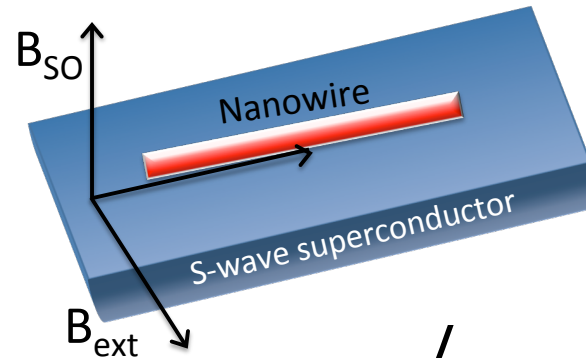
exercise 4



Physical realization: Nanowire with Rashba Spin-orbit

Two gaps (near $p = 0$ and $p = p_F$): E_0, E_1

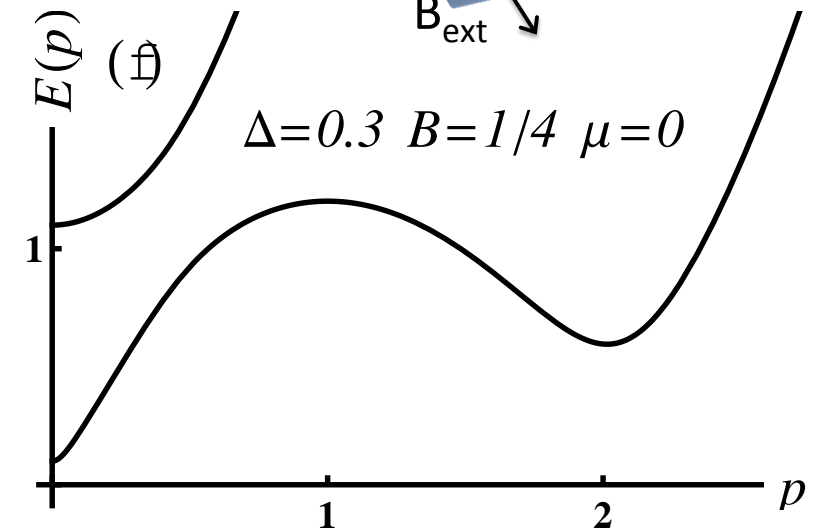
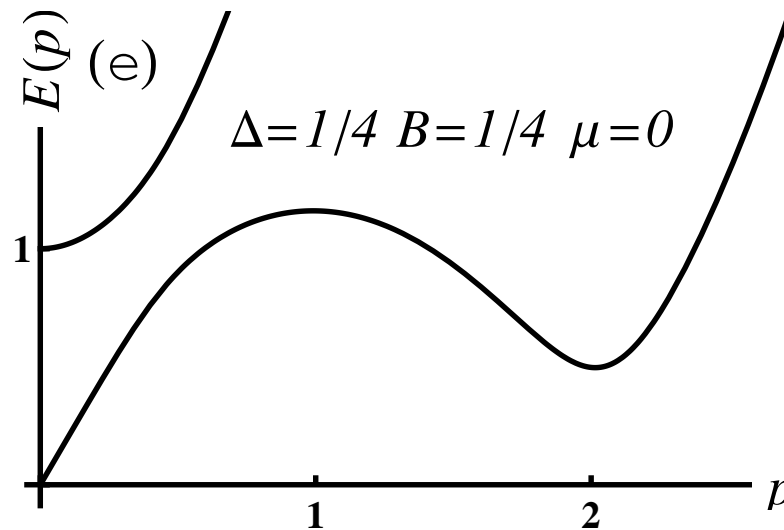
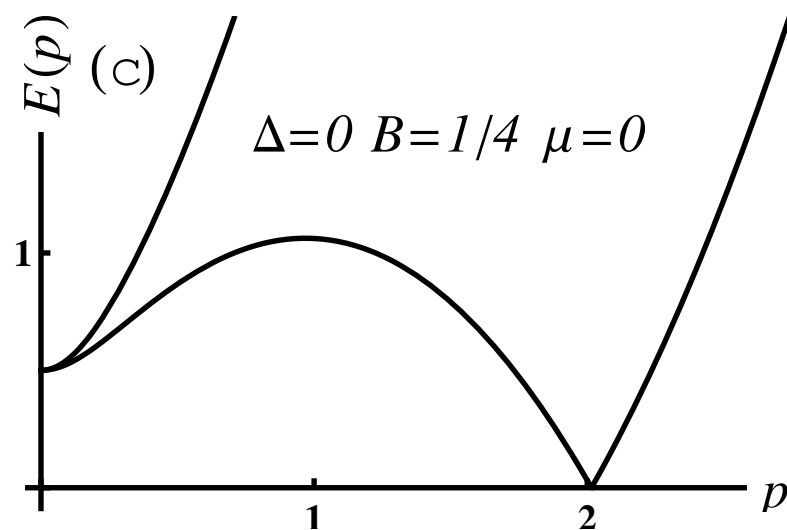
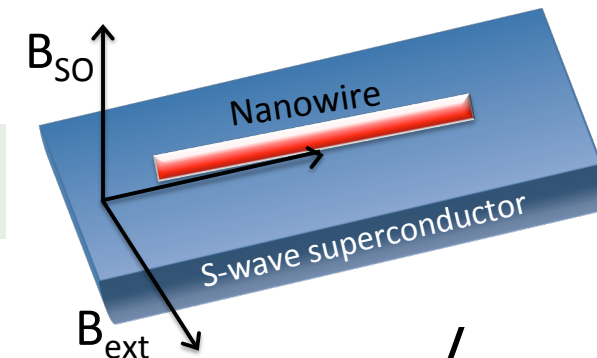
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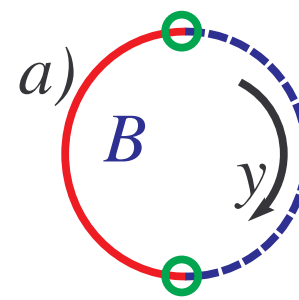
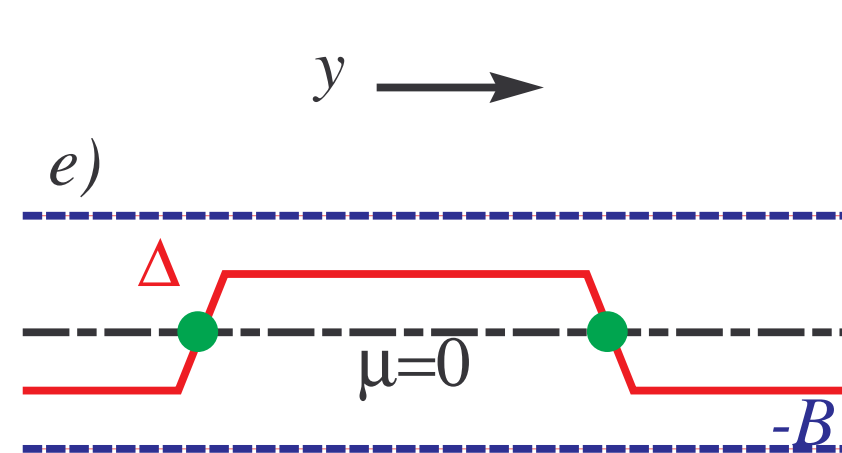
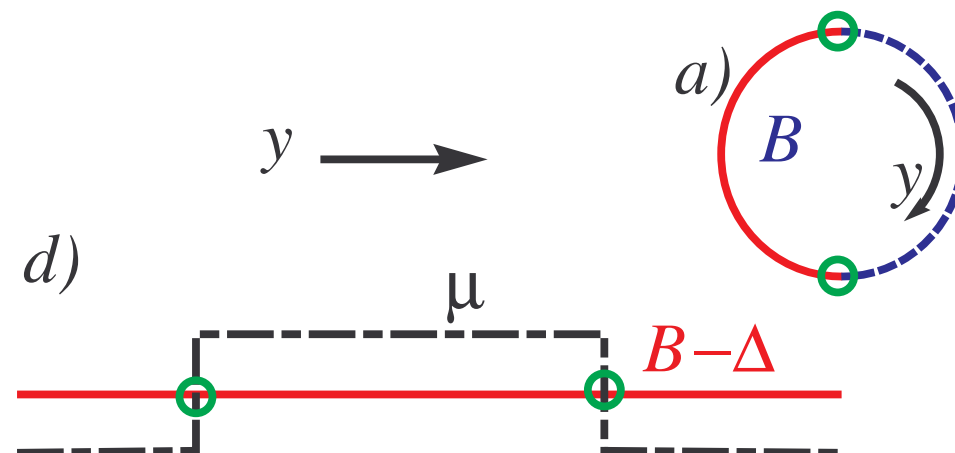
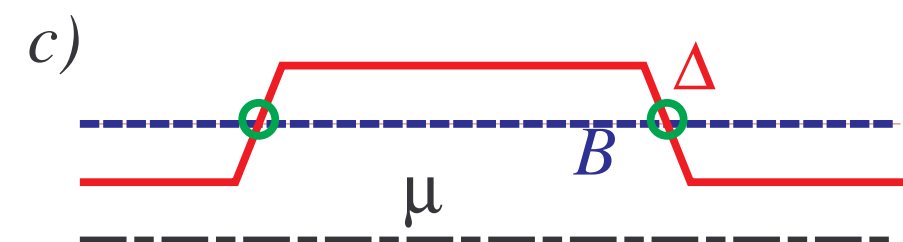
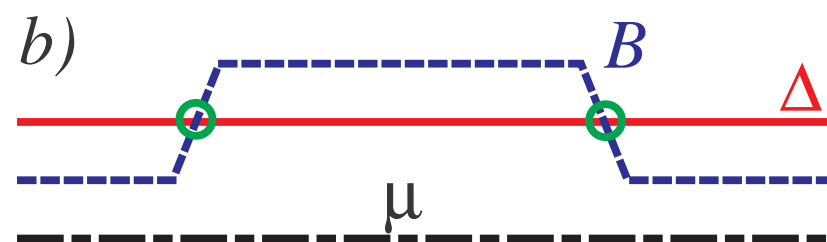
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Spatially varying Δ

Spatially varying B

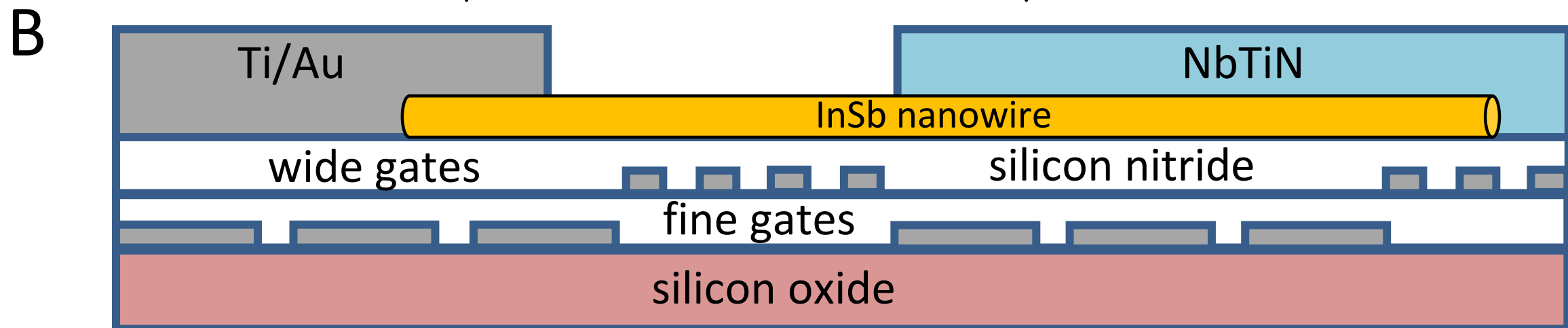
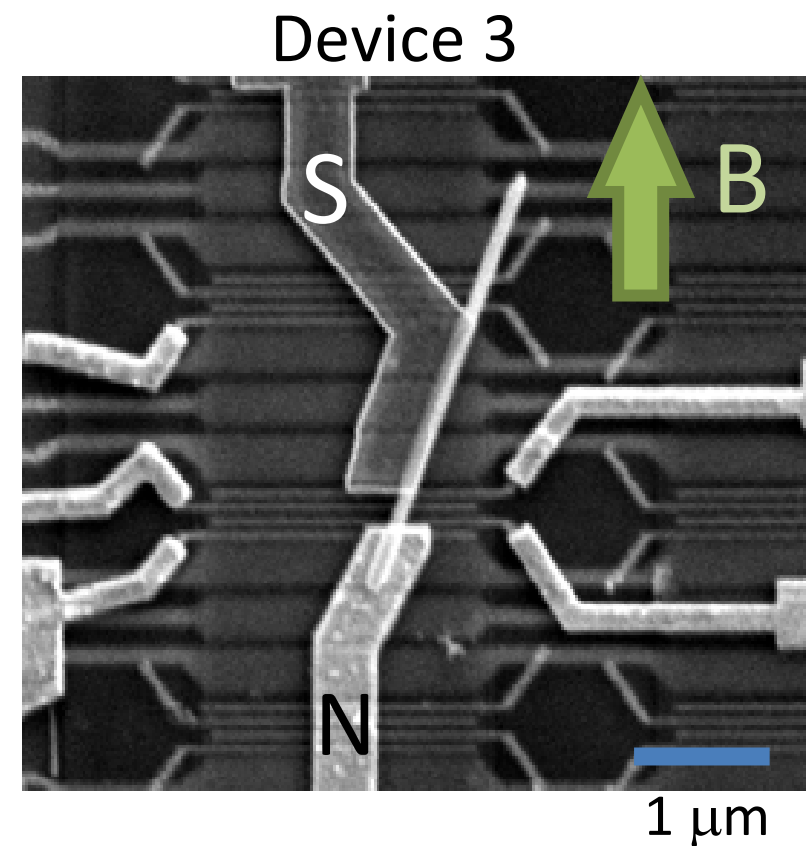
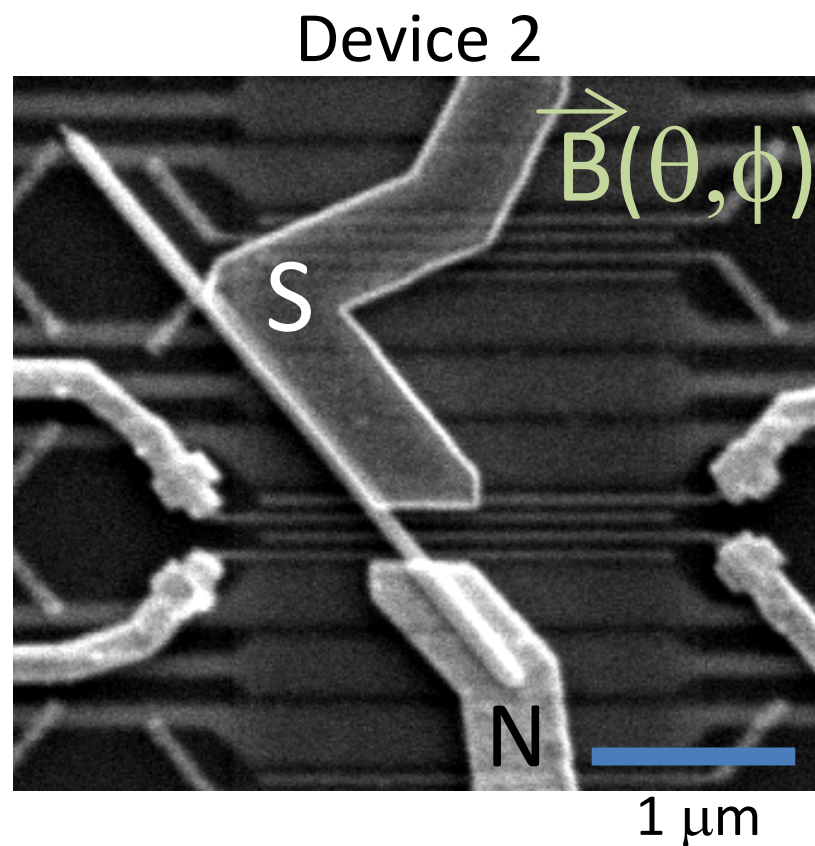
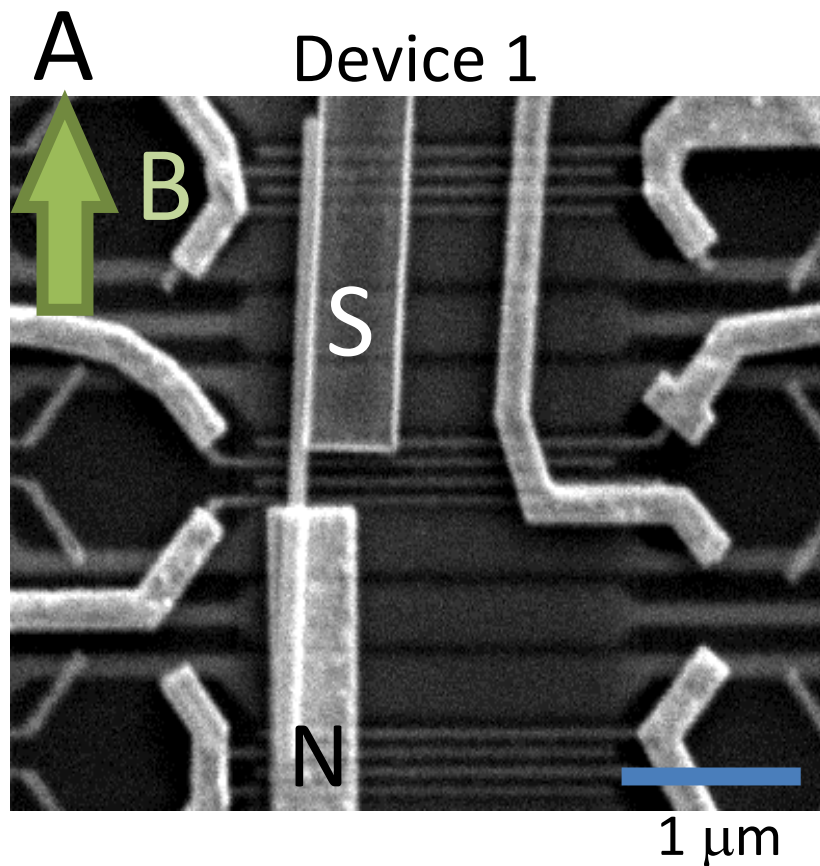
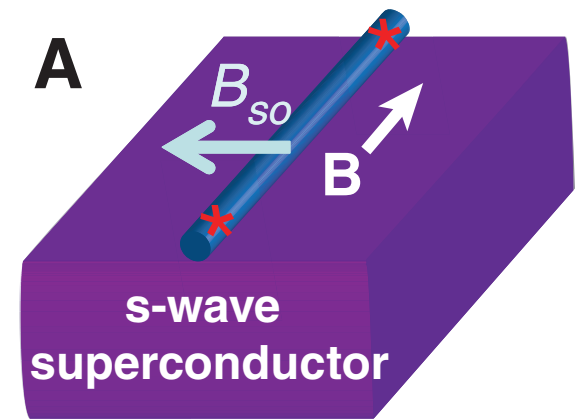
Spatially varying μ



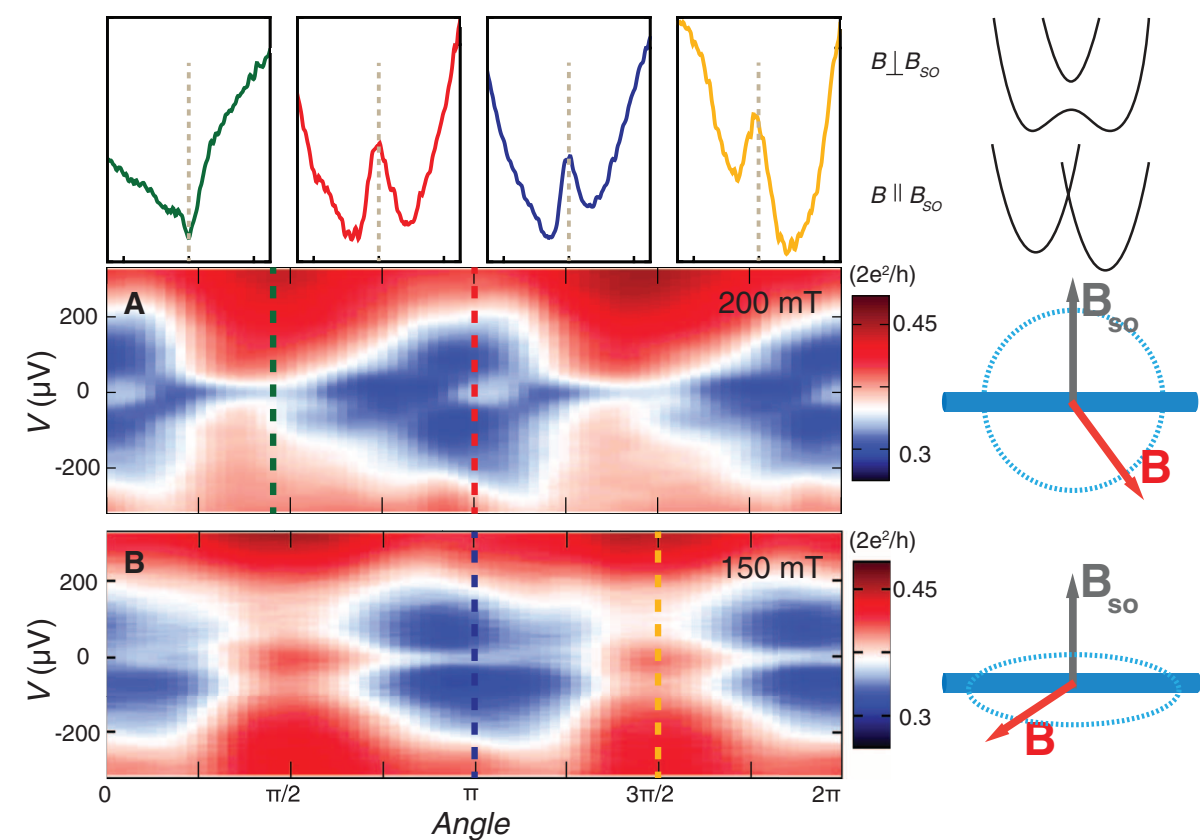
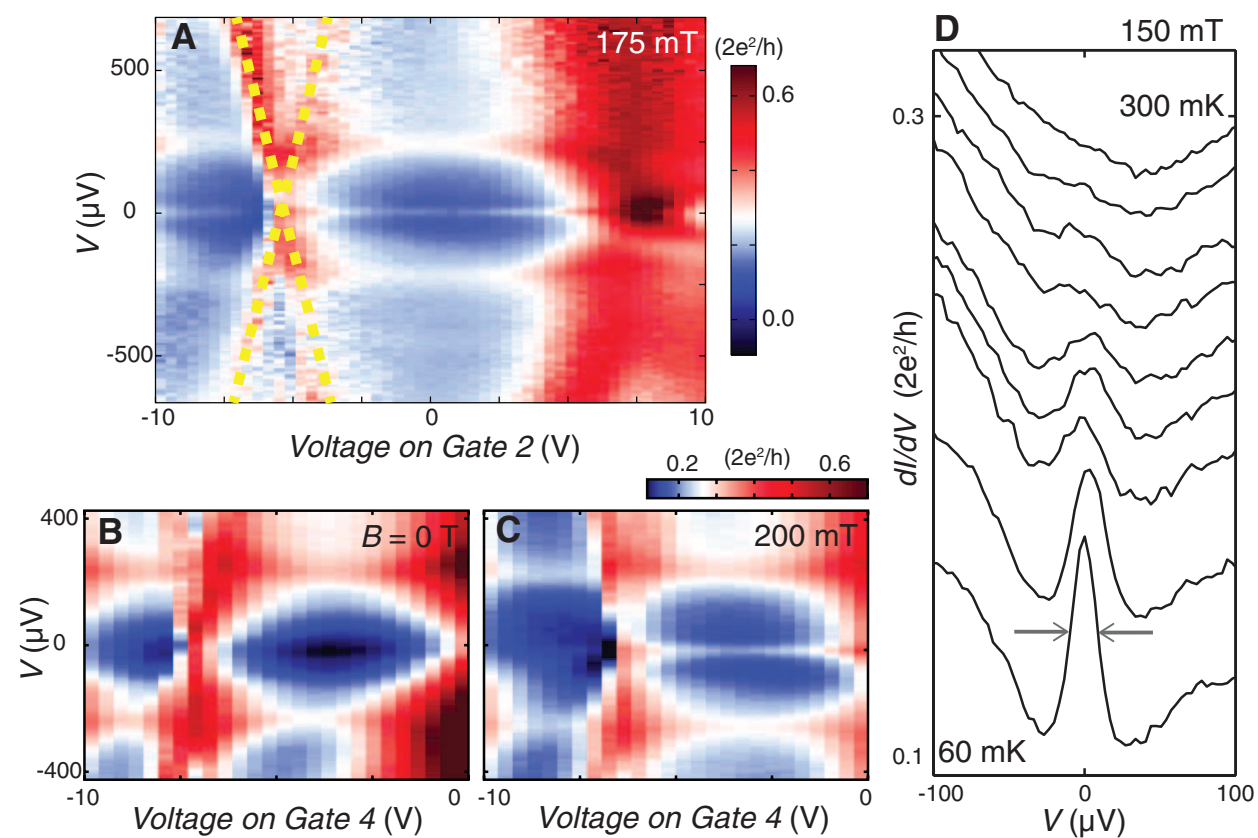
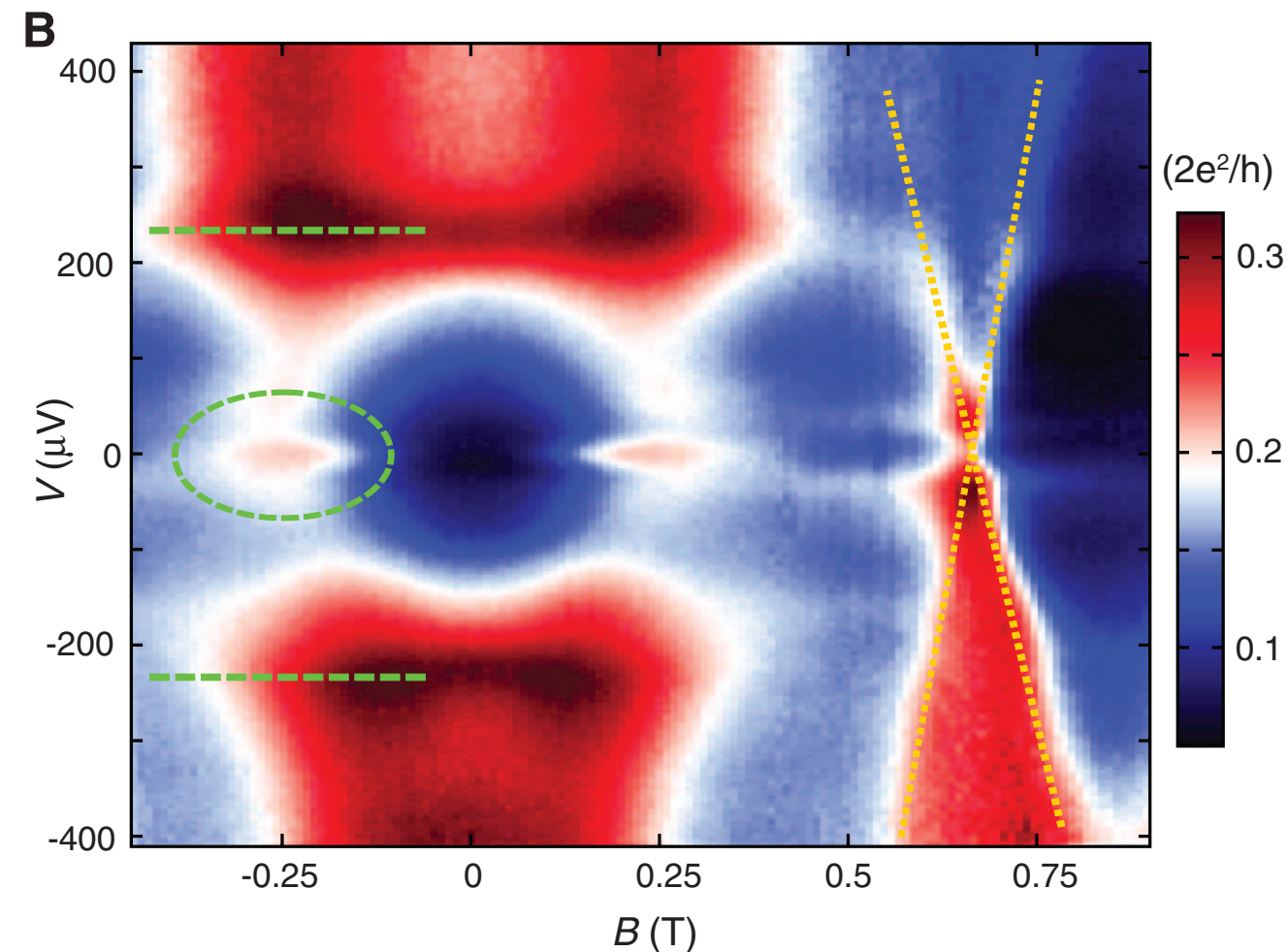
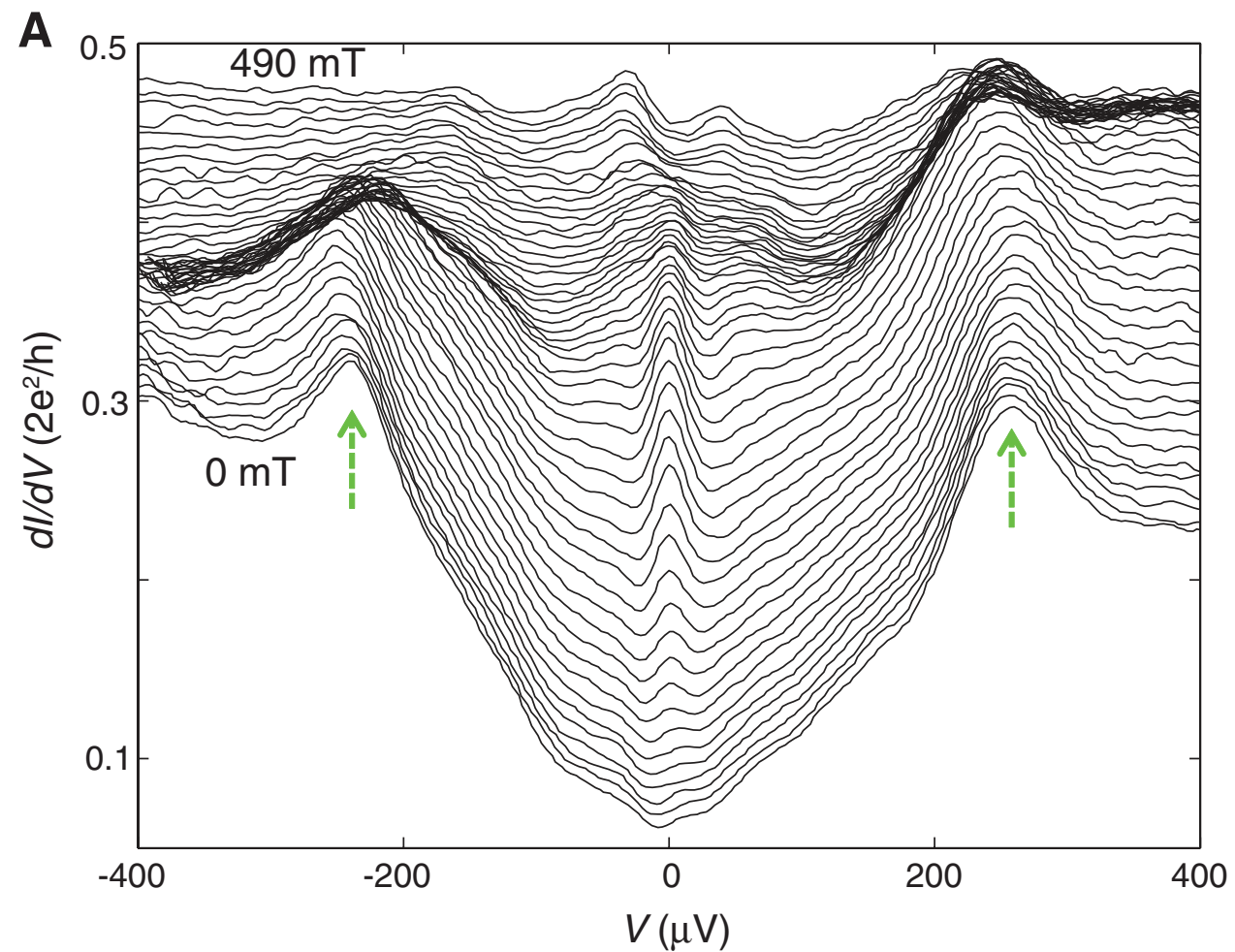
Physical realization: Experiment

Signatures of Majorana Fermions in Hybrid Superconductor-Semiconductor Nanowire Devices

V. Mourik,^{1*} K. Zuo,^{1*} S. M. Frolov,¹ S. R. Plissard,² E. P. A. M. Bakkers,^{1,2} L. P. Kouwenhoven^{1†}



Physical realization: Experiment



Properties: non-Abelian statistics

non-degenerate braiding: $\Psi \mapsto e^{i\alpha} \Psi$ degenerate braiding: $\Psi \mapsto U \Psi$

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Cooper pairs acquires 2π phase upon crossing the branch cut, Majorana catch half a such phase

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Clockwise exchange
of two MF

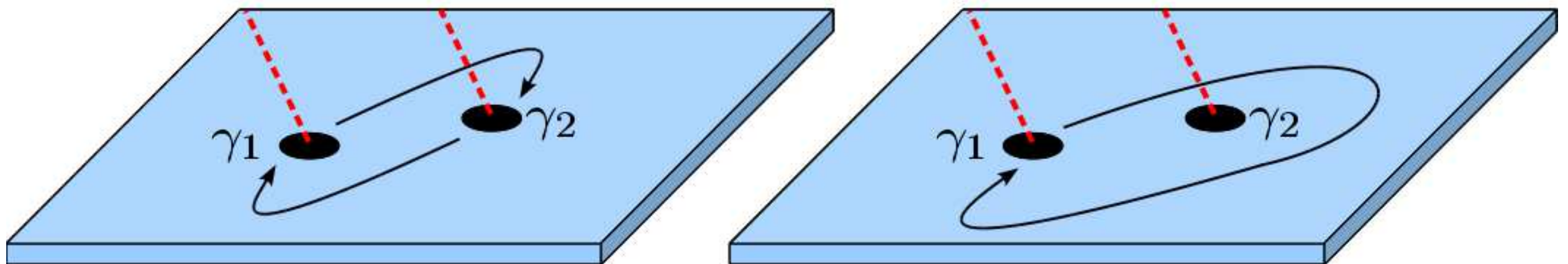
Cooper pairs acquires 2π phase upon crossing the
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$$\gamma_1 \rightarrow -\gamma_2$$

$$\gamma_2 \rightarrow +\gamma_1$$

$$\gamma_i \rightarrow B_{12} \gamma_i B_{12}^\dagger$$

$$B_{12} = \frac{1}{\sqrt{2}} (1 + \gamma_1 \gamma_2)$$



Properties: non-Abelian statistics

non-degenerate braiding: $\Psi \mapsto e^{i\alpha} \Psi$ degenerate braiding: $\Psi \mapsto U \Psi$

Clockwise exchange
of two MF

Cooper pairs acquires 2π phase upon crossing the
branch cut, Majorana catch half a such phase

$$\gamma_1 \rightarrow -\gamma_2$$

$$\gamma_2 \rightarrow +\gamma_1$$

$$\gamma_i \rightarrow B_{12} \gamma_i B_{12}^\dagger$$

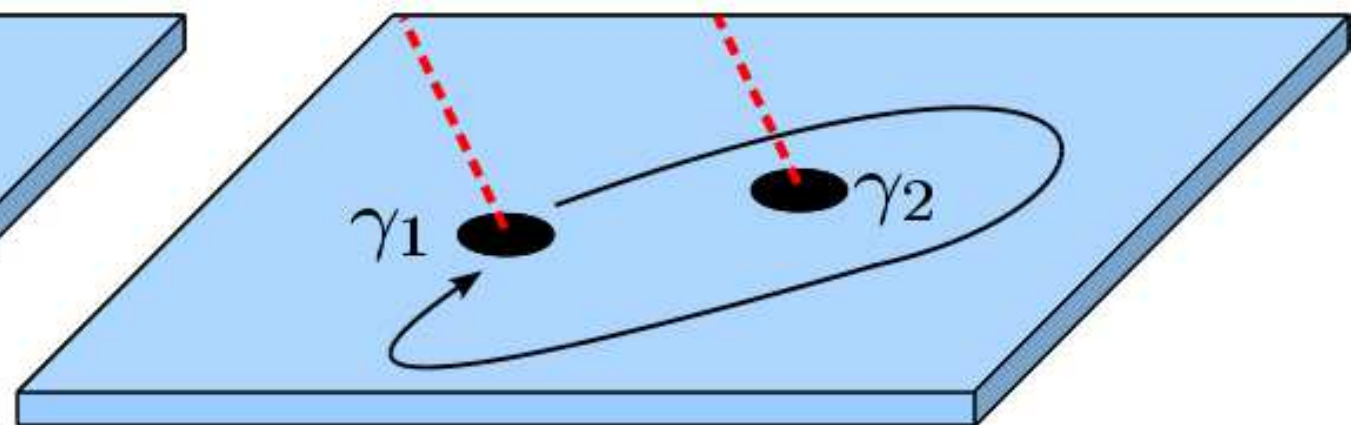
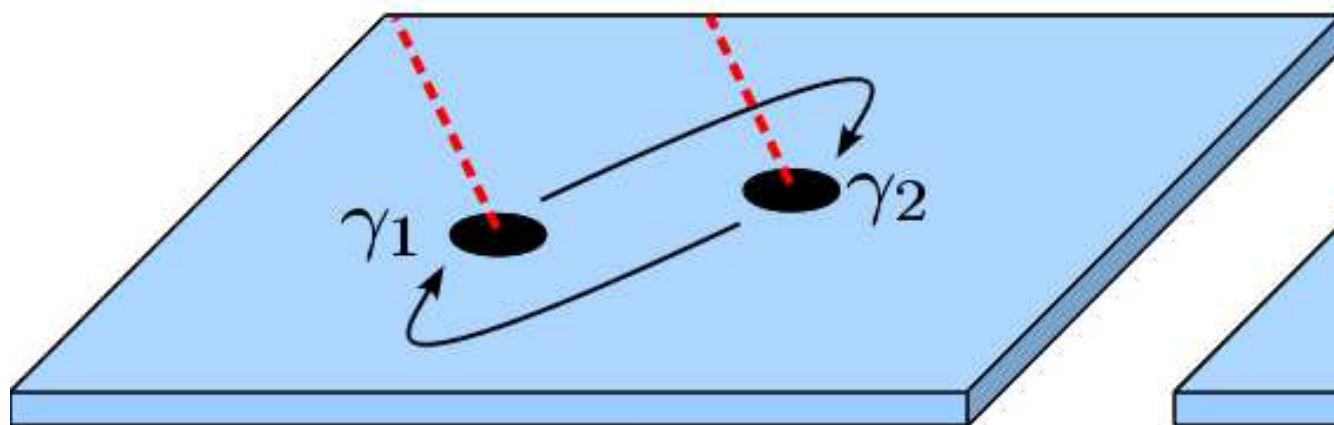
$$B_{12} = \frac{1}{\sqrt{2}} (1 + \gamma_1 \gamma_2)$$

Rotating one MF
around the other

$$B_{12}^2 = \gamma_1 \gamma_2$$

$$\gamma_1 \rightarrow (\gamma_1 \gamma_2) \gamma_1 (\gamma_1 \gamma_2)^\dagger = -\gamma_1$$

$$\gamma_2 \rightarrow (\gamma_1 \gamma_2) \gamma_2 (\gamma_1 \gamma_2)^\dagger = -\gamma_2$$



Properties: non-Abelian statistics

$$B_{12}|0\rangle = \frac{1}{\sqrt{2}} (1 + i) |0\rangle$$

$$B_{12}|1\rangle = \frac{1}{\sqrt{2}} (1 - i) |1\rangle$$

$$|1\rangle = f_1^\dagger |0\rangle$$

$$f_1 = (\gamma_1 + i\gamma_2)/2$$

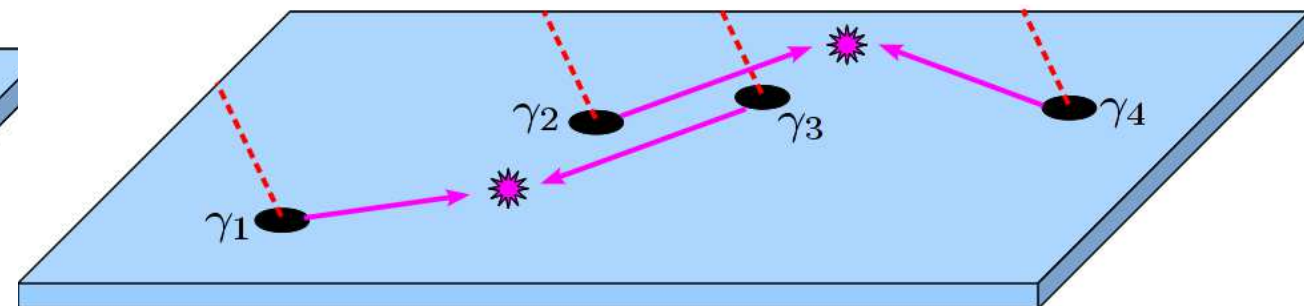
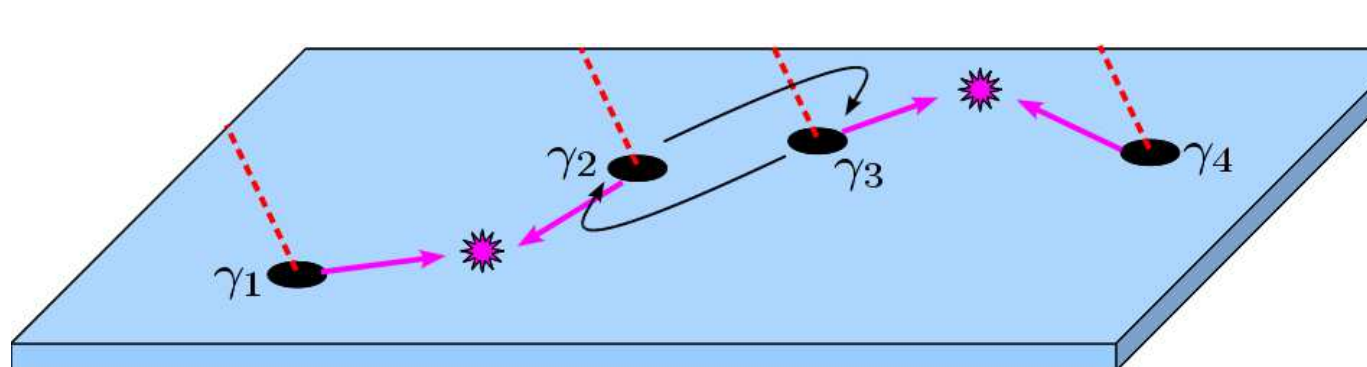
$$B_{12}|00\rangle = \frac{1}{\sqrt{2}} (1 + i) |00\rangle,$$

$$B_{23}|00\rangle = \frac{1}{\sqrt{2}} (|00\rangle + i|11\rangle),$$

$$B_{34}|00\rangle = \frac{1}{\sqrt{2}} (1 + i) |00\rangle,$$

non-Abelian statistics
MF exchange

$$[B_{i-1,i}, B_{i,i+1}] = \gamma_{i-1}\gamma_{i+1}$$



Properties: topological quantum computing

$$|\bar{0}\rangle \equiv |00\rangle, |\bar{1}\rangle \equiv |11\rangle$$

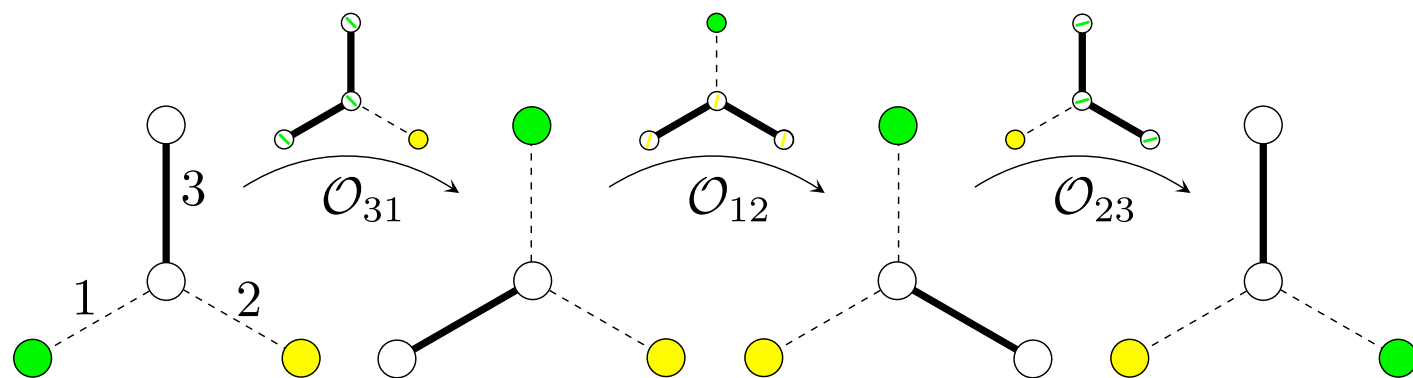
$$-i\gamma_1\gamma_2 = -i\gamma_3\gamma_4 = \sigma_z,$$

$$-i\gamma_2\gamma_3 = \sigma_x,$$

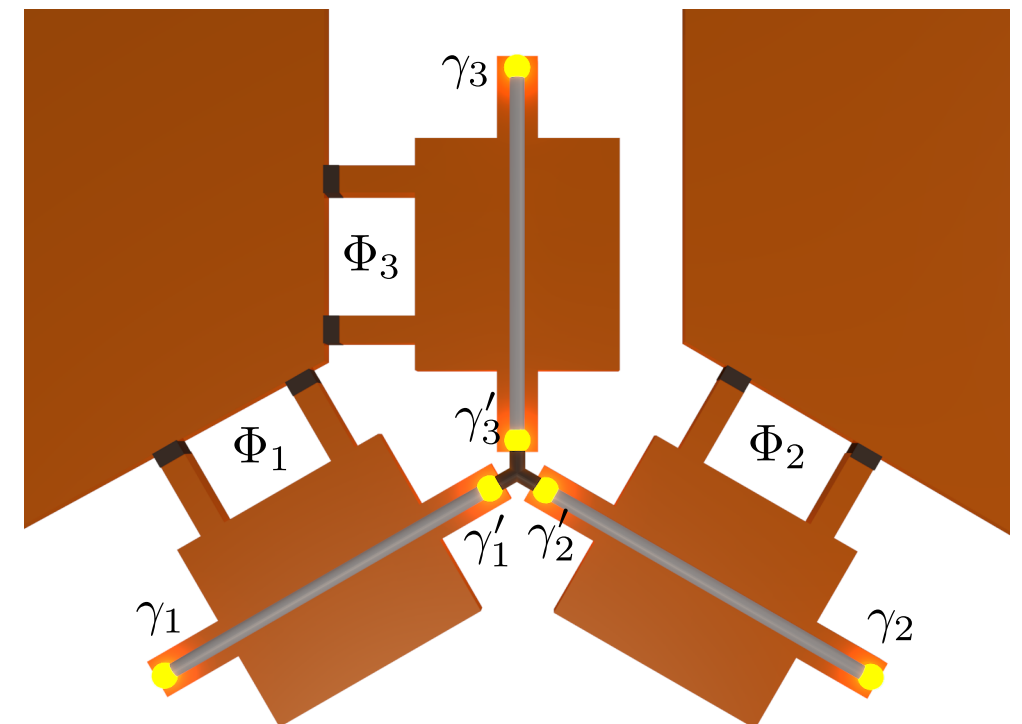
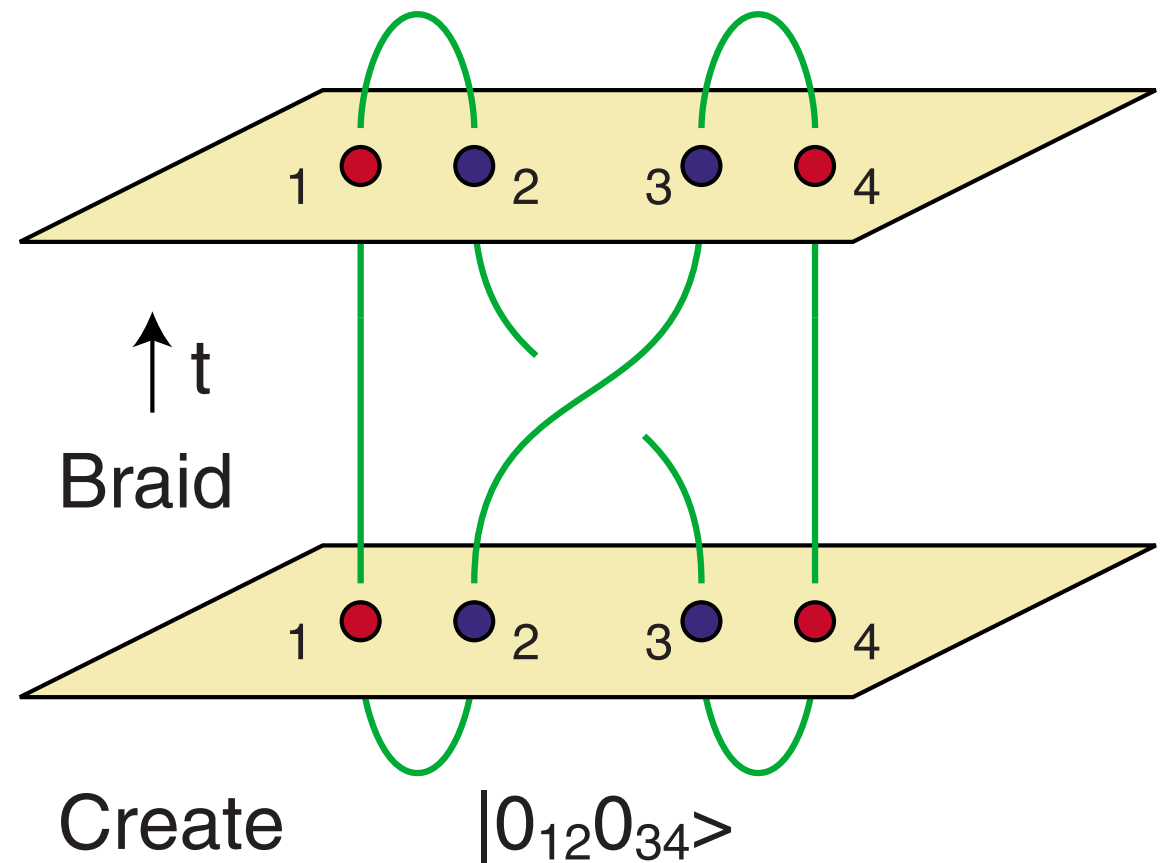
$$-i\gamma_1\gamma_3 = -i\gamma_2\gamma_4 = \sigma_y,$$

$$B_{12} = B_{34} = e^{-\frac{i\pi}{4}\sigma_z},$$

$$B_{23} = e^{-\frac{i\pi}{4}\sigma_x},$$



Measure $(|0_{12}0_{34}\rangle + |1_{12}1_{34}\rangle)/\sqrt{2}$



Majorana detection

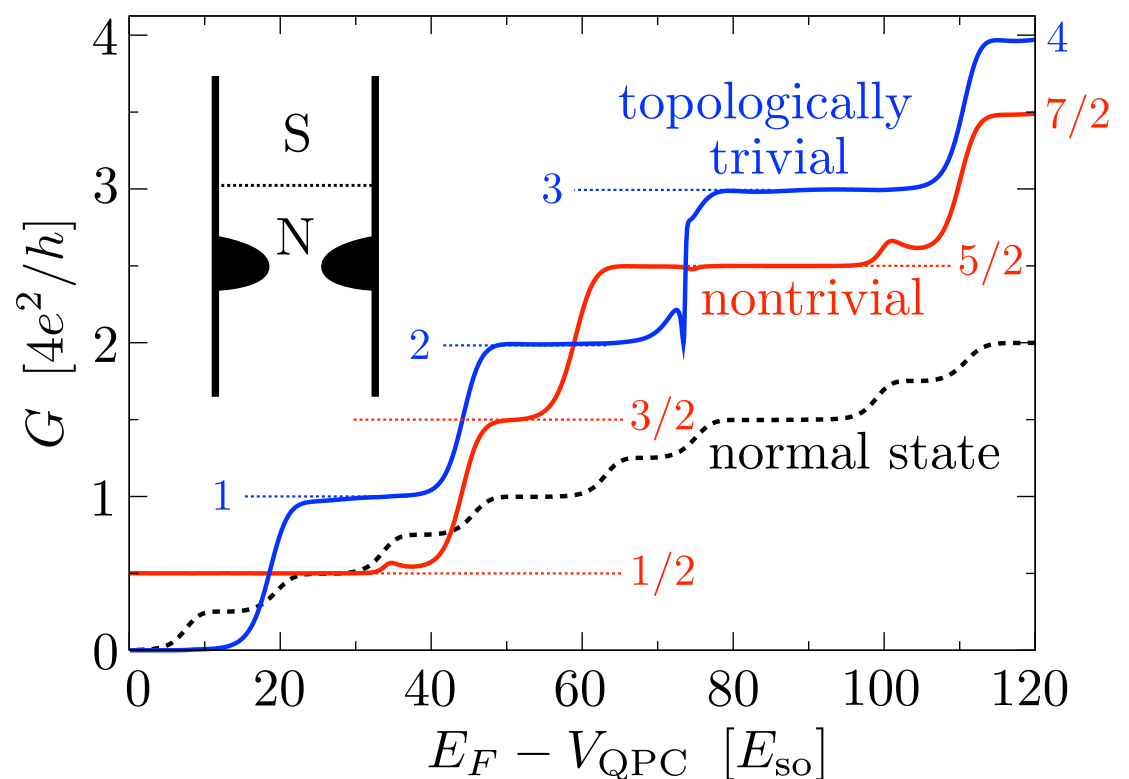
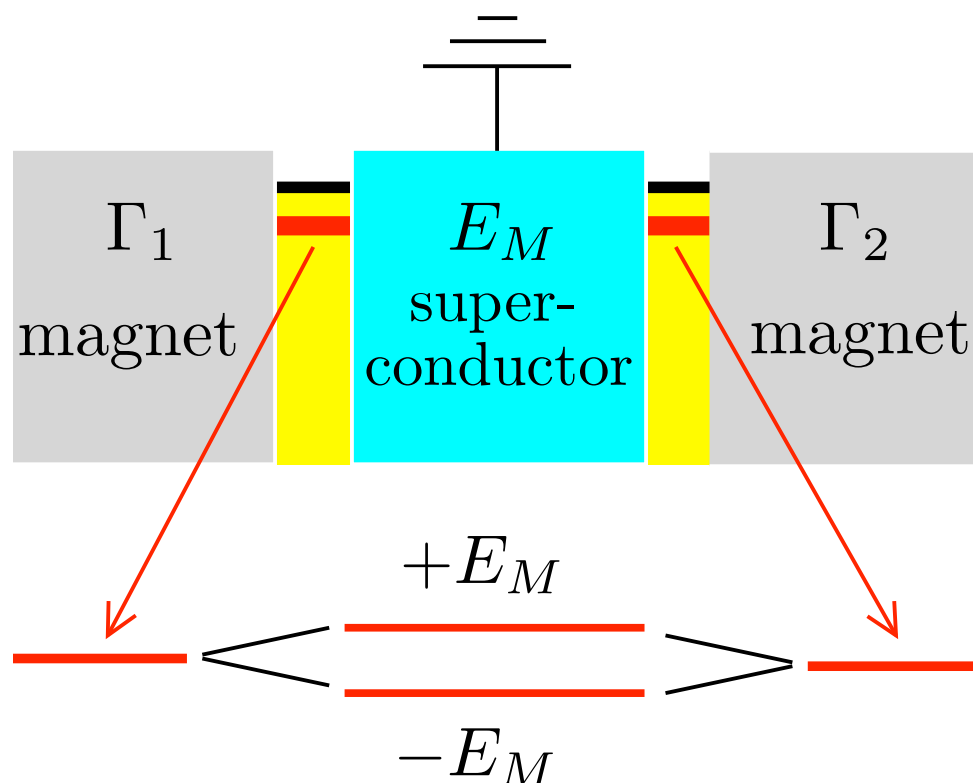
Peculiar characteristics (Tools for detection)

Nonlocal transport (through coupled Majoranas)

1. **Direct coupling:** $H_M = i\epsilon_M\gamma_1\gamma_2$, $\epsilon_M \propto \exp(l/\xi)$
2. **Coulomb interaction in mesoscopic TS islands**

Fractional or 4π -periodic Josephson effect

Half-integer conductance quantization



What else?

Other detection mechanisms? (theory & experiment)

nonlocal transport and entanglement

Universal quantum computing (theory)

Non-topological operations

Coupling topological qubit with conventional qubits

Majorana in other systems (theory & experiment)

Topological superconductivity in Sr_2RuO_4

FQHE at $\nu = 5/2$