Neutrino parameters and open questions in neutrino physics

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Neutrino mass parameters

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = U_{PMNS} \cdot \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

 $m_1 m_2 m_3$

$$U_{PMNS} = O_{23}O_{13}O_{12}\Phi$$
$$O_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{bmatrix}$$
$$O_{12} = \begin{bmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$O_{13} = \begin{bmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{bmatrix}$$

$$\Phi = \text{Diag}[e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3}]$$

$$U_{PMNS} = O_{23}O_{13}O_{12}\Phi$$

$$O_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{bmatrix}$$

$$O_{12} = \begin{bmatrix} \cos \theta_{12} & \sin \theta_{12} & 0\\ -\sin \theta_{12} & \cos \theta_{12} & 0\\ 0 & 0 & 1 \end{bmatrix}$$

$$O_{13} = \begin{bmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{bmatrix}$$

$$\Phi = \text{Diag}[e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3}]$$

Are ϕ_i observable?

$$U_{PMNS} = O_{23}O_{13}O_{12}\Phi$$

$$O_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{bmatrix}$$

$$O_{12} = \begin{bmatrix} \cos \theta_{12} & \sin \theta_{12} & 0\\ -\sin \theta_{12} & \cos \theta_{12} & 0\\ 0 & 0 & 1 \end{bmatrix}$$

$$O_{13} = \begin{bmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{bmatrix}$$

$$\Phi = \text{Diag}[e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3}]$$

Are ϕ_i observable?

We shall discuss later.

Compact form

 $U_{PMNS} = O_{23}O_{13}O_{12}\Phi$

$$\begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

Neutrino oscillation in matter

$$P(\nu_{\alpha} \to \nu_{\beta}) = |\langle \nu_{\beta} | \nu_{\alpha}; t \rangle|^{2} = \sum_{i,j} U_{\beta i} U_{\alpha i}^{*} U_{\beta j}^{*} U_{\alpha j} e^{i \frac{\Delta m_{j i}^{2}}{2p} t}$$

$$P(\bar{\nu}_{\alpha} \to \bar{\nu}_{\beta}) = |\langle \bar{\nu}_{\beta} | \bar{\nu}_{\alpha}; t \rangle|^{2} = \sum_{i,j} U_{\beta i}^{*} U_{\alpha i} U_{\beta j} U_{\alpha j}^{*} e^{i \frac{\Delta m_{ji}^{2}}{2p} t}$$

 $\Delta m_{ji}^2 \equiv m_j^2 - m_i^2$

• Oscillation depends only on mass square difference both in vacuum and in matter.

$$\begin{aligned} \frac{m_{\tilde{\nu}}}{2E} + V_{eff} &= \\ \frac{m_1^2}{2E} \begin{bmatrix} 1 & 0 & 0\\ 0 & 1 & 0\\ 0 & 0 & 1 \end{bmatrix} + U_{PMNS} \cdot \begin{bmatrix} 0 & 0 & 0\\ 0 & \frac{\Delta m_{21}^2}{2E} & 0\\ 0 & 0 & \frac{\Delta m_{31}^2}{2E} \end{bmatrix} \cdot U_{PMNS}^T + \begin{bmatrix} V_{NC} + V_{CC} & 0 & 0\\ 0 & V_{NC} & 0\\ 0 & 0 & V_{NC} \end{bmatrix} \end{aligned}$$

Oscillation depends only on mass square • difference both in vacuum and in matter.

How to measure neutrino mass scale?

 $N \to N' \bar{\nu}_e e^-$

Tritium $\rightarrow^{3} \text{He} + \bar{\nu}_{e} + e^{-}$

Shift of endpoint

$$E_e^{max} = Q - m_{\nu_e} \qquad Q = m_N - m_{N'}$$



On the effective mass of the electron neutrino in beta decay, Phys. Lett. B 557 (2003) YF and Smirnov

Mainz experiment

$$m_{\nu} < 2.2 \text{ eV}$$



KATRIN experiment

KArlsruhe TRItium Neutrino



Down to 0.2 eV

Present bound: Aker et al (KATRIN), 2105.08533

 $m_{\nu} < 0.8$ eV 90% C.L.



KATRIN Bounds



KATRIN collaboration, Nature Physics 18 (2022) 160

 $m_{\nu} < 0.8 \,\,\mathrm{MeV}$

90 % C.L

Neutrino mass parameters

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = U_{PMNS} \cdot \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

 $m_1 m_2 m_3$

Pontecorvo-Maki-Nakagawa-Sakata

$$U_{PMNS} = O_{23}O_{13}O_{12}\Phi$$

$$O_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{bmatrix} \qquad O_{13} = \begin{bmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{-i\delta} & 0 & \cos\theta_{13} \end{bmatrix}$$

$$O_{12} = \begin{bmatrix} \cos \theta_{12} & \sin \theta_{12} & 0\\ -\sin \theta_{12} & \cos \theta_{12} & 0\\ 0 & 0 & 1 \end{bmatrix}$$

Knowns parameters Solar and KamLAND

 $\theta_{12},\ m_2^2-m_1^2$

Atmospheric and long baseline

$$\theta_{23}, \ |m_3^2 - m_1^2|$$

Chooz: $\theta_{13} < 10^{\circ}$ θ_{13} ??

Daya Bay, RENO05, double CHOOZ

 θ_{13}

Long baseline

Solar neutrinos



Homestake: 1968-1994

$$\nu_e + {}^{37}Cl \to e^- + {}^{37}Ar$$

Neutrino oscillation ν_e appears as deficit.

$$\nu_e \to \nu_\mu, \nu_\tau$$

Raymond Davis





2002 Nobel prize

	BP00	BP04	BSB05(GS98)	BSB05(AGS05)
$\Phi_{pp}/10^{10}$	$5.95(1\pm 0.01)$	$5.94(1\pm 0.01)$	$5.99(1\pm 0.009)$	$6.06(1\pm 0.007)$
$\Phi_{pep} / 10^8$	$1.40(1\pm 0.015)$	$1.40(1\pm 0.02)$	$1.42(1\pm 0.015)$	$1.45(1\pm 0.011)$
$\Phi_{hep} / 10^3$	9.3	$7.88(1\pm0.16)$	$7.93(1\pm0.155)$	$8.25(1\pm0.155)$
$\Phi_{^7{ m Be}}/10^9$	$4.77(1\pm 0.10)$	$4.86(1\pm0.12)$	$4.84(1\pm0.105)$	$4.34(1\pm 0.093)$
$\Phi_{^8\mathrm{B}}/10^6$	$5.05\left(1{}^{+0.20}_{-0.16} ight)$	$5.79(1\pm 0.23)$	$5.69\left(1{}^{+0.173}_{-0.147} ight)$	$4.51\left(1^{+0.127}_{-0.113} ight)$
$\Phi_{^{13} m N}/10^8$	$5.48(1^{+0.21}_{-0.17})$	$5.71(1^{+0.37}_{-0.35})$	$3.05\left(1^{+0.366}_{-0.268}\right)$	$2.00\left(1^{+0.145}_{-0.127} ight)$
$\Phi_{^{15}\mathrm{O}}/10^{8}$	$4.80\left(1^{+0.25}_{-0.19} ight)$	$5.03\left(1_{-0.39}^{+0.43} ight)$	$2.31\left(1^{+0.374}_{-0.272} ight)$	$1.44(1^{+0.165}_{-0.142})$
$\Phi_{^{17}{ m F}}/10^6$	$5.63(1\pm 0.25)$	$5.91\left(1_{-0.44}^{+0.44} ight)$	$5.83\left(1_{-0.420}^{+0.724} ight)$	$3.25\left(1^{+0.166}_{-0.142} ight)$

C. Giunti and C. W. Kim Fundamentals of neutrino physics and astrophysics

observation=(1/3) of expected

Davis, Harmer and Hoffman, PRL (1968)

Water Cherenkov experiments

- Like super-kamiokande in Japan
- And its predecessor Kamiokande IMB in the USA



KOSHIBA



Designed Kamiokande to search for Proton decay



Before (Sanduleak II9 202) and Alter (SN 1987A) supernova explosion. The whole galaxy is illuminoled from the Englishess of the explosion.

KOSHIBA and DAVIS



2002 Nobel prize



for pioneering contributions to astrophysics, in particular for the detection of cosmic <u>neutrinos</u>





SNO:

 $F(\nu_e) + F(\nu_\mu) + F(\nu_\tau)|_{observed} = F(\nu_e)|_{predicted}$

SNO in Canada

SNO



 $CC: \nu_e + d \rightarrow p + p + e^-$

NC:
$$\nu_{\alpha} + d \to p + n + \nu_{\alpha}$$

ES:
$$\nu_{\alpha} + e^- \rightarrow \nu_{\alpha} + e^-$$

1000 tones D₂O

SNO in Canada

SNO



CC: $\nu_e + d \rightarrow p + p + e^-$ NC: $\nu_\alpha + d \rightarrow p + n + \nu_\alpha$ ES: $\nu_\alpha + e^- \rightarrow \nu_\alpha + e^-$

 $F(\nu_e) + F(\nu_{\mu}) + F(\nu_{\tau})|_{observed} = F(\nu_e)|_{predicted}$

1000 tones D₂O

Arthur McDonald



KamLAND

 $\bar{\nu}_e \rightarrow \bar{\nu}_\mu, \bar{\nu}_\tau$



KamLAND





CLOWN Diagram



CLOWN Diagram



Maskara in turkish!

Pagliaccio in Italian.

Why only $\theta_{12}, m_2^2 - m_1^2$?

$$\begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

 $|\nu_e\rangle = c_{12}c_{13}|\nu_1\rangle + s_{12}c_{13}|\nu_2\rangle + c_{12}c_{13}|\nu_1\rangle + s_{13}e^{-i\delta}|\nu_3\rangle$

Why only
$$\theta_{12}, m_2^2 - m_1^2$$
?

$$\nu_e \rangle = c_{12}c_{13}|\nu_1\rangle + s_{12}c_{13}|\nu_2\rangle + s_{13}e^{-i\delta}|\nu_3\rangle$$
$$|\nu_e\rangle = c_{12}|\nu_1\rangle + s_{12}|\nu_2\rangle$$

$$\operatorname{sgn}(\Delta m_{21}^2)$$

Oscillation in vacuum •

$$1 - P(\nu_e \to \nu_e) = \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E}$$

Matter effects •

$$(\Delta m_{21}^2)_{eff} = \sqrt{(\Delta m_{21}^2 \cos(2\theta_{12}) - 2V_{CC}E)^2 + (\Delta m_{21}^2 \sin(2\theta_{12}))^2}$$

Matter effects inside the Sun makes oscillation sensitive to $sgn(\Delta m_{21}^2)$

Results of global fit

Gonzalez-Garcia, Maltoni and Salvado, JHEP 2010 •

 $\Delta m_{21}^2 = 7.59 \pm 0.20 \ \binom{+0.61}{-0.69} \times 10^{-5} \ \mathrm{eV}^2$

$$\theta_{12} = 34.4 \pm 1.0 \, \left({}^{+3.2}_{-2.9} \right)^{\circ}$$
Atmospheric neutrinos

$$\pi^+ \to \mu^+ \nu_\mu \qquad \mu^+ \to \bar{\nu}_\mu e^+ \nu_e$$
$$\pi^- \to \mu^- \bar{\nu}_\mu \qquad \mu^- \to \nu_\mu e^- \bar{\nu}_e$$

 $E_{\nu} \stackrel{>}{\sim} 1 \text{ GeV}$



Muon neutrino events



Famous 1998 Super-Kamiokande results

Super-kamiokande homepage



Leading order

To leading order, we can neglect effects of •







2015 nobel prize



CHOOZ experiment



Long baseline experiments

K2K, MINOS, CERN to Gran Sasso, T2K •





Sub-leading effects-global analysis



Gonzalez-Garcia Maltoni Salvado

JHEP 2010



MINOS

 $\tan^2 \theta_{12} = 0.45$, maximal θ_{23} , $\Delta m_{21}^2 = 7.6 \times 10^{-5} \text{ eV}^2$ and $|\Delta m_{32}^2| = 2.43 \times 10^{-3} \text{ eV}^2$.

GS98 with Gallium cross-section from [24]	AGSS09 with modified Gallium cross-section [16]
$\Delta m_{21}^2 = 7.59 \pm 0.20 \ \binom{+0.61}{-0.69} \times 10^{-5} \ \mathrm{eV}^2$	Same
$\Delta m_{31}^2 = \begin{cases} -2.36 \pm 0.11 \ (\pm 0.37) \times 10^{-3} \ \text{eV}^2 \\ +2.46 \pm 0.12 \ (\pm 0.37) \times 10^{-3} \ \text{eV}^2 \end{cases}$	Same
$\theta_{12} = 34.4 \pm 1.0 \left(^{+3.2}_{-2.9}\right)^{\circ}$	$34.5 \pm 1.0 \ \left(\substack{+3.2 \\ -2.8}\right)^{\circ}$
$\theta_{23} = 42.8 {}^{+4.7}_{-2.9} \left({}^{+10.7}_{-7.3}\right)^{\circ}$	Same
$\theta_{13} = 5.6 {}^{+3.0}_{-2.7} (\le 12.5)^\circ$	$5.1^{+3.0}_{-3.3} \ (\le 12.0)^{\circ}$
$\left[\sin^2 \theta_{13} = 0.0095 {}^{+0.013}_{-0.007} (\le 0.047)\right]$	$\left[0.008 {}^{+0.012}_{-0.007} (\le 0.043)\right]$
$\delta_{\rm CP} \in [0, 360]$	Same

T2K+MINOS

$0.03 < \sin^2 2\theta_{13} < 0.219 \quad 3\sigma$

Abe et al., (T2K), PRL 107 (2011)

Adamson et al., (MINOS) PRL 107 (2011)

Double CHOOZ



Double CHOOZ results

 $\sin^2 2\theta_{13} = 0.086 \pm 0.041 \ (stat) \ \pm 0.030 \ (sys)$

Abe, Double CHOOZ collaboration, (2011)

No oscillation

Ruled out at 94.6 % C.L.

Daya Bay and RENO





Daya Bay, An et al, PRL 108 (2012) 171803

RENO, Ahn et al, PRL 108 (2012) 191802

NuFIT 4.1 (2019)

99		Normal Ordering (best fit)		Inverted Ordering $(\Delta \chi^2 = 6.2)$		
		bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range	
without SK atmospheric data	$\sin^2 \theta_{12}$	$0.310\substack{+0.013\\-0.012}$	$0.275 \rightarrow 0.350$	$0.310\substack{+0.013\\-0.012}$	$0.275 \rightarrow 0.350$	
	$\theta_{12}/^{\circ}$	$33.82^{+0.78}_{-0.76}$	$31.61 \rightarrow 36.27$	$33.82^{+0.78}_{-0.76}$	$31.61 \rightarrow 36.27$	
	$\sin^2 \theta_{23}$	$0.558\substack{+0.020\\-0.033}$	$0.427 \rightarrow 0.609$	$0.563^{+0.019}_{-0.026}$	$0.430 \rightarrow 0.612$	
	$\theta_{23}/^{\circ}$	$48.3^{+1.1}_{-1.9}$	$40.8 \rightarrow 51.3$	$48.6^{+1.1}_{-1.5}$	$41.0 \rightarrow 51.5$	
	$\sin^2 \theta_{13}$	$0.02241^{+0.00066}_{-0.00065}$	$0.02046 \to 0.02440$	$0.02261\substack{+0.00067\\-0.00064}$	$0.02066 \rightarrow 0.02461$	
	$\theta_{13}/^{\circ}$	$8.61^{+0.13}_{-0.13}$	$8.22 \rightarrow 8.99$	$8.65\substack{+0.13\\-0.12}$	$8.26 \rightarrow 9.02$	
	$\delta_{ m CP}/^{\circ}$	222^{+38}_{-28}	$141 \to 370$	285^{+24}_{-26}	$205 \to 354$	
	$\frac{\Delta m^2_{21}}{10^{-5}~{\rm eV}^2}$	$7.39\substack{+0.21 \\ -0.20}$	$6.79 \rightarrow 8.01$	$7.39\substack{+0.21\\-0.20}$	$6.79 \rightarrow 8.01$	
	$\frac{\Delta m^2_{3\ell}}{10^{-3}~{\rm eV}^2}$	$+2.523\substack{+0.032\\-0.030}$	$+2.432 \rightarrow +2.618$	$-2.509\substack{+0.032\\-0.030}$	$-2.603 \rightarrow -2.416$	
		Normal Ore	lering (best fit)	Inverted Ordering ($\Delta \chi^2 = 10.4$)		
		bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range	
	$\sin^2 \theta_{12}$	$0.310\substack{+0.013\\-0.012}$	$0.275 \rightarrow 0.350$	$0.310^{+0.013}_{-0.012}$	$0.275 \rightarrow 0.350$	
spheric data	$\theta_{12}/^{\circ}$	$33.82^{+0.78}_{-0.76}$	$31.61 \rightarrow 36.27$	$33.82^{+0.78}_{-0.75}$	$31.61 \rightarrow 36.27$	
	$\sin^2 \theta_{aa}$					
	SIII 023	$0.563\substack{+0.018\\-0.024}$	$0.433 \rightarrow 0.609$	$0.565\substack{+0.017\\-0.022}$	$0.436 \rightarrow 0.610$	
sphe	$\theta_{23}/^{\circ}$	$\begin{array}{c} 0.563\substack{+0.018\\-0.024}\\ 48.6\substack{+1.0\\-1.4}\end{array}$	$\begin{array}{c} 0.433 \rightarrow 0.609 \\ 41.1 \rightarrow 51.3 \end{array}$	$\begin{array}{c} 0.565\substack{+0.017\\-0.022}\\ 48.8\substack{+1.0\\-1.2} \end{array}$	$\begin{array}{c} 0.436 \rightarrow 0.610 \\ 41.4 \rightarrow 51.3 \end{array}$	
atmosphe	$\theta_{23}/^{\circ}$ $\sin^2 \theta_{13}$	$\begin{array}{c} 0.563\substack{+0.018\\-0.024}\\ 48.6\substack{+1.0\\-1.4}\\ 0.02237\substack{+0.00066\\-0.00065}\end{array}$	$\begin{array}{c} 0.433 ightarrow 0.609 \ 41.1 ightarrow 51.3 \ 0.02044 ightarrow 0.02435 \end{array}$	$\begin{array}{c} 0.565\substack{+0.017\\-0.022}\\ 48.8\substack{+1.0\\-1.2}\\ 0.02259\substack{+0.00065\\-0.00065}\end{array}$	$\begin{array}{c} 0.436 ightarrow 0.610 \ 41.4 ightarrow 51.3 \ 0.02064 ightarrow 0.02457 \end{array}$	
SK atmosphe	$\frac{\theta_{23}}{\theta_{23}}^{\circ}$ $\frac{\sin^2 \theta_{13}}{\theta_{13}}^{\circ}$	$\begin{array}{c} 0.563\substack{+0.018\\-0.024}\\ 48.6\substack{+1.0\\-1.4}\\ 0.02237\substack{+0.00066\\-0.00065}\\ 8.60\substack{+0.13\\-0.13}\end{array}$	$\begin{array}{c} 0.433 ightarrow 0.609 \\ 41.1 ightarrow 51.3 \\ 0.02044 ightarrow 0.02435 \\ 8.22 ightarrow 8.98 \end{array}$	$\begin{array}{c} 0.565\substack{+0.017\\-0.022}\\ 48.8\substack{+1.0\\-1.2}\\ 0.02259\substack{+0.00065\\-0.00065}\\ 8.64\substack{+0.12\\-0.13}\end{array}$	$\begin{array}{c} 0.436 ightarrow 0.610 \ 41.4 ightarrow 51.3 \ 0.02064 ightarrow 0.02457 \ 8.26 ightarrow 9.02 \end{array}$	
with SK atmosphe	$\frac{\theta_{23}}{\theta_{23}}^{\circ}$ $\frac{\sin^2 \theta_{13}}{\theta_{13}}^{\circ}$ $\frac{\delta_{\rm CP}}{\gamma}^{\circ}$	$\begin{array}{c} 0.563\substack{+0.018\\-0.024}\\ 48.6\substack{+1.0\\-1.4}\\ 0.02237\substack{+0.00066\\-0.00065}\\ 8.60\substack{+0.13\\-0.13}\\ 221\substack{+39\\-28}\end{array}$	$\begin{array}{c} 0.433 ightarrow 0.609 \\ 41.1 ightarrow 51.3 \end{array}$ $\begin{array}{c} 0.02044 ightarrow 0.02435 \\ 8.22 ightarrow 8.98 \end{array}$ $144 ightarrow 357 \end{array}$	$\begin{array}{c} 0.565\substack{+0.017\\-0.022}\\ 48.8\substack{+1.0\\-1.2}\\ 0.02259\substack{+0.00065\\-0.00065}\\ 8.64\substack{+0.12\\-0.13}\\ 282\substack{+23\\-25}\\ \end{array}$	$\begin{array}{c} 0.436 ightarrow 0.610 \ 41.4 ightarrow 51.3 \ 0.02064 ightarrow 0.02457 \ 8.26 ightarrow 9.02 \ 205 ightarrow 348 \end{array}$	
with SK atmosphe	$\frac{\sin^2 \theta_{23}}{\theta_{23}}^{\circ}$ $\frac{\sin^2 \theta_{13}}{\theta_{13}}^{\circ}$ $\frac{\delta_{\rm CP}}{\delta_{\rm CP}}^{\circ}$ $\frac{\Delta m_{21}^2}{10^{-5} \ {\rm eV}^2}$	$\begin{array}{c} 0.563\substack{+0.018\\-0.024}\\ 48.6\substack{+1.0\\-1.4}\\ 0.02237\substack{+0.00066\\-0.00065}\\ 8.60\substack{+0.13\\-0.13}\\ 221\substack{+39\\-28}\\ 7.39\substack{+0.21\\-0.20}\\ \end{array}$	$\begin{array}{c} 0.433 ightarrow 0.609 \\ 41.1 ightarrow 51.3 \\ 0.02044 ightarrow 0.02435 \\ 8.22 ightarrow 8.98 \\ 144 ightarrow 357 \\ 6.79 ightarrow 8.01 \end{array}$	$\begin{array}{c} 0.565\substack{+0.017\\-0.022}\\ 48.8\substack{+1.0\\-1.2}\\ 0.02259\substack{+0.00065\\-0.00065}\\ 8.64\substack{+0.12\\-0.13}\\ 282\substack{+23\\-25}\\ 7.39\substack{+0.21\\-0.20}\\ \end{array}$	$\begin{array}{c} 0.436 ightarrow 0.610 \ 41.4 ightarrow 51.3 \ 0.02064 ightarrow 0.02457 \ 8.26 ightarrow 9.02 \ 205 ightarrow 348 \ 6.79 ightarrow 8.01 \end{array}$	

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www.nu-fit.org

	NuFIT 5.2 (2022)						
		Normal Ordering (best fit)			Inverted Ordering ($\Delta \chi^2 = 2.3$)		
without SK atmospheric data		bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range		
	$\sin^2 \theta_{12}$	$0.303\substack{+0.012\\-0.011}$	$0.270 \rightarrow 0.341$	$0.303\substack{+0.012\\-0.011}$	$0.270 \rightarrow 0.341$		
	$\theta_{12}/^{\circ}$	$33.41_{-0.72}^{+0.75}$	$31.31 \rightarrow 35.74$	$33.41_{-0.72}^{+0.75}$	$31.31 \rightarrow 35.74$		
	$\sin^2 heta_{23}$	$0.572^{+0.018}_{-0.023}$	$0.406 \rightarrow 0.620$	$0.578\substack{+0.016\\-0.021}$	$0.412 \rightarrow 0.623$		
	$\theta_{23}/^{\circ}$	$49.1^{+1.0}_{-1.3}$	$39.6 \rightarrow 51.9$	$49.5^{+0.9}_{-1.2}$	$39.9 \rightarrow 52.1$		
	$\sin^2 \theta_{13}$	$0.02203\substack{+0.00056\\-0.00059}$	$0.02029 \to 0.02391$	$0.02219\substack{+0.00060\\-0.00057}$	$0.02047 \rightarrow 0.02396$		
	$\theta_{13}/^{\circ}$	$8.54_{-0.12}^{+0.11}$	$8.19 \rightarrow 8.89$	$8.57^{+0.12}_{-0.11}$	$8.23 \rightarrow 8.90$		
	$\delta_{ m CP}/^{\circ}$	197^{+42}_{-25}	$108 \to 404$	286^{+27}_{-32}	$192 \to 360$		
	$\frac{\Delta m^2_{21}}{10^{-5}~{\rm eV}^2}$	$7.41\substack{+0.21 \\ -0.20}$	$6.82 \rightarrow 8.03$	$7.41\substack{+0.21\\-0.20}$	$6.82 \rightarrow 8.03$		
	$\frac{\Delta m^2_{3\ell}}{10^{-3}~{\rm eV}^2}$	$+2.511^{+0.028}_{-0.027}$	$+2.428 \rightarrow +2.597$	$-2.498\substack{+0.032\\-0.025}$	$-2.581 \rightarrow -2.408$		
		Normal Ore	lering (best fit)	Inverted Ordering $(\Delta \chi^2 = 6.4)$			
		bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range		
	$\sin^2 \theta_{12}$	$0.303\substack{+0.012\\-0.012}$	$0.270 \rightarrow 0.341$	$0.303^{+0.012}_{-0.011}$	$0.270 \rightarrow 0.341$		
lata	$\theta_{12}/^{\circ}$	$33.41_{-0.72}^{+0.75}$	$31.31 \rightarrow 35.74$	$33.41_{-0.72}^{+0.75}$	$31.31 \rightarrow 35.74$		
ric o	$\sin^2 \theta_{23}$	$0.451\substack{+0.019\\-0.016}$	$0.408 \rightarrow 0.603$	$0.569^{+0.016}_{-0.021}$	$0.412 \rightarrow 0.613$		
sphe	$\theta_{23}/^{\circ}$	$42.2^{+1.1}_{-0.9}$	$39.7 \rightarrow 51.0$	$49.0^{+1.0}_{-1.2}$	$39.9 \rightarrow 51.5$		
with SK atmo	$\sin^2 \theta_{13}$	$0.02225\substack{+0.00056\\-0.00059}$	$0.02052 \rightarrow 0.02398$	$0.02223\substack{+0.00058\\-0.00058}$	$0.02048 \rightarrow 0.02416$		
	$\theta_{13}/^{\circ}$	$8.58^{+0.11}_{-0.11}$	$8.23 \rightarrow 8.91$	$8.57^{+0.11}_{-0.11}$	$8.23 \rightarrow 8.94$		
	$\delta_{\mathrm{CP}}/^{\circ}$	232^{+36}_{-26}	$144 \to 350$	276^{+22}_{-29}	$194 \rightarrow 344$		
	$\frac{\Delta m^2_{21}}{10^{-5}~{\rm eV}^2}$	$7.41\substack{+0.21 \\ -0.20}$	$6.82 \rightarrow 8.03$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$		
	$\frac{\Delta m^2_{3\ell}}{10^{-3}~{\rm eV}^2}$	$+2.507^{+0.026}_{-0.027}$	$+2.427 \rightarrow +2.590$	$-2.486^{+0.025}_{-0.028}$	$-2.570 \rightarrow -2.406$		

Unknown parameters

 $\delta??$

 $m_1??$

$$\operatorname{sgn}(m_3^2 - m_1^2)??$$

CP-violation and T-violation

 $P(\nu_{\alpha} \to \nu_{\beta}) - P(\bar{\nu}_{\alpha} \to \bar{\nu}_{\beta}) \propto \sin \theta_{13} \sin \delta$ $P(\nu_{\alpha} \to \nu_{\beta}) - P(\nu_{\beta} \to \nu_{\alpha}) \propto \sin \theta_{13} \sin \delta$

Neutrino factory?? MOMENT

Superbeam? DUNE T2HK ESSnuSB T2HKK

Mass terms invariant under Lorentz symmetry

Dirac mass term •

$$m_D(\bar{\nu}_R\nu_L + \bar{\nu}_L\nu_R)$$

Majorana mass term •

$$\frac{m_M}{2} \left(\nu_L^T c \nu_L - \nu_L^\dagger c \nu_L^* \right)$$

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Mass terms invariant under Lorentz symmetry

Dirac mass term •

 $m_D(\bar{\nu}_R\nu_L + \bar{\nu}_L\nu_R)$

Majorana mass term •

$$\frac{m_M}{2} \left(\nu_L^T c \nu_L - \nu_L^\dagger c \nu_L^* \right) \qquad \qquad c = \begin{pmatrix} 0 & 1\\ -1 & 0 \end{pmatrix}$$

Lepton number

$$U(1) \square \qquad \nu_L \to e^{i\alpha}\nu_L \qquad \nu_R \to e^{i\alpha}\nu_R$$

Invariant: $m_D(\bar{\nu}_R\nu_L + \bar{\nu}_L\nu_R)$

Majorana mass term does not conserve lepton number.

$$\nu_L^T c \nu_L \to e^{2i\alpha} \nu_L^T c \nu_L$$

Lepton number conserved $2\nu 2\beta$

$$N \to N' + e^- + e^- + \bar{\nu}_e + \bar{\nu}_e$$

Lepton number violated $0\nu 2\beta$

$$N \to N' + e^- + e^-$$



$$m_{ee} = m_{\beta\beta} = |\sum_{i=1}^{3} m_i U_{ei}^2|$$

/ /			*		*	
Experiment	Isotope	M, kg	Sensitivity	Sensitivity	Status	
			$T_{1/2}, {\rm yr}$	$\langle m_{\nu} \rangle$, meV		
CUORE [34]	$^{130}\mathrm{Te}$	200	$9.5 imes 10^{25}$	53 - 200	in progress	
GERDA [35]	76 Ge	35	1×10^{26}	110 - 280	current	
		1000	6×10^{27}	14 - 37	R&D	
MAJORANA	$^{76}\mathrm{Ge}$	30	1×10^{26}	110 - 280	current	
[36]		1000	$6 imes 10^{27}$	14-37	R&D	
EXO [37]	136 Xe	200	4×10^{25}	100 - 270	current	
0. D.		5000	$10^{27} - 10^{28}$	6 - 53	R&D	
SuperNEMO	^{82}Se	7	6.5×10^{24}	240 - 570	in progress	
[38]		100 - 200	$(1-2) \times 10^{26}$	40 - 140	R&D	
KamLAND-Zen	136 Xe	750	2×10^{26}	45 - 120	in progress	Barabash, a
[39]		1000	6×10^{26}	26-69	R&D	1702 06340
SNO+[40]	$^{130}\mathrm{Te}$	800	$9 imes 10^{25}$	55 - 205	in progress	1/02.00340
		8000	$7 imes 10^{26}$	20-73	R&D	

Zen) five (EXO-200, SuperNEMO, SNO+ and CUORE) and ten (EXO, full-scale GERDA and MAJORANA) years of measurements is presented. M - mass of isotopes.

2. ⁷⁶Ge: $T_{1/2}(0\nu) > 1.5 \cdot 10^{26}$ yr ($\langle m_{\nu} \rangle < 0.09 - 0.23$ eV).

This result will be obtained combining GERDA-II (~ 10^{26} yr) and MAJORANA-DEMONSTRATOR (~ 10^{26} yr) results.

3. ¹³⁰Te: $T_{1/2}(0\nu) > 1 \cdot 10^{26} \text{ yr} (\langle m_{\nu} \rangle < 0.05 - 0.19 \text{ eV}).$

This result will be obtained combining CUORE (~ $0.7\cdot10^{26}$ yr) and SNO+ (~ $0.7\cdot10^{26}$ yr) results.

Experiment	Start of data taking, yr
KamLAND2-Zen (1000 kg of 136 Xe)	$\sim 2020 - 2022$
$SNO+$ (8000 kg of ^{nat}Te)	$\sim 2020 - 2022$
CUPID (100 Mo, 82 Se, 116 Cd,)	~ 2022
LEGEND-I (200 kg of 76 Ge)	$\sim 2022 - 2025$
LEGEND (1000 kg of 76 Ge)	$\sim 2025 - 2030$
nEXO (5000 kg of 136 Xe)	$\sim 2025 - 2030$

Barabash, arXiv:1702.06340

• *** 2023 long range plan for nuclear science released

- <u>https://science.osti.gov/-/media/np/nsac/pdf/202310/NSAC_LRP_2023.pdf</u>
- RECOMMENDATION 2 is "As the highest priority for new experiment
- construction, we recommend that the US lead an international
- consortium that will undertake a neutrinoless double beta decay
- campaign, featuring the expeditious construction of ton-scale
- experiments, using different isotopes and complementary
- techniques." The plan endorses CUPID, nEXO and LEGEND-1000.
- The report is a vision for nuclear physics for the next 5-10 y.



Neutrino mass parameters

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = U_{PMNS} \cdot \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

 $m_1 m_2 m_3$

Mixing parameters

$$U_{PMNS} = O_{23}O_{13}O_{12}\Phi$$

$$O_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{bmatrix}$$

$$O_{13} = \begin{bmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\theta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{bmatrix}$$

$$\Phi = \text{Diag}[e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3}]$$

Are ϕ_i observable?

$$O_{12} = \begin{bmatrix} \cos \theta_{12} & \sin \theta_{12} & 0\\ -\sin \theta_{12} & \cos \theta_{12} & 0\\ 0 & 0 & 1 \end{bmatrix}$$

$$m_{ee} = m_{\beta\beta} = |\sum_{i=1}^{3} m_i U_{ei}^2|$$



Open question: Is neutrino mass of Dirac type or Majorana type?

Is lepton number conserved?

Invariance under electroweak symmetry

Yukawa coupling •

$$Y_D \bar{\nu}_R \epsilon_{\alpha\beta} H_\alpha L_\beta \qquad L = (\nu \ l^-)$$

Singlet under SU(2) U(1)

Dirac mass •

$$m_D = Y_D \langle H \rangle$$
 $Y_D \stackrel{<}{\sim} \frac{0.1 \text{ eV}}{170 \text{ GeV}} \sim 10^{-12}$

Majorana mass term

- Rich literature on models for Majorana mass
- Radiative mass
- Seesaw mechanism
- Type I seesaw, Type II seesaw, Type II seesaw

Type I seesaw mechanism

$$\mathcal{L} = -Y_D \epsilon_{\alpha\beta} \bar{N} H_\alpha L_\beta - \frac{m_M}{2} N^T c N$$
$$N = \nu_R \qquad \qquad \frac{1}{2} \begin{bmatrix} \overline{\nu_L^c} & \overline{\nu_R^c} \end{bmatrix} \begin{bmatrix} 0 & m_D \\ m_D & m_M \end{bmatrix} \begin{bmatrix} \nu_L \\ \nu_R \end{bmatrix}$$

Type I seesaw mechanism

$$\mathcal{L} = -Y_D \epsilon_{\alpha\beta} \bar{N} H_{\alpha} L_{\beta} - \frac{m_M}{2} N^T c N$$
$$N = \nu_R \qquad \qquad \frac{1}{2} \begin{bmatrix} \overline{\nu_L^c} & \overline{\nu_R^c} \end{bmatrix} \begin{bmatrix} 0 & m_D \\ m_D & m_M \end{bmatrix} \begin{bmatrix} \nu_L \\ \nu_R \end{bmatrix}$$

200


Type I seesaw mechanism

$$\mathcal{L} = -Y_D \epsilon_{\alpha\beta} \bar{N} H_{\alpha} L_{\beta} - \frac{m_M}{2} N^T c N$$
$$\frac{1}{2} \begin{bmatrix} \overline{\nu_L^c} & \overline{\nu_R^c} \end{bmatrix} \begin{bmatrix} 0 & m_D \\ m_D & m_M \end{bmatrix} \begin{bmatrix} \nu_L \\ \nu_R \end{bmatrix}$$
$$m_D \ll m_M$$

200



Type I seesaw mechanism

$$= -Y_D \epsilon_{\alpha\beta} \bar{N} H_{\alpha} L_{\beta} - \frac{m_M}{2} N^T c N$$
$$\frac{1}{2} \begin{bmatrix} \overline{\nu_L^c} & \overline{\nu_R^c} \end{bmatrix} \begin{bmatrix} 0 & m_D \\ m_D & m_M \end{bmatrix} \begin{bmatrix} \nu_L \\ \nu_R \end{bmatrix}$$
$$m_D \ll m_M$$
$$m_1 \simeq -m_D^2 / m_M \quad m_2 \simeq m_M$$



L

Type I seesaw mechanism



Bonus of type I seesaw mechanism: Explaining matter-antimatter asymmetry

• Baryon (proton, neutron) density in the universe



• Anti-baryon (Anti-proton, anti-neutron) density in the universe

Reminder



 $\begin{array}{lcl} Z & \to & \nu_e \bar{\nu}_e \\ Z & \to & \nu_\mu \bar{\nu}_\mu \\ Z & \to & \nu_\tau \bar{\nu}_\tau \end{array}$ Z

 $Z \rightarrow invisibles$

11/17/23

How many neutrinos are there?

• Fourth generation

$$m > m_Z/2 = 45 \text{ GeV}$$

• Sterile neutrino can be light!

Short baseline experiments

$$\frac{\Delta m_{21}^2 L}{2E} \ll \frac{\Delta m_{31}^2 L}{2E} \ll 1$$



No oscillation is expected.

LSND



 $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$

$$P(\nu_{\alpha} \to \nu_{\beta}) = |\langle \nu_{\beta} | \nu_{\alpha}; t \rangle|^2 = \sum_{i,j} U^*_{\beta i} U_{\alpha i} U_{\beta j} U^*_{\alpha j} e^{i \frac{m_j^2 - m_i^2}{2p} t}$$



 $\Delta m^2 \sim 1 \ \mathrm{eV}^2$



A. A.Aguilar-Arevalo et al. [MiniBooNE], "MiniBooNE and MicroBooNE Combined Fit to a 3+1 Sterile Neutrino Scenario," Phys. Rev. Lett. 129 (2022) no.20, 201801

Neutrino telescopes







Neutrino 2018



Muon-track

Charged current interaction of muon neutrinos $\nu_{\mu} + N \rightarrow \mu + X$

Charged current interaction of tau neutrinos and subsequent decay of tau to the muon $u_{\tau} + N \rightarrow \tau + X$

$$au o \mu \overline{\nu}_{\mu} \nu_{\tau}$$



Shower=cascade

Charged current interaction of electron and tau neutrinos



NC interaction of all three flavors



Shower=cascade

Charged current interaction of electron and tau neutrinos

$$\nu_{\tau} + N \to \tau + X$$
$$\tau \to e\bar{\nu}_e\nu_{\tau}$$
$$\tau \to \text{hadrons} + \nu_{\tau}$$



Shower=cascade

Charged current interaction of electron and tau neutrinos

NC interaction of all three flavors



Two other sort of events

Double bang or elongated track



• Glashow resonance

 $\bar{\nu}_e + e^- \to W^- \to \text{hadrons}$



Possible sources

- AGN
- Gamma ray bursters

Proton-proton

$$\pi^+ \to \mu^+ \nu_\mu \qquad \mu^+ \to \bar{\nu}_\mu e^+ \nu_e$$
$$\pi^- \to \mu^- \bar{\nu}_\mu \qquad \mu^- \to \nu_\mu e^- \bar{\nu}_e$$

 $F_{\nu_e}: F_{\nu_{\mu}}: F_{\nu_{\tau}} = 1:2:$

0 Oscillation
$$F_{\nu_e}^{\oplus}$$
:

$$\oplus_{\nu_e} : F^{\oplus}_{\nu_{\mu}} : F^{\oplus}_{\nu_{\tau}} = 1 : 1 : 1$$

Ternary diagram



 $f^{\oplus}_{\nu_e} + f^{\oplus}_{\nu_{\mu}} + f^{\oplus}_{\nu_{\tau}} = 1$

• In the end let me tell you an old but fascinating story!

OPERA experiment



Prime goal

 ν_{τ} u_{μ} –



Opera's ground-breaking performance

- Measurement of the neutrino velocity with the OPERA detector in the CNGS beam
- arXiv:1109.4897

Abstract

• Data taking 2009-2010-2011

Early arrival:
$$57.8 \pm 7.8(stat.) + \begin{pmatrix} +8.3 \\ -5.9 \end{pmatrix} (sys.) ns$$

$$\frac{v-c}{c} = (2.37 \pm 0.32) \times 10^{-5}$$

Public reaction

• Over-excitement



Public reaction

• Over-excitement

• Versus



Public reaction

Science : patience until enough evidence is gathered

Over-excitement •

Versus •



- Science : patience until enough evidence
- is gathered

• Science: No prejudice

• Let us see what T2K will say.

- Possible sources of systematic error
- synchronization

- Possible sources of systematic error
- Neutrinos faster than light can be accommodated

- Wrong
- Field theory by construction does not allow

- Possible sources of systematic error
- Neutrinos faster than light cannot be accommodated
- Modifying standard picture to accommodate superluminal neutrinos
- Lorentz violation

- Possible sources of systematic error
- Neutrinos faster than light cannot be accommodated
- Modifying standard picture to accommodate
- Other observational consequences

- Possible sources of systematic error
- Neutrinos faster than light cannot be accommodated
- Modifying standard picture to accommodate superluminal neutrinos
- Other observational consequences

Few slides on this

Supernova neutrino

• Neutrinos from SN1987 a should have arrived much earlier than light; but they did not.

Interpreting OPERA results on superluminal neutrinos Giudice, Sibiryakov and Strumia

Energy dependent $\frac{v-c}{c}$

Pair Creation Contraint

$$\nu_{\mu} \longrightarrow \begin{cases} \nu_{\mu} + \gamma & (a) \\ \nu_{\mu} + \nu_{e} + \overline{\nu}_{e} & (b) \\ \nu_{\mu} + e^{+} + e^{-} & (c) \end{cases}$$

Even in vacuum

Glashow and Cohen

Already published in PRL
Energy loss

$$(\nu \rightarrow \nu + e^- + e^+).$$

Ideas to avoid this constraint: oscillation to sterile neutrino

Pair production constraints on superluminal Neutrinos Brodsky and Gardner

Non-standard neutrino propagation and pion decay

Observation & SM prediction

$$\frac{Br(\pi^- \to \bar{\nu}_e e^-)}{Br(\pi^- \to \bar{\nu}_\mu \mu^-)} = 1.2 \times 10^{-4}$$

Distortion of dispersion relation:

$$\frac{Br(\pi^- \to \bar{\nu}_e e^-)}{Br(\pi^- \to \bar{\nu}_\mu \mu^-)} \gg 1.2 \times 10^{-4}$$

Minarelli et al., arXiv:1112.0169

• T2K may disprove OPERA

• However, all these efforts are enriching

• Even wrong papers help us to understand basics better.

Outlook

• There are plenty of open questions in neutrino physics

Outlook

- There are plenty of open questions in neutrino physics
- Neutrinos have surprised us so many time

Outlook

- There are plenty of open questions in neutrino physics
- Neutrinos have surprised us so many times!
- Neutrinos will keep us busy for ages even if LHC fails to find anything interesting!

