

## In memoriam

Prof. Malek Zareyan (1971-2014)

- Quantum Transport
- Graphene
- Superconductivity



# Kondo effect graphene



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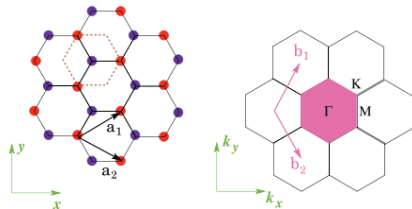
April, 2014

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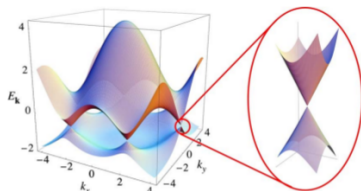


# Energy dispersion over the whole BZ

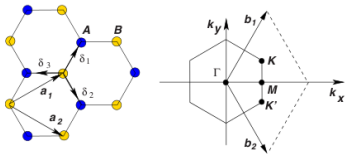


$$\begin{aligned}
 H\psi_A &= -t(\psi_{B1} + \psi_{B2} + \psi_{B3}) \\
 H\psi_B &= -t(\psi_{A1} + \psi_{A2} + \psi_{A3}) \\
 H \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} &= \begin{pmatrix} 0 & \Phi^*(\vec{k}) \\ \Phi(\vec{k}) & 0 \end{pmatrix} \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} \\
 \Phi(\vec{k}) &= -t \left( e^{i\vec{k} \cdot \vec{\delta}_1} + e^{i\vec{k} \cdot \vec{\delta}_2} + e^{i\vec{k} \cdot \vec{\delta}_3} \right)
 \end{aligned}$$

Castro Neto et al, Rev. Mod. Phys. (2009)



# Massless Dirac cones in graphene and graphite



$$H = \begin{pmatrix} 0 & \Phi^*(\vec{k}) \\ \Phi(\vec{k}) & 0 \end{pmatrix}, \quad \Phi(\vec{k}) = -t \sum_{n=1}^3 e^{i\vec{k} \cdot \vec{\delta}_n}$$

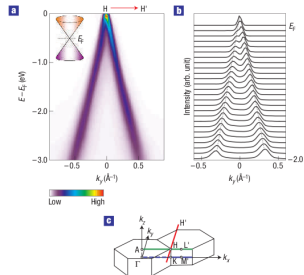
Near  $K$  :  $\vec{k} = K + \vec{p} \Rightarrow \Phi(\vec{p}) \propto p_x + ip_y$

$$\Rightarrow H_K = \hbar v_F \begin{pmatrix} 0 & p_x - ip_y \\ p_x + ip_y & 0 \end{pmatrix} = \hbar v_F \vec{\sigma} \cdot \vec{p}$$

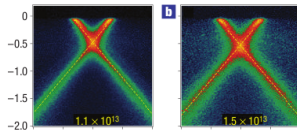
$K \rightarrow \bar{K} \equiv p_y \rightarrow -p_y$

Near  $\bar{K}$  :  $H_{\bar{K}} = \hbar v_F \vec{\sigma}^* \cdot \vec{p}$

S.Y. Zhou, et al, Nature Phys. (2006)



A. Bostwick, et al, Nature Phys. (2007)



## Anderson model

P. Coleman, arxiv:0206003 (2002)

$$H = H_{\text{host}} + H_{\text{local}} + H_{\text{hyb}}$$

$$H_{\text{host}} = \sum_{\vec{k}\sigma} \varepsilon_{\vec{k}} c_{\vec{k}\sigma}^{\dagger} c_{\vec{k}\sigma}$$

$$H_{\text{local}} = \sum_{\sigma} \varepsilon_d n_{d\sigma} + U n_{d\uparrow} n_{d\downarrow}$$

$$H_{\text{hyb}} = \sum_{\vec{k}\sigma} V_{\vec{k}} d_{\sigma}^{\dagger} c_{\vec{k}\sigma} + h.c.$$

$$E|d^0\rangle \rightarrow (d^0) = 0$$

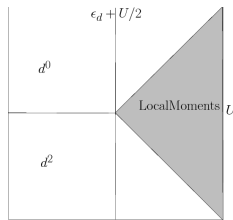
$$E|d_{\sigma}^1\rangle \rightarrow (d^1) = \varepsilon_d$$

$$E|d^2\rangle \rightarrow (d^2) = 2\varepsilon_d + U$$

$$E(d^2) - E(d^1) > 0 \rightarrow \varepsilon_d + U/2 > -U/2$$

$$E(d^0) - E(d^1) > 0 \rightarrow \varepsilon_d + U/2 > U/2$$

- Atomic limit local moments:  $|\varepsilon_d + U/2| < U/2$



# Turning on the hybridization: Hartree mean field

Splitting and broadening of the impurity level:

$$\rho_{d\sigma}(\omega) = \frac{\Delta}{(\omega - \varepsilon_{d\sigma})^2 + \Delta^2}$$

$$\varepsilon_{d\sigma} = \varepsilon_d + U n_{d\bar{\sigma}} \quad \text{Hartree shift}$$

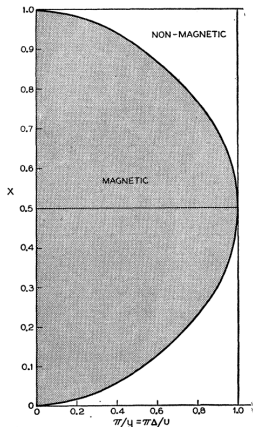
$$\Delta(\omega) = \pi \sum_{\vec{k}} |V_{\vec{k}}|^2 \delta(\omega - \varepsilon_{\vec{k}}) \approx \pi V^2 \rho_0$$

$$n_{d\sigma} = \int_{-\infty}^0 d\omega \rho_{d\sigma}(\omega) = \frac{1}{\pi} \cot^{-1} \left( \frac{\varepsilon_d + U n_{d\bar{\sigma}}}{\Delta} \right)$$

In terms of total charge  $n_d = n_{d\uparrow} + n_{d\downarrow}$  and magnetic moment  $m = n_{d\uparrow} - n_{d\downarrow}$

$$n_d = \frac{1}{\pi} \sum_{\sigma=\pm 1} \cot^{-1} \left( \frac{\varepsilon_d + U/2(n_d - \sigma m)}{\Delta} \right)$$

$$m = \frac{1}{\pi} \sum_{\sigma=\pm 1} \sigma \cot^{-1} \left( \frac{\varepsilon_d + U/2(n_d - \sigma m)}{\Delta} \right)$$



P. W. Anderson, Phys. Rev. (1961)



# Hybridization function in graphene

K. Sengupta, G. Baskarna, PRB, 2008

$C_3$  symmetry and spinorial nature of Dirac wave function  $\rightarrow$

- s-wave  $V(\vec{k}) = V$

**Top-site ad-atom**

- p-wave  $V(\vec{k}) = (k_x + ik_y)V$

**Vacancy, Substitutional ad-atom, Hollow-site ad-atom**

$$\Sigma^p(\omega) = -\frac{\omega\Delta}{\pi t^2} \left[ D^2 + \omega^2 \ln\left(\frac{|\omega^2 - D^2|}{\omega^2}\right) + i\pi\omega|\omega|\theta(D - |\omega|) \right]$$

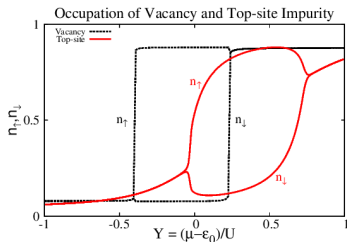
$$\Sigma^s(\omega) = -V^2 \frac{\omega}{D^2} \ln\left(\frac{|\omega^2 - D^2|}{\omega^2}\right) - iV^2 \frac{\pi|\omega|}{D^2} \theta(D - |\omega|)$$





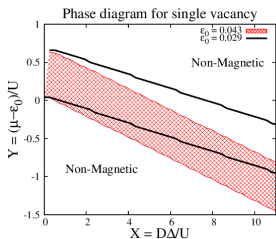
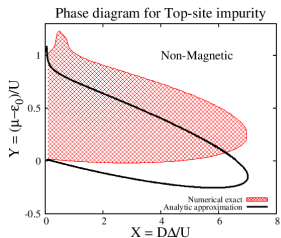
# Local moments in p-wave channel

M. Mashkooi, SAJ, arxiv:1401.1637



Local moments in s-wave and p-wave channels.

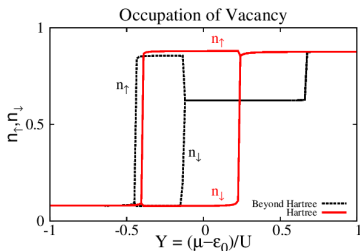
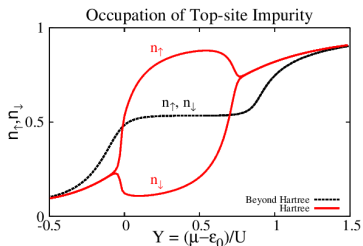
- More stable local moments in p-channel.
- Local moments in negative  $Y$  in p-channel



# First step beyond Hartree

M. Mashkoori, SAJ, arxiv:1401.1637

$$\langle\langle f_{\sigma} | f_{\sigma}^{\dagger} \rangle\rangle = \left[ \omega - \varepsilon_0 - \Sigma^{\text{S,P}}(\omega) - \Sigma'(\omega) \right]^{-1}, \quad \Sigma'(\omega) \equiv \frac{U(\omega - \varepsilon_0) \langle n_{\bar{\sigma}} \rangle}{\omega - \varepsilon_0 - U(1 - \langle n_{\bar{\sigma}} \rangle)}.$$



p-channel offers robust local moments against quantum fluctuations



# Fluctuations of local moments: Kondo screening

- One impurity is not macroscopic  
→ Strong fluctuations of the moments

$$t_K \leftrightarrow \frac{\hbar}{k_B T_K}$$

- For observation time  $t \gg t_K$  or  $T \ll T_K$  the average magnetic moment is zero
- "Screening of moments"  $\leftrightarrow$   
Singlet state between  $d$ -electron and conduction electrons

- Variational approach:

$$|\Psi\rangle = \sum_{\vec{k}} a(\vec{k}) (d_{\uparrow}^{\dagger} c_{\vec{k}\uparrow} + d_{\downarrow}^{\dagger} c_{\vec{k}\downarrow}) |FS\rangle_{N+1}$$

Schrodinger eq.

$$E a(\vec{k}) = (E_{FS} + \epsilon_d - \epsilon(\vec{k})) a(\vec{k}) - \frac{3J}{L} \sum_{\vec{q}} a(\vec{q})$$

$$1 = -\frac{3J}{4L} \sum_{\vec{k}} \frac{1}{E - E_{FS} + \epsilon_{\vec{k}} - \epsilon_d}$$

Binding energy scale:

$$\Delta E = -\epsilon_F e^{-\frac{4}{3K\rho_0}} \sim k_B T_K$$



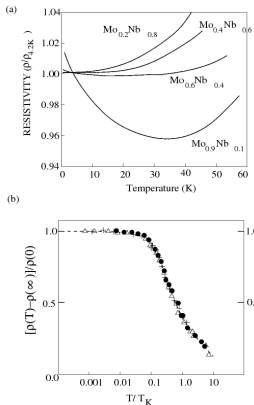
# Strong vs. Weak Coupling

- $T_K$  separates high temperature "magnetic moment" regime from low-temperature "Fermi liquid" regime.
- In low-temperature (strong coupling regime) the only energy scale is  $T_K$
- Universal dependence of transport and thermal quantities on  $(\log) T/T_K$

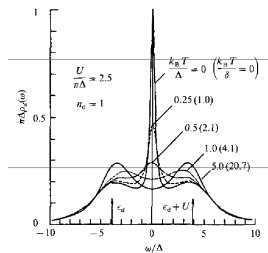
$$\chi(T) \sim \frac{1}{T} F(T/T_K)$$

$$\frac{1}{\tau} = \frac{1}{\tau_0} G(T/T_K)$$

A. C. Hewson, "The Kondo problem ..." (1997)



P. Coleman, arxiv:0206003 (2002)

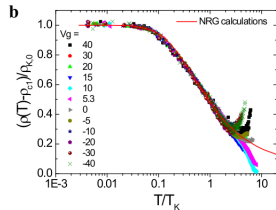
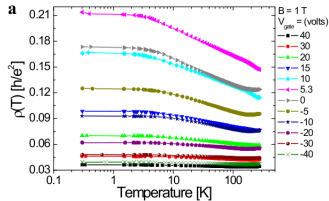


Kondo resonance at low  $T \ll T_K$

Fermi liquid  $\rightarrow$  low-energy QP



# Anomalous Kondo behavior in graphene



NATURE PHYSICS | LETTER

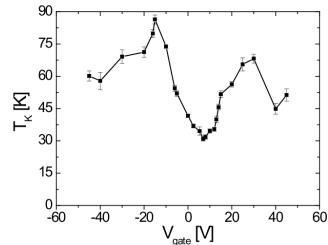


## Tunable Kondo effect in graphene with defects

Jian-Hao Chen, Liang Li, William G. Cullen, Ellen D. Williams & Michael S. Fuhrer

Affiliations | Contributions | Corresponding author

Nature Physics 7, 535–538 (2011) | doi:10.1038/nphys1962



$$T_K = ? \exp \left[ -\frac{1}{\rho_0 J} \right]$$



## Modified perturbation theory

M. Potthoff, et al, Phys. Rev. B. (1997)

$$g_{\sigma}^{-1}(\omega) = g_{0\sigma}^{-1}(\omega) - (\Sigma_{\sigma} + \mu_{x\sigma} - i)$$

$$\Sigma_{\sigma}^{\text{int}}(\omega) = Un_{d\bar{\sigma}} + \frac{A_{\sigma}\Sigma_{\sigma}^{(2)}(\omega)}{1 - B_{\sigma}\Sigma_{\sigma}^{(2)}(\omega)}$$

$$\Sigma_{\sigma}^{(2)}(t) = -U^2 g_{0\sigma}(t)g_{0\sigma}(t)g_{0\sigma}(-t)$$

$$A_{\sigma} = \frac{n_{d\bar{\sigma}}(1 - n_{d\bar{\sigma}})}{n_{0d\bar{\sigma}}(1 - n_{0d\bar{\sigma}})}$$

$$B = \frac{(1 - n_{d\bar{\sigma}})U + \mu_{x\sigma} - \mu}{n_{0d\bar{\sigma}}(1 - n_{0d\bar{\sigma}})U^2}$$

SAJ, T. Tohyama, unpublished

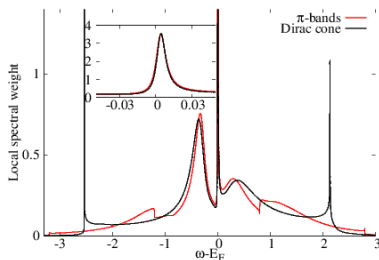


FIG. 4. (Color online) Local spectral weight for the typical values of  $V = 0.82$ ,  $U = 1.74$ ,  $\varepsilon_d = -0.53$ ,  $E_F = 0.2$ . The black and red lines correspond to Dirac cone and the whole tight-binding band structure for the graphene host. Energies are in units of the hopping amplitude  $t$ . Inset shows the details of the Kondo resonance.



# MPT at $E_F = 0$

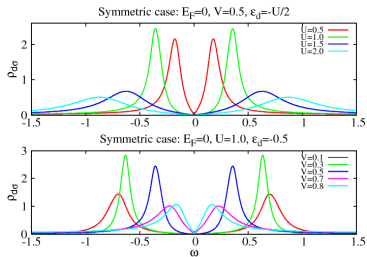


FIG. 2. (Color online) The Dirac model pseudogap Anderson model at the symmetric point with  $E_F = 0$  and  $\varepsilon_d = -U/2$ . In the upper panel  $V = 0.5$  is fixed and  $U$  is variable, while in the second panel  $U = 1.0$  is fixed and  $V$  is variable as indicated in the legend.

## SAJ, T. Tohyama, unpublished

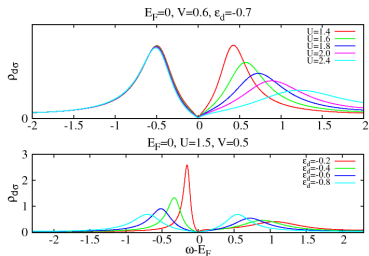
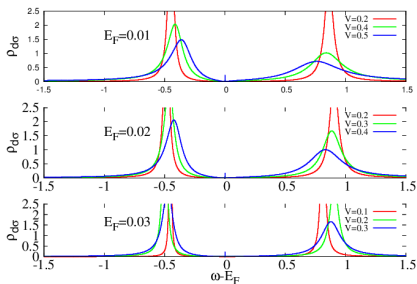


FIG. 3. (Color online) Local spectral weight using the Dirac cone model for the graphene host. Energies are in units of the hopping amplitude  $t$ . At  $E_F = 0$  variations in the parameters does not give rise to Kondo resonance.



# MPT for $F_F \rightarrow 0$

SAJ, T. Tohyama, unpublished



- For s-wave hybridization, a  $V_{\min}$  dependent on  $E_F$  is needed.
  - $V_{\min}$  increases by approaching the Dirac node.
  - At Dirac node, infinitely large  $V_{\min}$  is needed to get Kondo.
- [L. Fritz, M. Vojta, Rep. Prog. Phys. 2013](#)
- How can we understand Kondo resonance in graphene with finite  $V_{\min}$ ?





# Slave rotor mean field

## Formulation:

$$H_{\text{local}} = \sum_{\sigma} (\varepsilon - \mu) d_{\sigma}^{\dagger} d_{\sigma} + \frac{U}{2} \left[ \sum_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} - \frac{N}{2} \right]^2$$

$$\varepsilon = \varepsilon_d + U/2, \quad SU(N) \rightarrow SU(2)$$

$$d_{\sigma}^{\dagger} = f_{\sigma}^{\dagger} e^{i\theta} \quad \text{spinor} \times \text{rotor}$$

$$\hat{L} = \sum_{\sigma} \left[ f_{\sigma}^{\dagger} f_{\sigma} - \frac{1}{2} \right] \quad \text{rotor-spinon constraint}$$

## Self-consistency:

$$\tilde{V}_{\vec{k}} = V_{\vec{k}} \langle \cos \theta \rangle_{\theta}$$

$$K = \sum_{\vec{k}\sigma} V_{\vec{k}}^* \langle a_{\vec{k}\sigma}^{\dagger} f_{\sigma}^{\dagger} \rangle_f$$

$$V_{\vec{k}} = (k_x + ik_y)V \quad \text{p-wave}$$

$$\langle \hat{L} \rangle_{\theta} = 2 \left[ n_F(\xi - h) - \frac{1}{2} \right]$$

$$\xi = h \leftrightarrow L = 0 \leftrightarrow N = 1$$

## Decoupling of rotor and spinor parts

$$H_f = (\xi - h) \sum_{\sigma} f_{\sigma}^{\dagger} f_{\sigma} + \sum_{\vec{k}\sigma} \Phi_{\vec{k}} a_{\vec{k}\sigma}^{\dagger} b_{\vec{k}\sigma} + h.c. + \sum_{\vec{k}\sigma} \tilde{V}_{\vec{k}} a_{\vec{k}\sigma}^{\dagger} f_{\sigma} + \tilde{V}_{\vec{k}}^* f_{\sigma}^{\dagger} a_{\vec{k}\sigma} - \mu \sum_{\vec{k}} \left( a_{\vec{k}\sigma}^{\dagger} a_{\vec{k}\sigma} + b_{\vec{k}\sigma}^{\dagger} b_{\vec{k}\sigma} \right)$$

$$H_{\theta} = \frac{U}{2} \hat{L}^2 + h \hat{L} + K \cos \theta \quad h : \text{Lagrange multiplier}, \quad \xi = \varepsilon - \mu$$



# Slave rotor equations in p-wave channel

SAJ, T. Tohyama, arxiv:1308.4173

$$\frac{\tilde{V}^2}{V^2} \frac{4\xi^2 - U^2}{2U} = \sum_{\vec{k}\sigma} \tilde{V}_{\vec{k}}^* \langle a_{\vec{k}\sigma}^- f_{\sigma}^{\dagger} \rangle_f$$

$$\langle \langle a_{\vec{k}\sigma}^- | f_{\sigma}^{\dagger} \rangle \rangle = \frac{\tilde{V}}{v_F} \frac{z \Phi_{\vec{k}}}{z^2 - |\Phi_{\vec{k}}|^2} \frac{1}{z - \mu - \Delta_P(z)}$$

$$z = \omega + \mu$$

$$\Delta_P(z) = \frac{\tilde{V}^2}{v_F^2} \sum_{\vec{p}} \frac{z |\Phi_{\vec{p}}|^2}{z^2 - |\Phi_{\vec{p}}|^2} =$$

$$-\frac{\pi |\tilde{V}|^2 z}{D^4} \left[ D^2 + z^2 \ln \frac{D^2 - z^2}{z^2} \right] - i \frac{\pi^2 |\tilde{V}|^2 z^2 |z|}{D^4}$$

p-wave spectral function:

$$\rho_f(z) = \frac{1}{\pi} \frac{cz^2 |z|}{(z-b)^2 + c^2 z^6}$$

Self-consistency condition:

$$\frac{4\zeta^2 - u^2}{4v^2 u} = \int_{-D}^{\mu} (z - \mu) \rho_f(z) dz$$

$$c = \pi^2 \tilde{v}^2 / D^2, \quad b - \mu - \Delta_R(b) = 0$$

$$\tilde{v} = \tilde{V}/D, \quad v = V/D, \quad u = U/D, \quad \zeta = \xi/D$$



# Kondo resonance at $\mu = 0$

Spinon spectral density at  $\mu = 0$ ,

$$\rho_f(z) = \frac{1}{\pi} \frac{c|z|}{1+c^2z^4}, \quad c = \pi^2 \tilde{v}^2 / D^2$$

- Properly renormalizable at  $D \rightarrow \infty$ .
- Standard deviation determines  $T_K^{\text{Dirac}} = \sqrt{\pi/2} \tilde{v} D$ .
- Same method in normal metals:  $T_K^{\text{metal}} = \pi \tilde{v}^2 D$   
**S. Florens, et al, PRB (2004)**
- $T_K^{\text{metal}} / T_K^{\text{Dirac}} \sim \tilde{v} \ll 1 \rightarrow$   
Under comparable conditions, a host of Dirac fermions  
gives rise to larger Kondo temperature than metals
- Self-consistency at  $\mu = 0$  can be handled analytically:

$$\frac{4\zeta^2 - u^2}{2uv^2} = \int_{-D}^0 z \rho_f(z) dz = \frac{-\sqrt{2}}{8\pi^3 \tilde{v}^3} \times \left[ \ln \frac{(\pi \tilde{v})^2 - \sqrt{2}\pi \tilde{v} + 1}{(\pi \tilde{v})^2 + \sqrt{2}\pi \tilde{v} + 1} - 2 \tan^{-1}(1 - \sqrt{2}\pi \tilde{v}) + 2 \tan^{-1}(1 + \sqrt{2}\pi \tilde{v}) \right]$$

SAJ, T. Tohyama, arxiv:1308.4173

- To have a solution for  $\tilde{v}$  (hence for  $T_K \sim \tilde{v} D$ ):

$$-\frac{1}{3} < \frac{4\zeta^2 - u^2}{2uv^2} < 0 \Rightarrow v^2 \geq \frac{3}{2} \frac{u^2 - 4\zeta^2}{u}. \quad (1)$$

- The requirement for non-zero  $T_K$  at  $\mu = 0$ :

$$|V_{\min}| = -6\varepsilon_d D \left( 1 + \frac{\varepsilon_d}{U} \right)$$

- For vacancies  $\varepsilon_d \sim 10 \text{ meV} \rightarrow V_{\min} \sim 0.5 \text{ eV}$



# Doping away from Dirac point

$$\frac{4\zeta^2 - u^2}{4v^2u} = \frac{\pi}{D^3} \sum_{m=\pm 1} \frac{z_m^3(z_m - \mu)}{2(z_m - \mu) + 6c^2z_m^5} \int_{-D}^{\mu} \frac{\text{sgn}(z)dz}{z - z_m}$$

$$z_m \approx \mu + icm\mu^3 \text{ with } m = \pm 1, \quad \text{dominant poles}$$

- The Kondo temperature for small  $\mu = \tilde{\mu}D$ :

$$\frac{T_K}{D} \sim \pi\tilde{v} = |\tilde{\mu}|^{x_{\pm}} \exp \left[ -\frac{1}{2\pi|\tilde{\mu}|^3} \frac{u^2 - 4\zeta^2}{4v^2u} \right]$$

$$x_{\pm} = \text{sign}(\mu)/2 - 1, \quad \text{electron-hole asymmetry}$$

- for non-zero doping, smallest value of  $V$  can lead to Kondo screening.

## Conclusions:

Kondo screening in 2D Dirac host (graphene) with p-wave hybridization:

- For non-zero doping, smallest value of  $V$  gives Kondo screening.
- For  $\mu = 0$ ,  $V_{\min} = -6\varepsilon_d D(1 + \varepsilon_d/U)$  is required.
- Boron and Nitrogen substitution in graphene is expected to give reasonably small  $V_{\min}$



**Thank you for your attention**

