

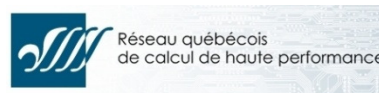
# *Quantum Cluster Methods for Strongly Correlated Electron Systems:* Variational Approach

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Advanced School of Recent Progress in  
Condensed Matter Physics and Strongly Correlated Systems



5 - 9 July 2008



# Menu

Part I :

*Correlated Electron Systems*

Part II :

*Quantum Cluster Methods*

Part III:

*Variational Approach*

# Summary

## Part I :

- Lanczos algorithm (or Band Lanczos) is able to diagonalize the Hamiltonian Matrix for a small systems, and calculates the Green function
- 

## Part II :

### ■ CPT :

- The lattice is tiled by small identical clusters
- It is able to calculate the Green function of the lattice, by solving the problem for small clusters

### ■ SFA :

- The grand potential can be written as a functional of the self-energy  $\Sigma$
- $F[\Sigma]$  is universal  $\rightarrow \Omega_t[\Sigma] = \Omega' - \text{Tr} \ln(1 - VG')$
- For a set of physical variable, grand potential is the saddle point in  $\Omega$ -space. Now it is a function of the physical variables.

# Part III

## The Variational Cluster Approximation

## Motivation

- CPT cannot describe broken symmetry states, because of the finite cluster size
- Idea : add a Weiss field term to the cluster Hamiltonian  $H'$ , e.g., for antiferromagnetism:

$$H'_M = M \sum_a e^{i\mathbf{Q} \cdot \mathbf{r}_a} (n_{a\uparrow} - n_{a\downarrow})$$

- This term favors AF order, but does not appear in  $H$ , and must be subtracted from  $V$
- SFA is the principle to set the value of  $M$ .

## VCA : Basic Idea

- Set up a superlattice of clusters
- Choose a set of variational parameters,  
e.g. Weiss fields for broken symmetries
- Set up the calculation of the Potthoff functional:

$$\Omega_t[\Sigma] = \Omega' - \frac{TL}{N} \sum_{\omega} \sum_{\tilde{\mathbf{k}}} \ln \det \left[ 1 - V(\tilde{\mathbf{k}}) G'(\tilde{\mathbf{k}}, \omega) \right]$$

- Use an optimization method to find the stationary points
- Adopt the cluster self-energy associated with the stationary point, and use it for the lattice

## Variational Cluster Approximation

$$\left. \frac{\delta \Omega[\Sigma]}{\delta \Sigma} \right|_{\Sigma_{physical}} = 0$$

- Therefore,  $\Omega[\Sigma]$  can be written as the direct function of the physical variables  $h$ :

$$\Sigma = \Sigma(h)$$

$$\Omega = \Omega[\Sigma(h)] = \Omega(h)$$

## Variational Cluster Approximation

$$\Omega = \Omega(h)$$

- Best values of hopping and Weiss fields determined by a rigorous **variational** principle:

$$\frac{\delta \Omega}{\delta h} = 0$$

where  $\Omega = (E - \mu N)$  is the grand potential at the physical solution.



## Variational Cluster Approximation

- We add a Weiss field term to the cluster Hamiltonian  $H'$
- This term favors AF order, but does not appear in  $H$ , and must be subtracted from  $V$

Neel order  $H'_{AF} = M \sum_i (-1)^\sigma e^{i\mathbf{Q} \cdot \mathbf{r}_i} n_{i\sigma} \quad , \quad \mathbf{Q} = (\pi, \pi)$

$d$ -wave SC  $H'_{SC} = \sum_{\langle i,j \rangle} \left( \Delta_{ij} c_{i\uparrow} c_{j\downarrow} + \text{h.c.} \right)$

Filling  $H'_\mu = -\mu \sum_{i\sigma} n_{i\sigma}$

Spiral order  $H'_{120^\circ} = h \left\{ \sum_{i \in A} e_A \cdot S_i + \sum_{i \in B} e_B \cdot S_i + \sum_{i \in C} e_C \cdot S_i \right\}$

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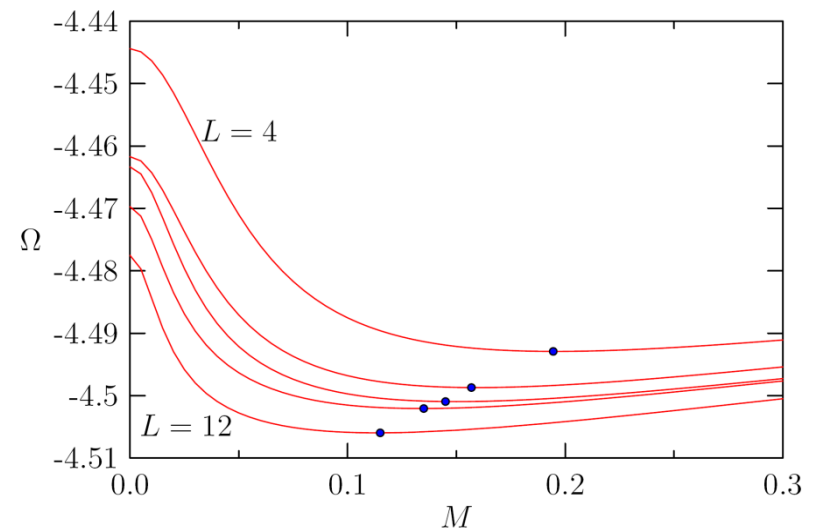
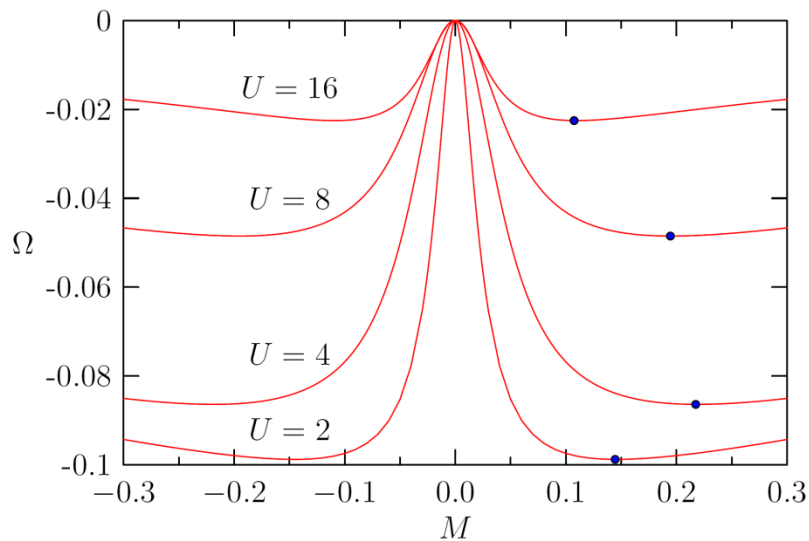

$$\frac{\delta \Omega}{\delta M} = 0 \quad , \quad \frac{\delta \Omega}{\delta \Delta_{ij}} = 0$$

# VCA : Néel Antiferromagnetism

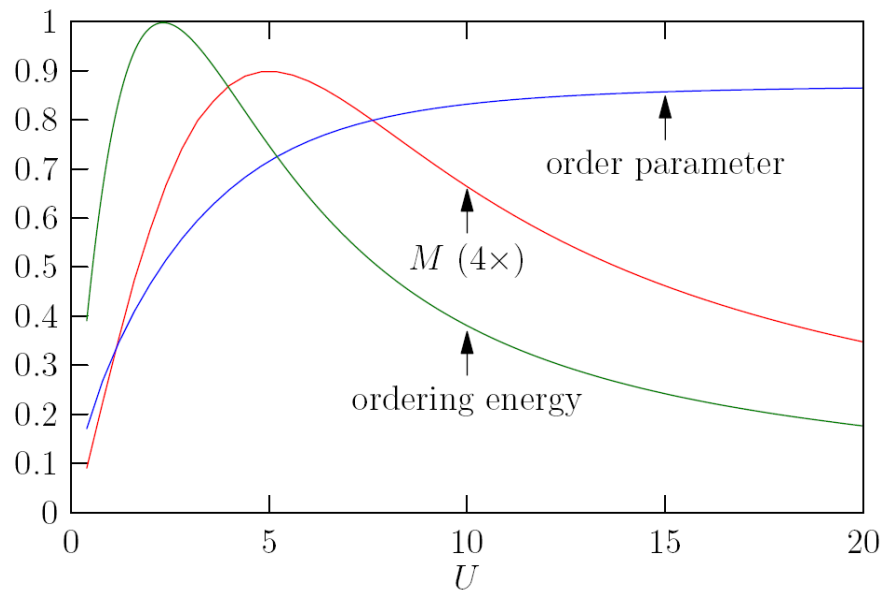
The AF Weiss field :

$$H'_M = M \sum_a e^{i\mathbf{Q} \cdot \mathbf{r}_a} (n_{a\uparrow} - n_{a\downarrow})$$

$\Omega$  for the half-filled, square lattice Hubbard model:

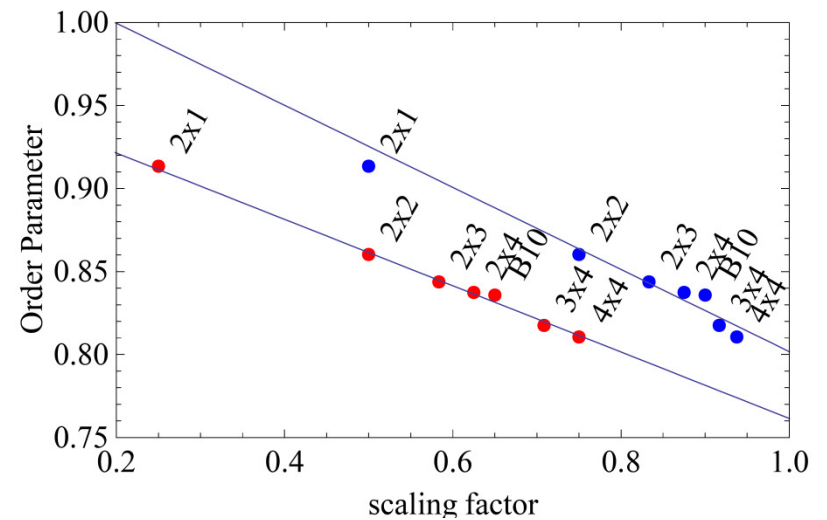
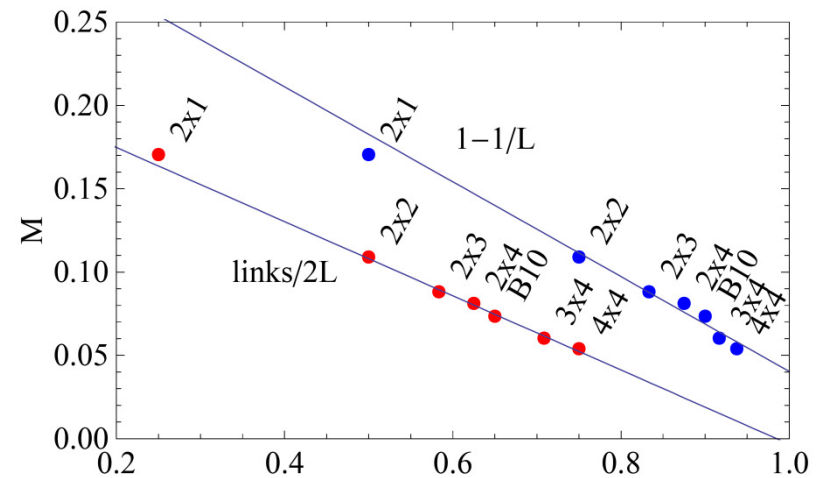


# VCA : scaling factor



Best scaling factor :

$$q = \frac{\text{number of links}}{2 \times \text{number of sites}}$$



# VCA : Superconductivity

The Weiss field is a pairing field

$$\mathcal{O}_{\text{sc}} = \sum_{ij} \Delta_{ij} c_{i\uparrow} c_{j\downarrow} + \text{H.c}$$

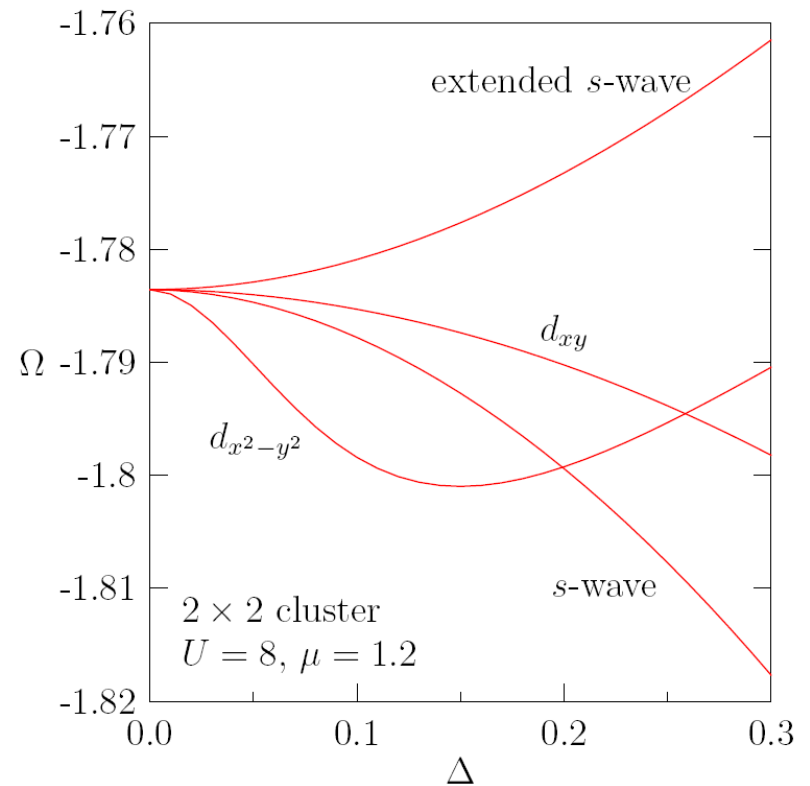
■ *s*-wave

$$\Delta_{ij} = \delta_{ij}$$

■ *d*-wave

$$d_{x^2-y^2} \quad \Delta_{ij} = \begin{cases} 1 & \text{if } \mathbf{r}_i - \mathbf{r}_j = \pm \hat{\mathbf{x}} \\ -1 & \text{if } \mathbf{r}_i - \mathbf{r}_j = \pm \hat{\mathbf{y}} \end{cases}$$

$$d_{xy} \quad \Delta_{ij} = \begin{cases} 1 & \text{if } \mathbf{r}_i - \mathbf{r}_j = \pm(\hat{\mathbf{x}} + \hat{\mathbf{y}}) \\ -1 & \text{if } \mathbf{r}_i - \mathbf{r}_j = \pm(\hat{\mathbf{x}} - \hat{\mathbf{y}}) \end{cases}$$



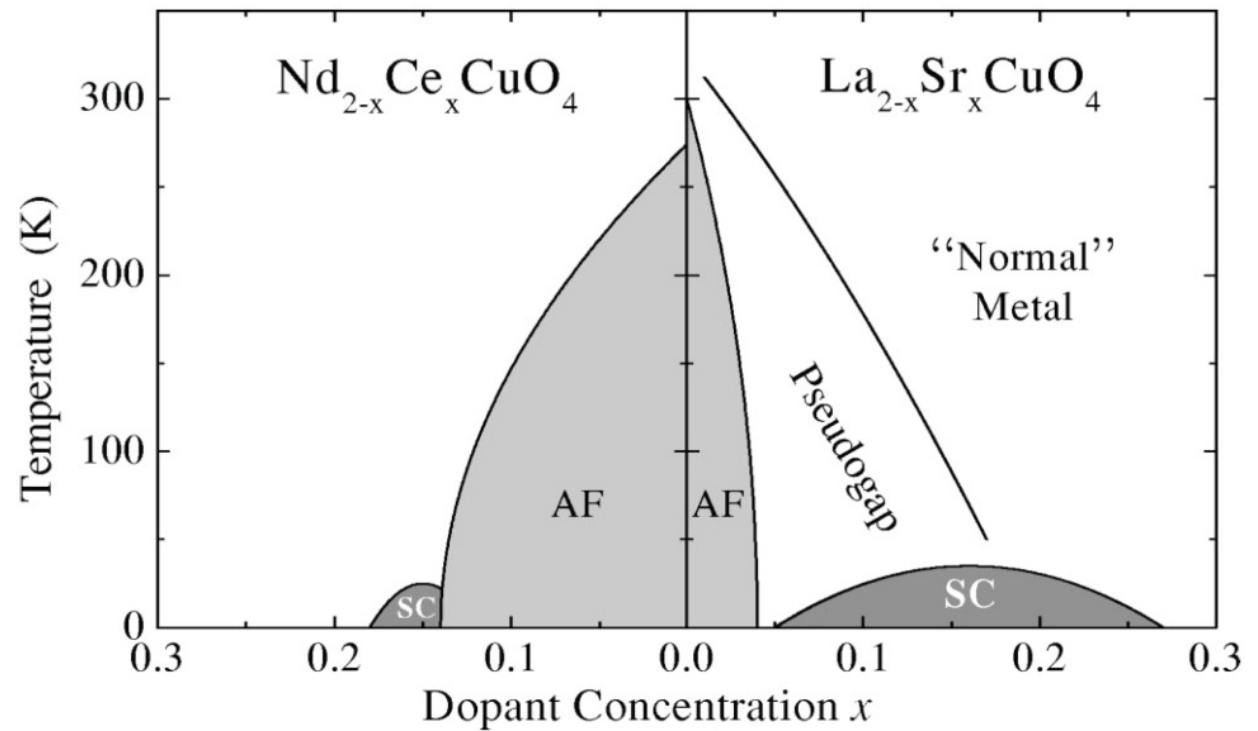
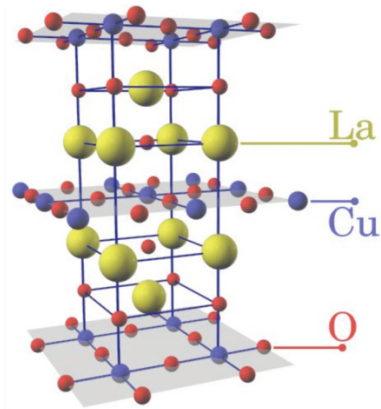
## VCA : Superconductivity

- particle number is not conserved now
- The Hilbert space is enlarged
- *Nambu* formalism is used

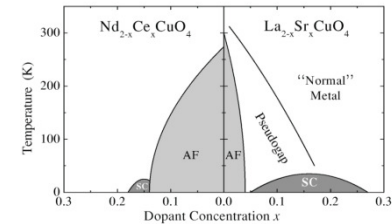
$$c_a = c_{a\uparrow} \qquad d_a = c_{a\downarrow}^\dagger$$

# Structure and Phase Diagram

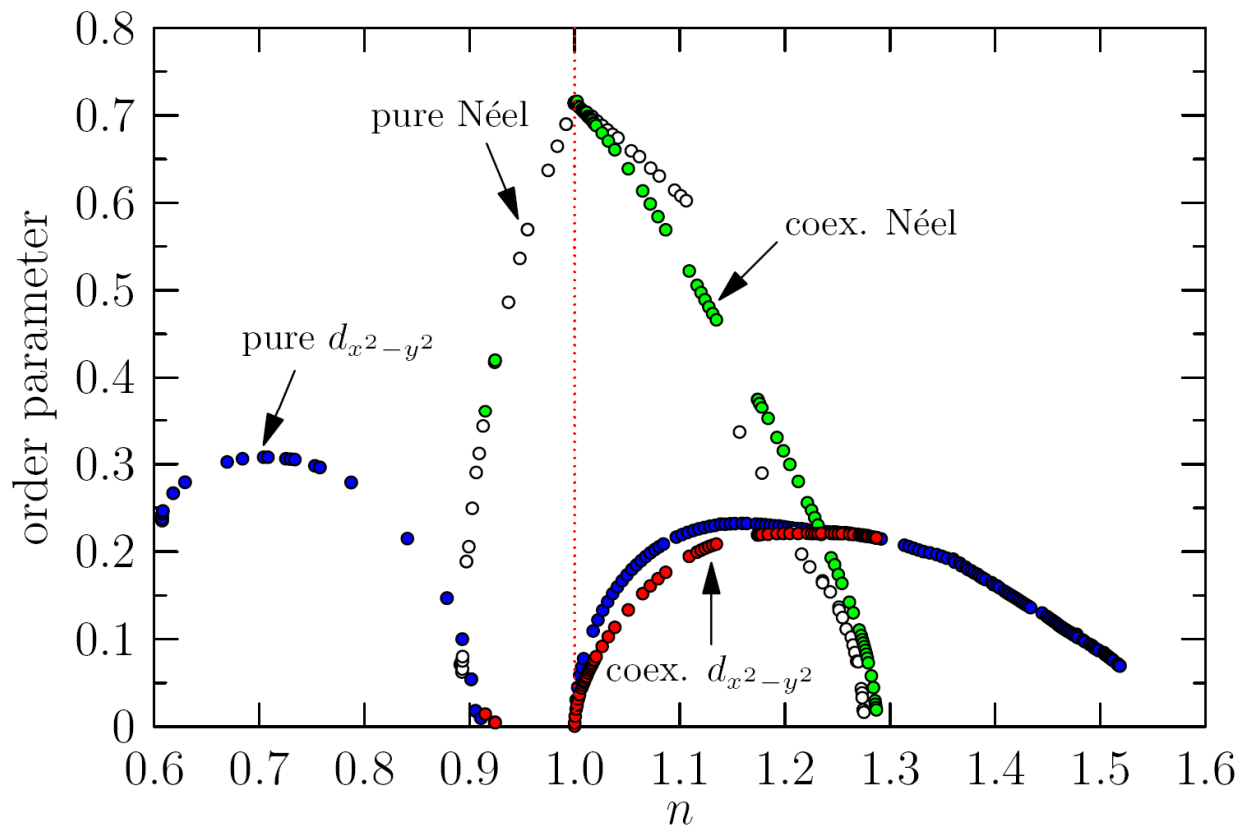
## ■ High $T_c$ superconductors



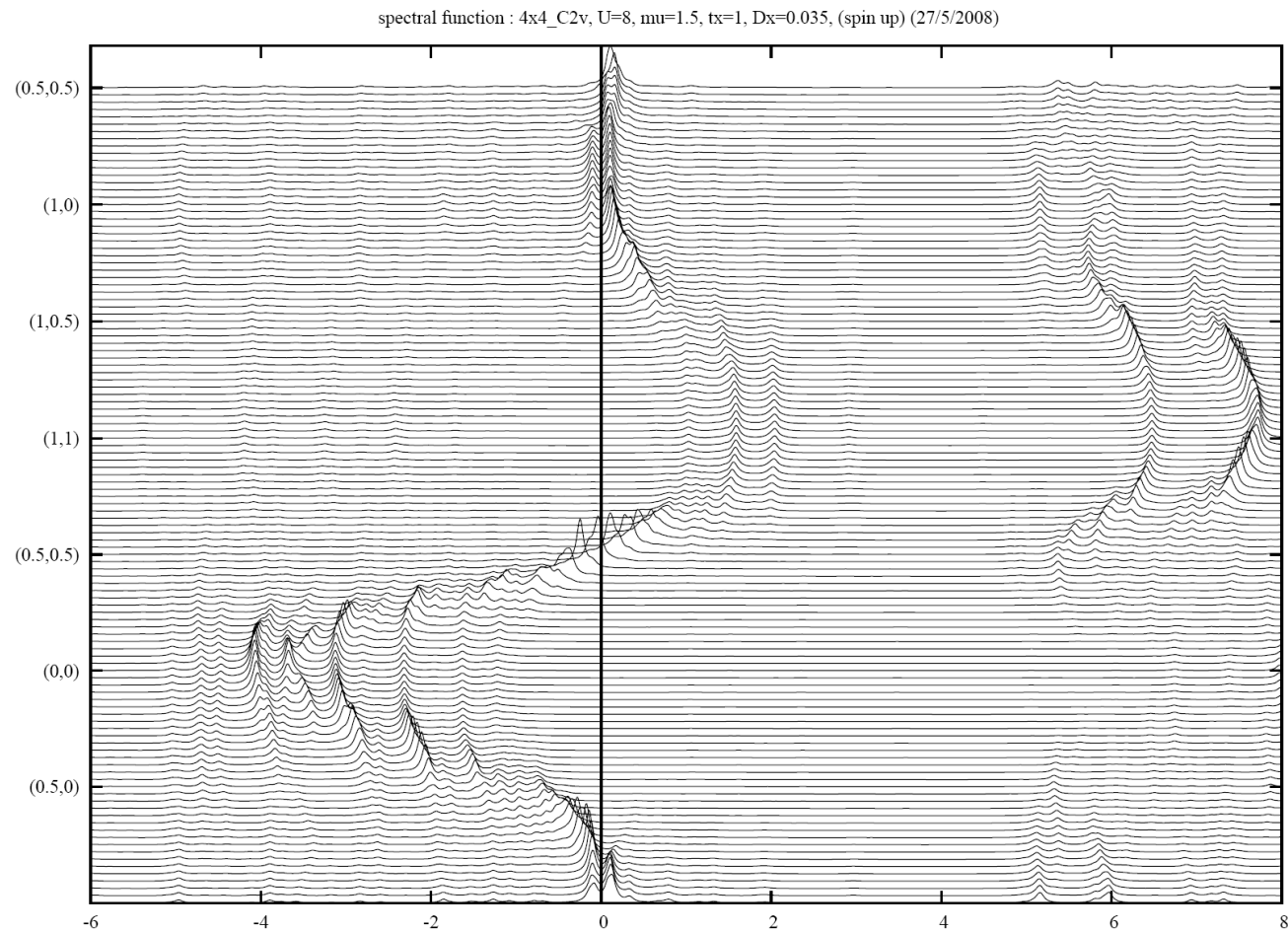
# VCA : High $T_c$ superconductors



One-band Hubbard model for the cuprates:  $t' = -0.3$ ,  $t'' = 0.2$ ,  $U = 8$ :



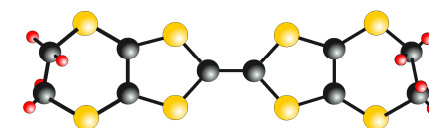
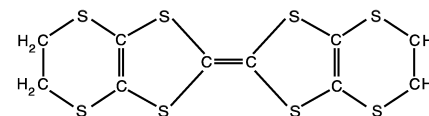
# VCA : High $T_c$ superconductors



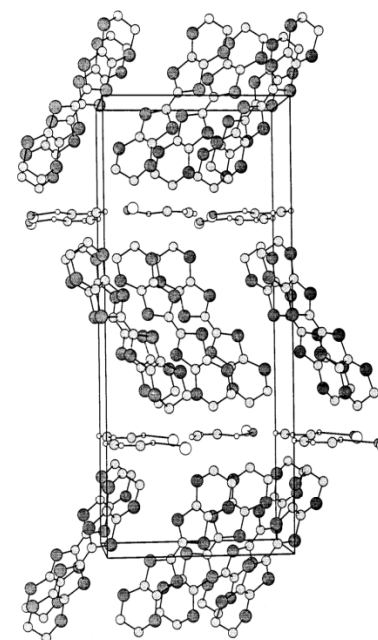
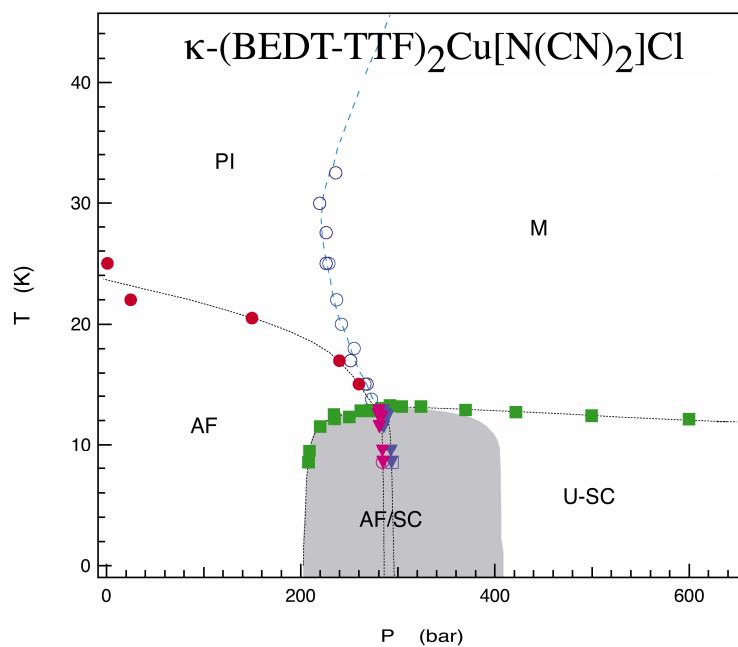


# Structure and Phase Diagram

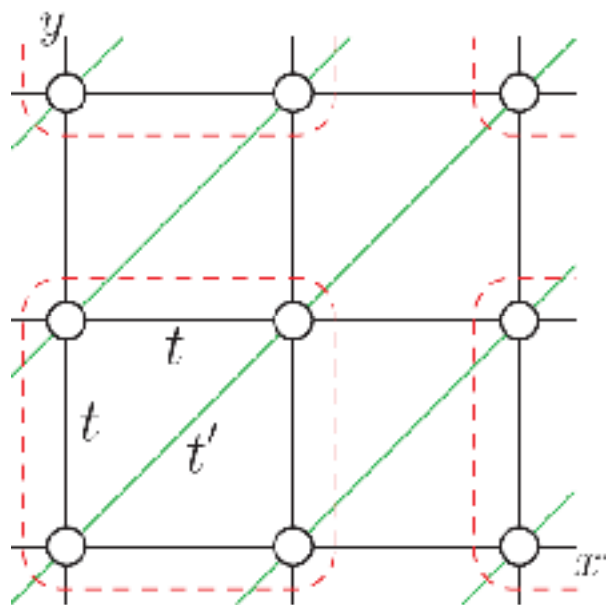
## ■ Organic compounds



BEDT-TTF (ET)



# Structure and Phase Diagram



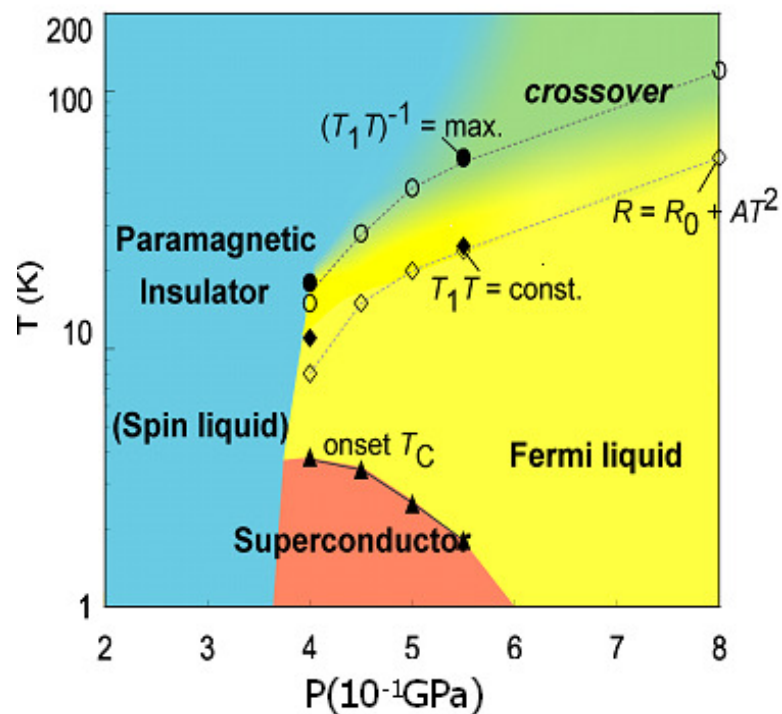
## $\kappa\text{-(ET)}_2\text{X}$

$\text{X}^-$	Ground State	$t'/t$
$\text{Cu}_2(\text{CN})_3$	Mott Insulator	1.06
$\text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$	Mott insulator	0.75
$\text{Cu}[\text{N}(\text{CN})_2]\text{Br}$	SC	0.68
$\text{Cu}(\text{NCS})_2$	SC	0.84
$\text{Cu}(\text{CN})[\text{N}(\text{CN})_2]$	SC	0.68
$\text{Ag}(\text{CN})_2 \cdot \text{H}_2\text{O}$	SC	0.60
$\text{I}_3$	SC	0.58

# Structure and Phase Diagram

Q2D - SL

$\kappa$ - $\text{Cu}_2(\text{CN})_3$

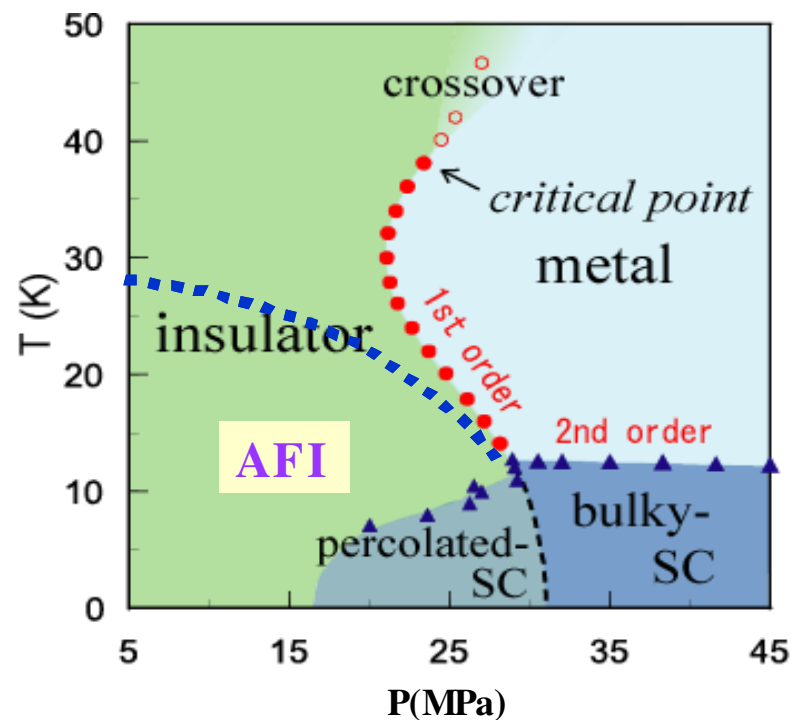


SL  $\longleftrightarrow$  d-SC

*Kurosaki, PRL95, 2005*

Q2D - AF

$\kappa$ - $\text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$



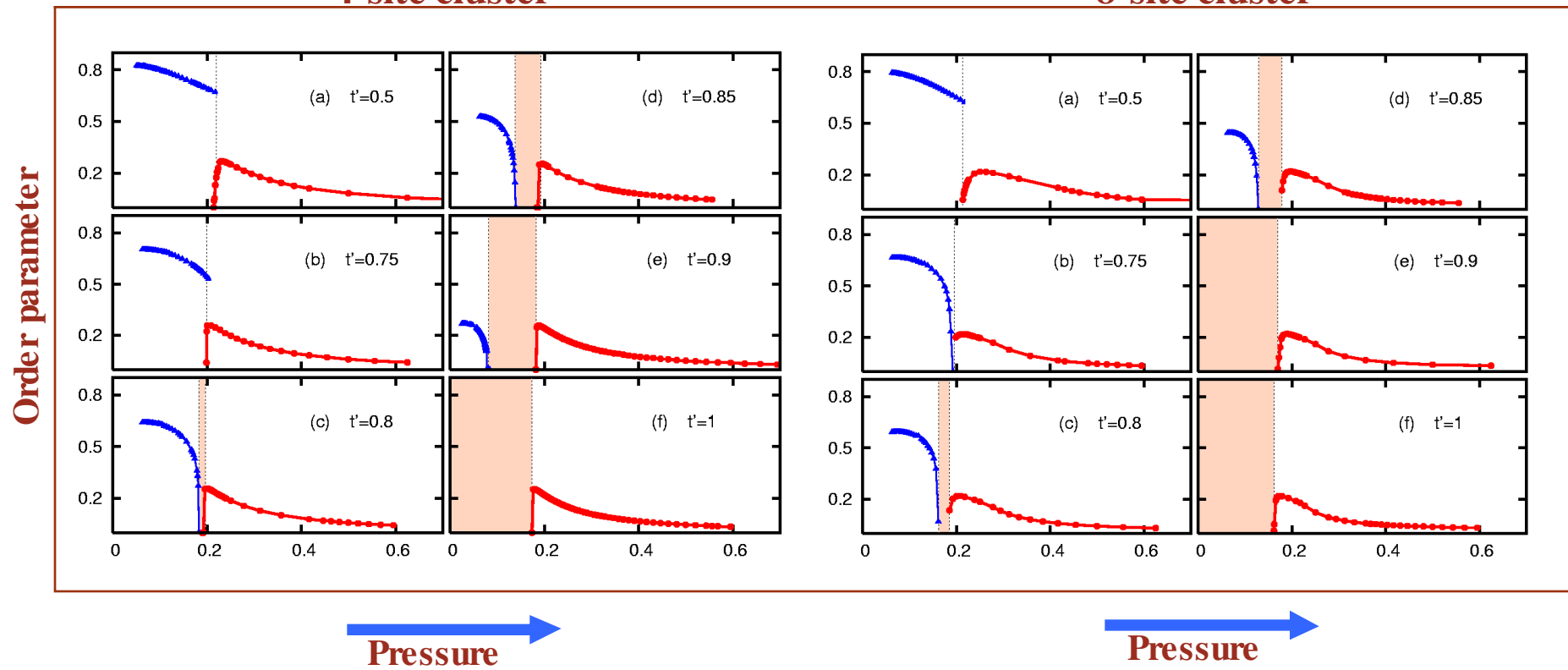
AF  $\longleftrightarrow$  d-SC

*Kagawa, PRB69, 2004*

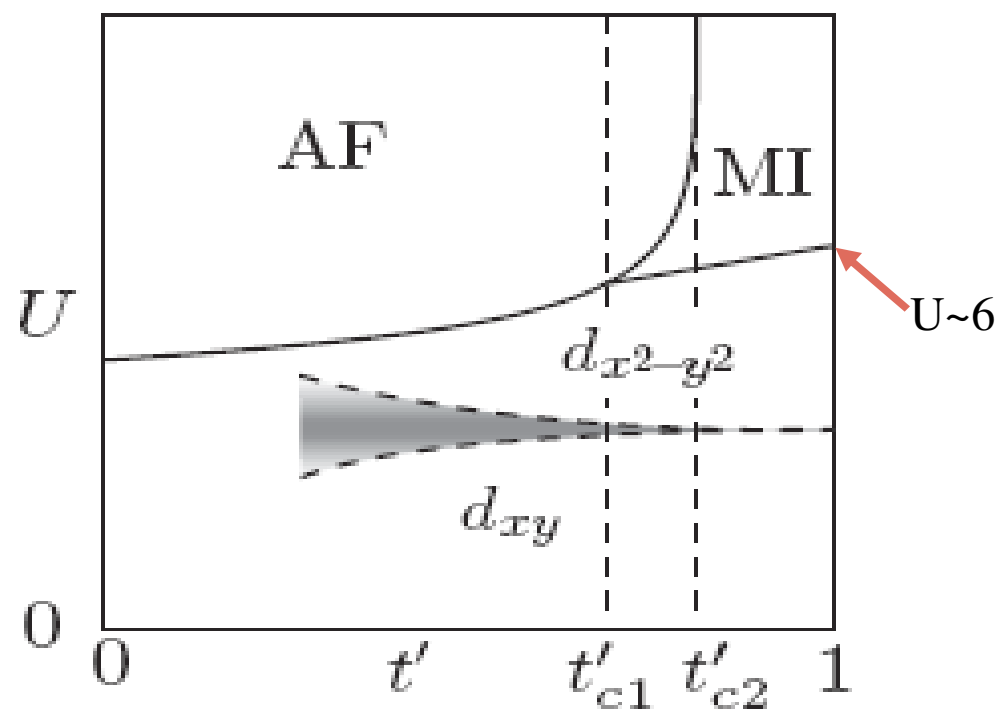
# VCA : Organic compounds

4-site cluster

8-site cluster

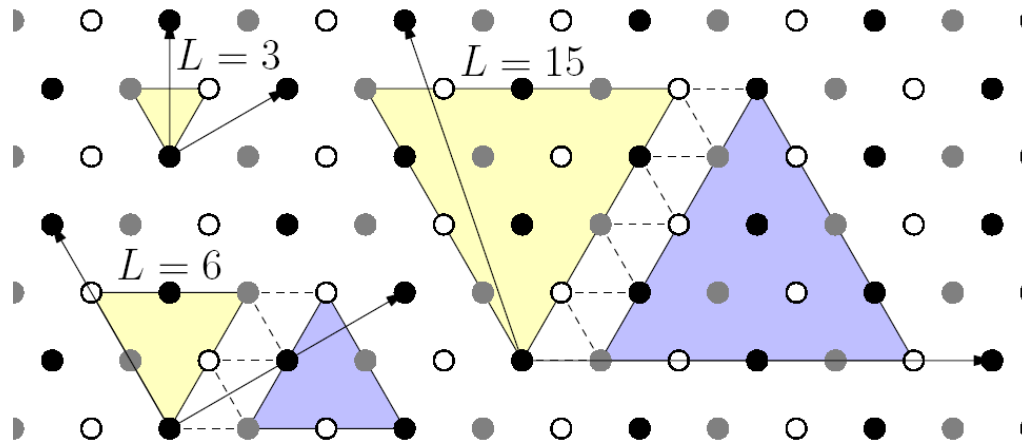


## VCA : Organic compounds



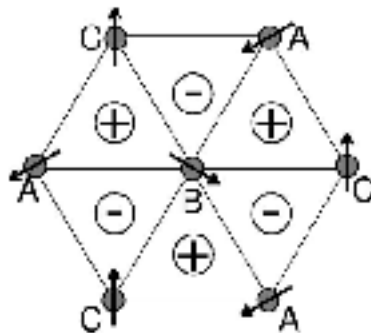
*P. Sahebsara, and D. Sénéchal, PRL97 2006*

# VCA : Organic compounds

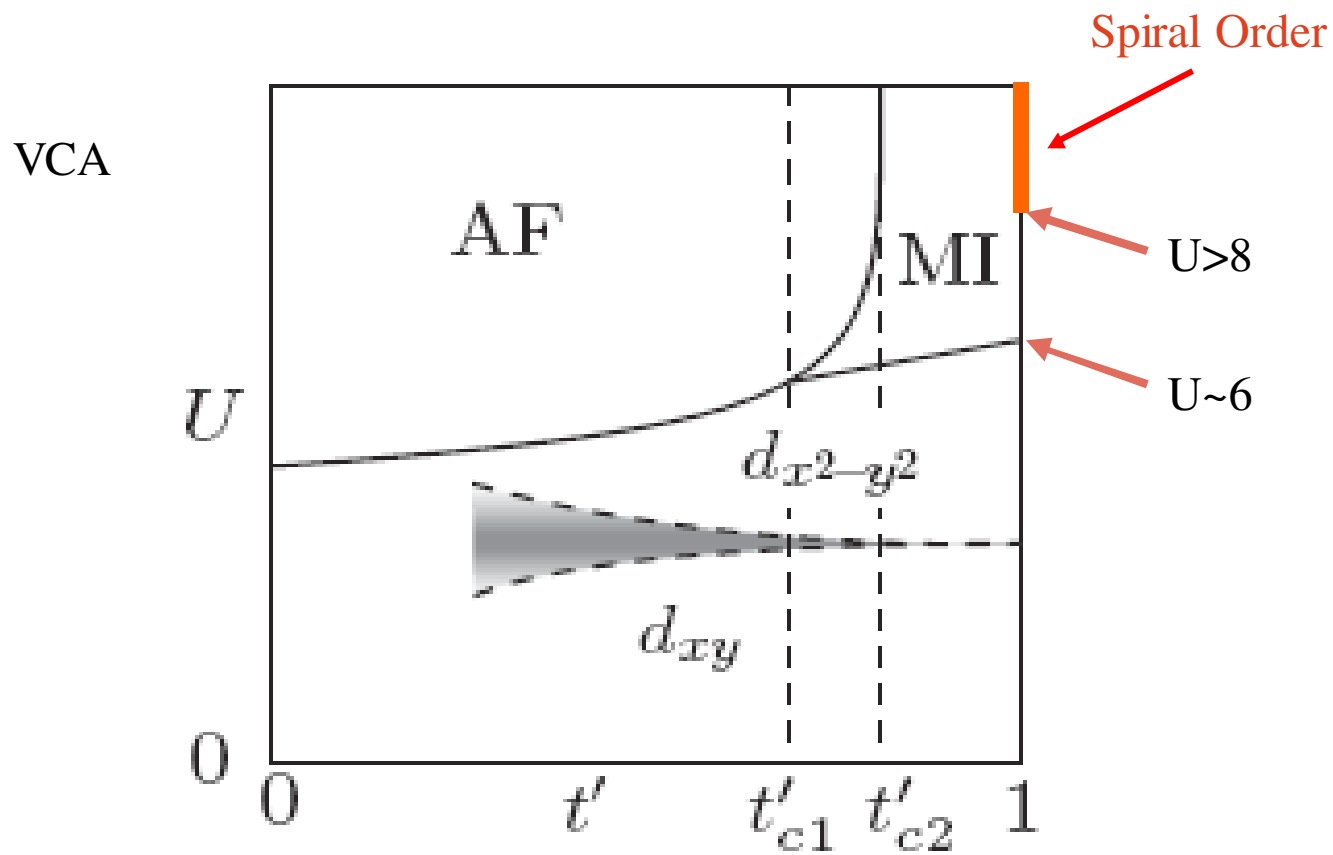


Spiral order

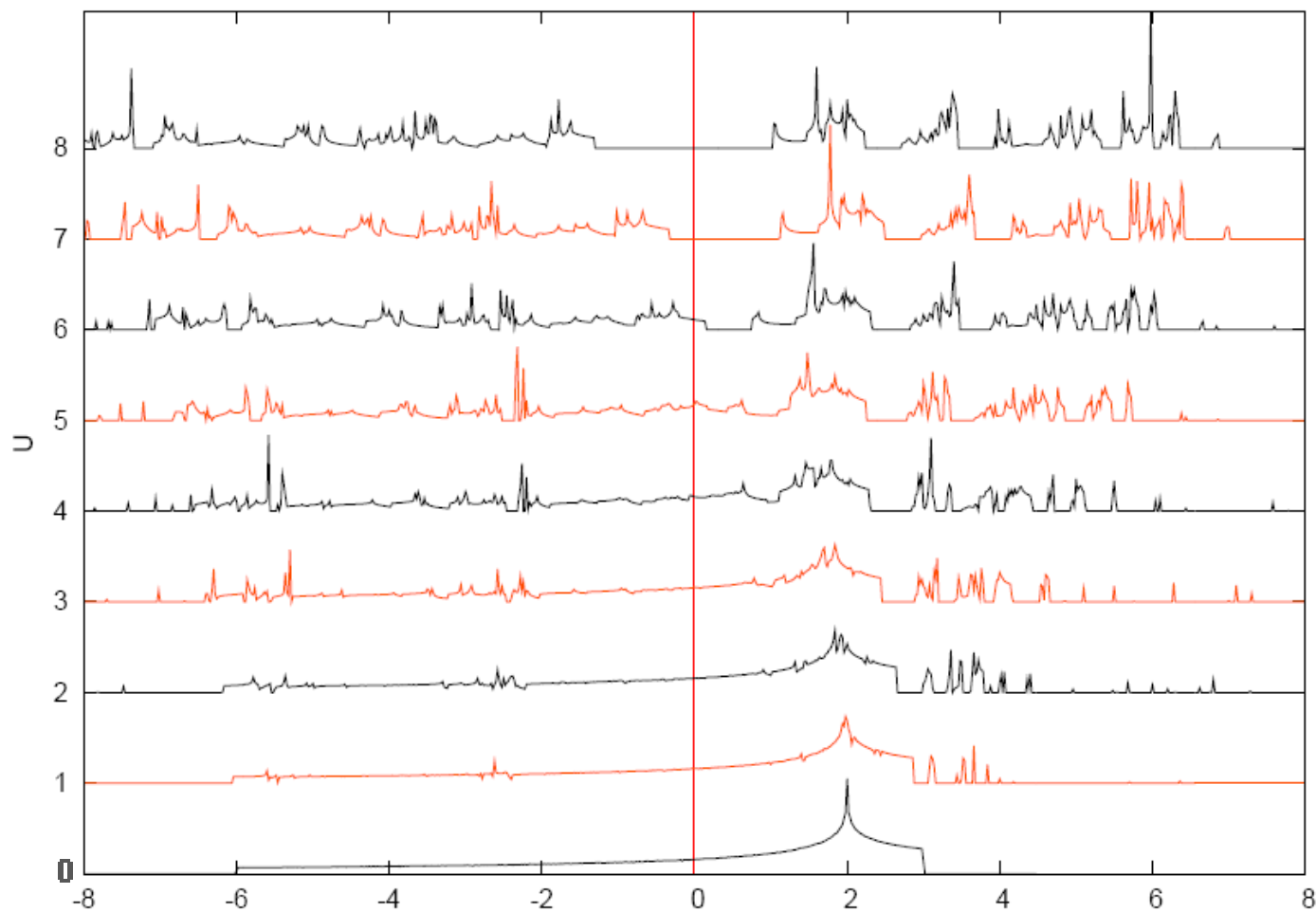
$$H'_{120^\circ} = h \left\{ \sum_{i \in A} e_A \cdot S_i + \sum_{i \in B} e_B \cdot S_i + \sum_{i \in C} e_C \cdot S_i \right\}$$



# VCA : Organic compounds



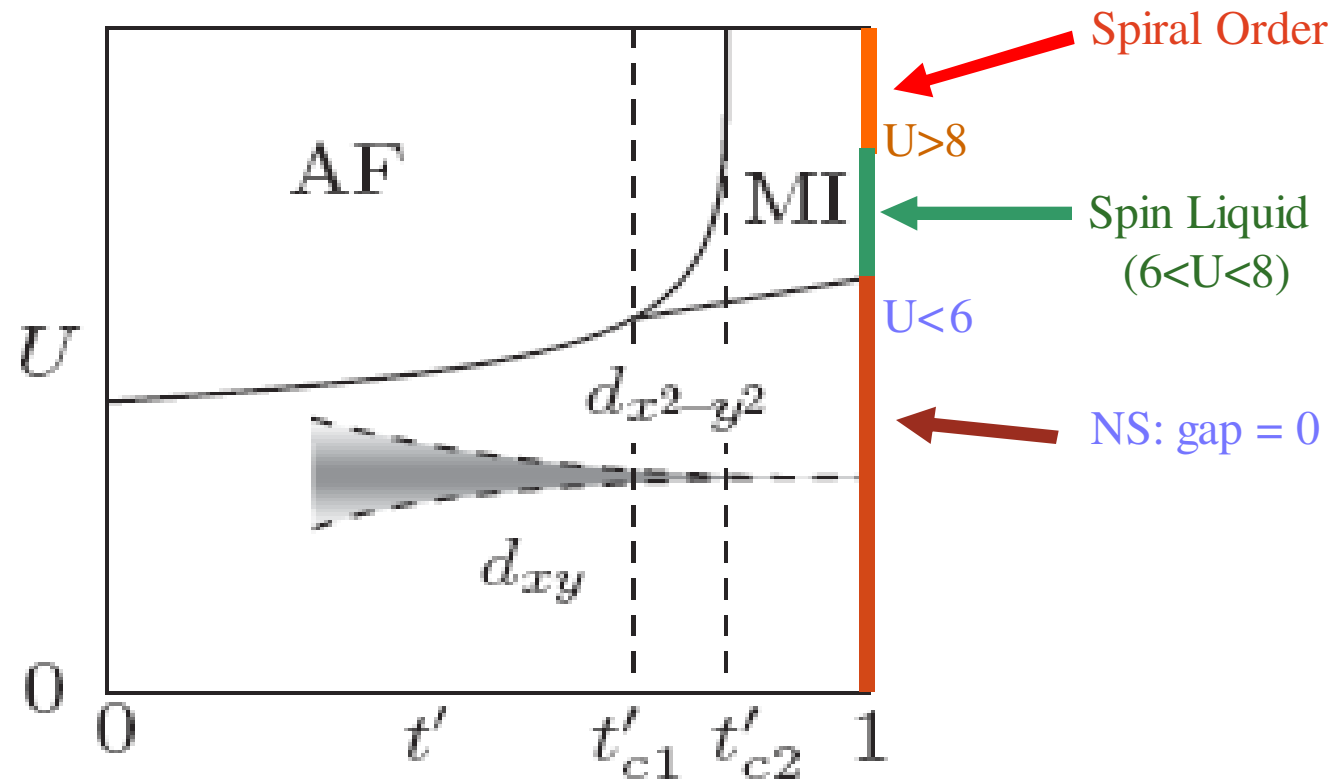
# VCA : Organic compounds



*P. Sahebsara, IJPR, in press*



# VCA : Organic compounds



*P. Sahebsara, and D. Sénéchal, PRL 100, 2008*

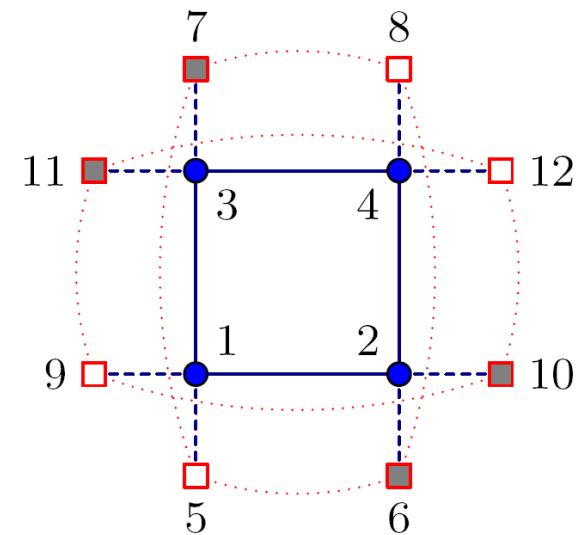
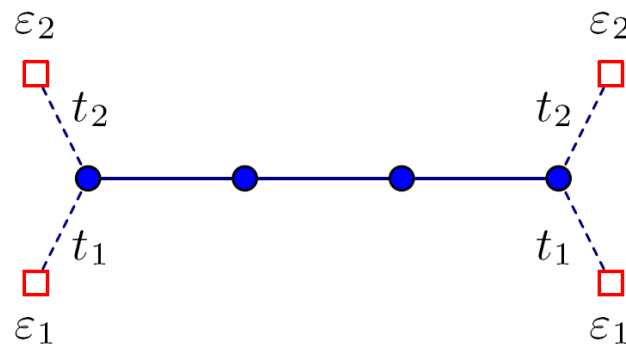
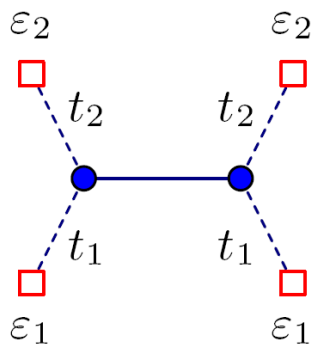
# Cluster Dynamical Mean Field Theory

# CDMFT : Basic Idea

- To add variational degrees of freedom in the form of a **bath of uncorrelated sites**

$$H' = - \sum_{\mu,\nu} t_{\mu\nu} c_{\mu}^{\dagger} c_{\nu} + U \sum_a n_{a\uparrow} n_{a\downarrow} + \sum_{\mu,\alpha} \theta_{\mu\alpha} (c_{\mu}^{\dagger} a_{\alpha} + \text{H.c.}) + \sum_{\alpha} \varepsilon_{\alpha} a_{\alpha}^{\dagger} a_{\alpha}$$

$\theta_{\mu\alpha}$   $\hookrightarrow$  hybridization matrix       $\varepsilon_{\alpha}$   $\hookrightarrow$  bath energies



## CDMFT : The hybridization function

- the cluster Green function takes the form

$$G'^{-1} = \omega - t - \Gamma(\omega) - \Sigma(\omega)$$

- $\Gamma(\omega)$  is the hybridization function:

$$\Gamma_{\mu\nu}(\omega) = \sum_{\alpha} \frac{\theta_{\mu\alpha} \theta_{\nu\alpha}^*}{\omega - \varepsilon_{\alpha}}$$

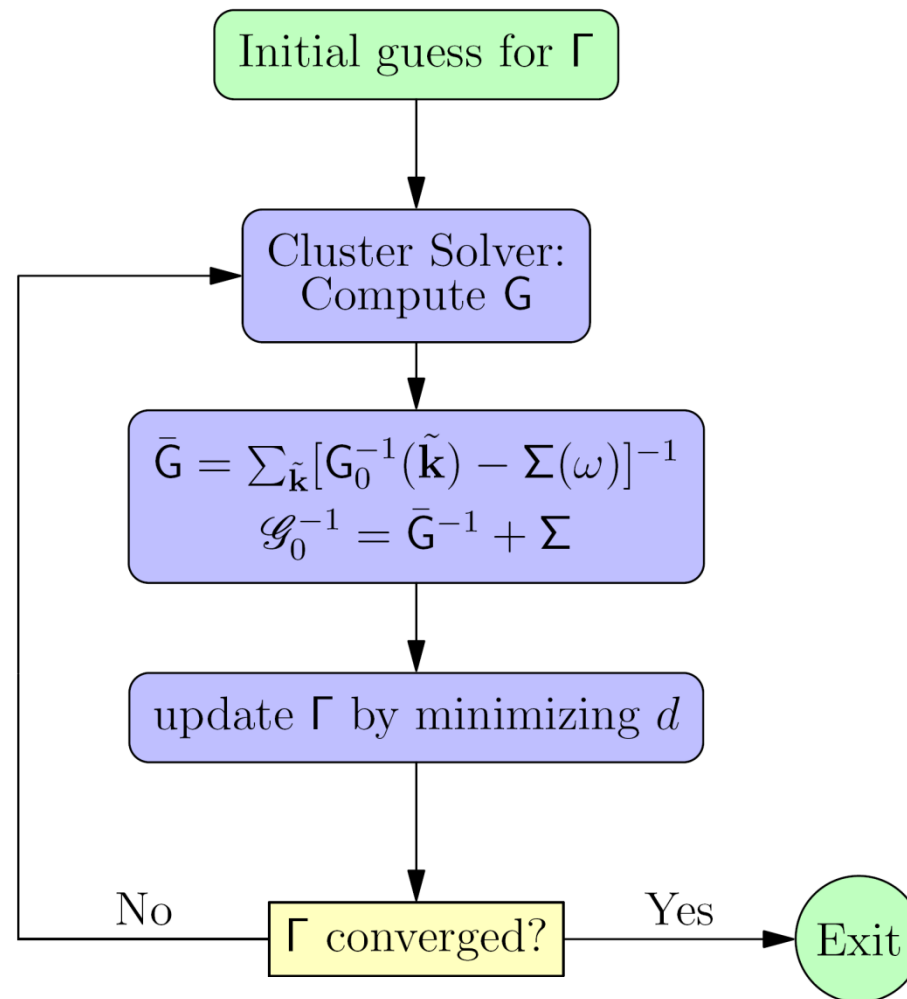
## CDMFT : The hybridization function

- The bath makes a contribution to the Potthoff functional:

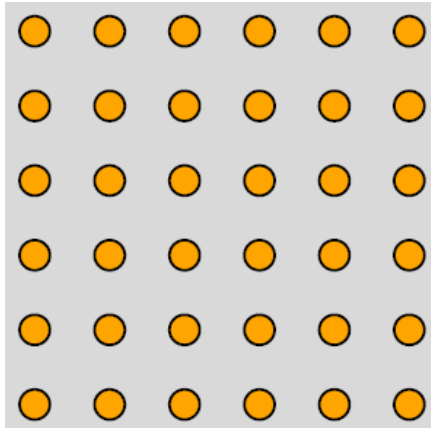
$$\Omega_{\text{bath}} = \sum_{\varepsilon_{\alpha} < 0} \varepsilon_{\alpha}$$

- One can in principle use the same methods as in VCA

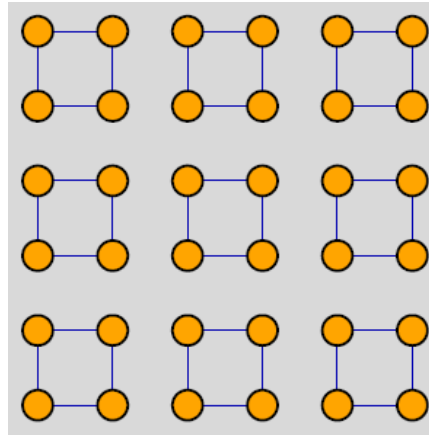
# CDMFT : The Algorithm



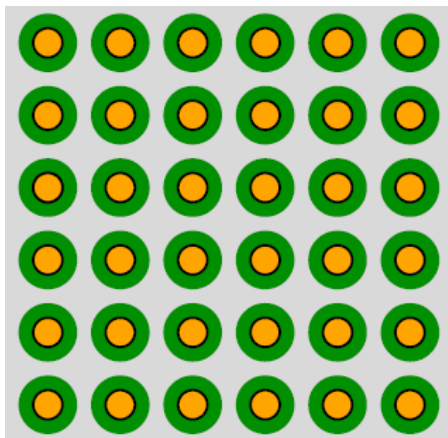
# Summary



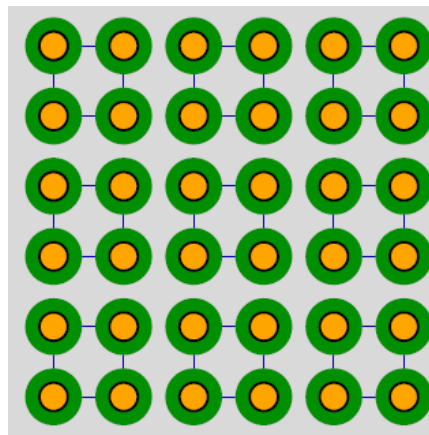
Original system



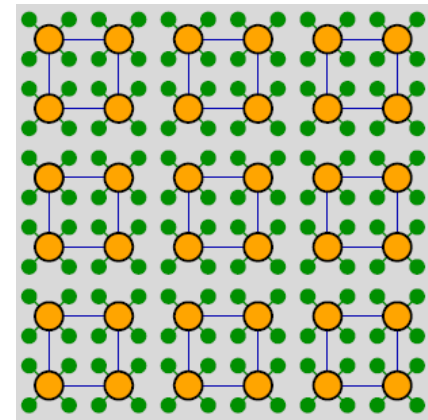
CPT - VCA



DMFT



C-DMFT



VCA + CDMFT

*... and life is going on!*

*Thank you*