

Brane SUSY Breaking: Old Puzzles and New Results

Augusto Sagnotti

Scuola Normale Superiore and INFN – Pisa

*IPM String Workshop
Teheran – May 8-11 2017
(via Skype)*



Ten-Dimensional Superstrings

(Briefly)

Ten-Dimensional (Closed) Superstrings

❖ **Building principles:** spin-statistics (*GSO projections*) and modular invariance

❖ **Building Blocks:** $SO(8)$ level-one characters ($q = e^{2\pi i \tau}$):

Even(odd) # Fermi osc

$$O_8(V_8) = \frac{\theta^4 \begin{bmatrix} 0 \\ 0 \end{bmatrix}(0|\tau) \pm \theta^4 \begin{bmatrix} 0 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^4(\tau)} \quad S_8(C_8) = \frac{\theta^4 \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}(0|\tau) \pm \theta^4 \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^4(\tau)}$$

$$\eta(\tau) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n) \quad \theta \begin{bmatrix} \alpha \\ \beta \end{bmatrix}(z|\tau) = \sum_{n \in \mathbb{Z}} q^{\frac{1}{2}(n+\alpha)^2} e^{i2\pi(n+\alpha)(z-\beta)}$$

Opposite Weyl proj's

❖ **Behavior under Modular Transformations:**

$$\tau \rightarrow -\frac{1}{\tau} : S = \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & -1 & -1 & 1 \end{pmatrix} \quad \tau \rightarrow \tau + 1 : T = e^{-i\frac{\pi}{3}} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

$$\tau \rightarrow -\frac{1}{\tau} : \eta(-1/\tau) = (-i\tau)^{\frac{1}{2}} \eta(\tau) \quad \tau \rightarrow \tau + 1 : \eta(\tau + 1) = e^{i\frac{\pi}{12}} \eta(\tau)$$

• IIA and IIB:

$$\mathcal{T}_{IIA} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{(V_8 - S_8)(\bar{V}_8 - \bar{C}_8)}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{IIB} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8}$$

• OA and OB:

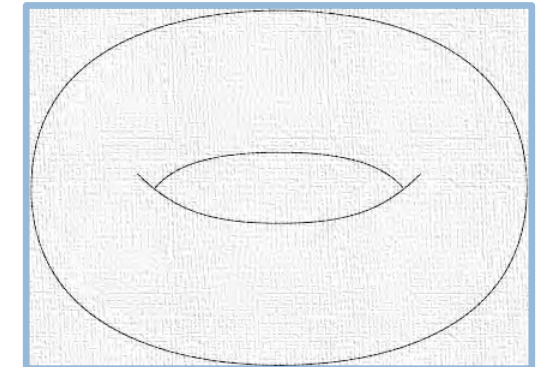
$$\mathcal{T}_{0A} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + S_8 \bar{C}_8 + C_8 \bar{S}_8}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{0B} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8}$$

• HO and HE:

$$\mathcal{T}_{HE} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{(V_8 - S_8)(\bar{O}_{16} + \bar{S}_{16})^2}{Im\tau^4 \eta^8 \bar{\eta}^8} \quad \mathcal{T}_{HO} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{(V_8 - S_8)(\bar{O}_{32} + \bar{S}_{32})}{Im\tau^4 \eta^8 \bar{\eta}^8}$$

• $H_{SO(16) \times SO(16)}$:

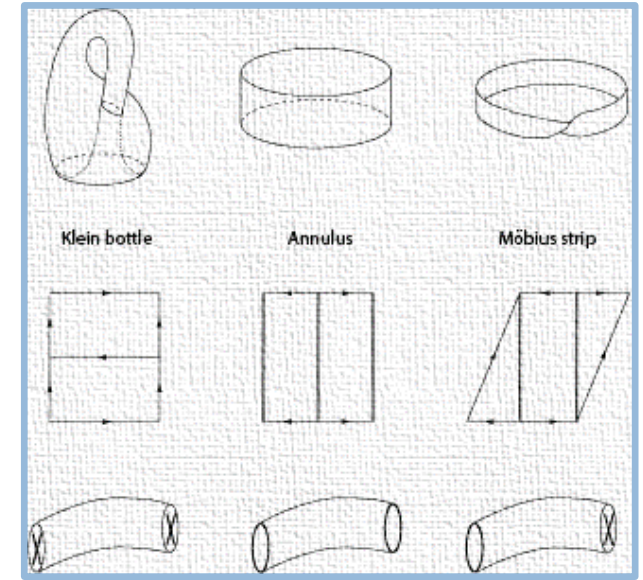
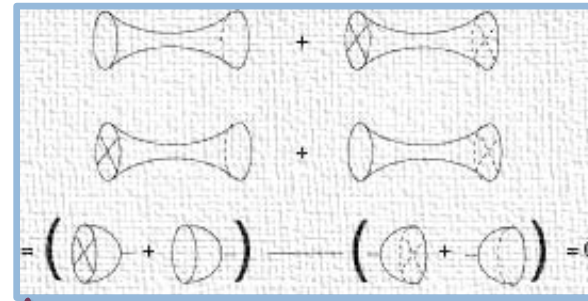
$$\mathcal{T}_{SO(16) \times SO(16)} = \int_{\mathcal{F}} \frac{d^2\tau}{Im\tau^2} \frac{1}{Im\tau^4 \eta^8 \bar{\eta}^8} [O_8(\bar{V}_{16} \bar{C}_{16} + \bar{C}_{16} \bar{V}_{16}) + V_8(\bar{O}_{16} \bar{O}_{16} + \bar{S}_{16} \bar{S}_{16}) \\ - S_8(\bar{O}_{16} \bar{S}_{16} + \bar{S}_{16} \bar{O}_{16}) - C_8(\bar{V}_{16} \bar{V}_{16} + \bar{C}_{16} \bar{C}_{16})]$$



Ten-Dimensional Orientifolds

- ❖ Follow from the IIB, OA and OB models via an **orientifold projection** (AS, 1987), which fills vacuum with D-branes and O-planes (Polchinski, 1995)

- ❖ **RR tadpole condition(s)**: neutrality conditions



- ❖ **Three 10D NON-TACHYONIC orientifold models:**

- **Type-I SO(32) superstring** (Green and Schwarz, 1984): descends from IIB, vacuum filled with **BPS** combinations of **O-orientifold** ($T < 0, Q < 0$) and **D-branes** ($T > 0, Q > 0$). Massless Weyl fermions in the adjoint of SO(32)
- **U(32) O'B model** (AS, 1995): non-BPS D and O; → Applications to large-N QCD: Armoni et al
- **USp(32) Sugimoto model** (simplest example with "**Brane SUSY Breaking**"): descends from IIB, vacuum filled with **NON BPS** combination of **O+ orientifold** ($T > 0, Q > 0$) and **anti D-branes** ($T > 0, Q < 0$). Massless fermions in the (reducible) two-fold antisymmetric of USp(32) → **Goldstino**
(Sugimoto; Antoniadis, Dudas, AS; Angelantonj, Aldazabal and Uranga, 1999)

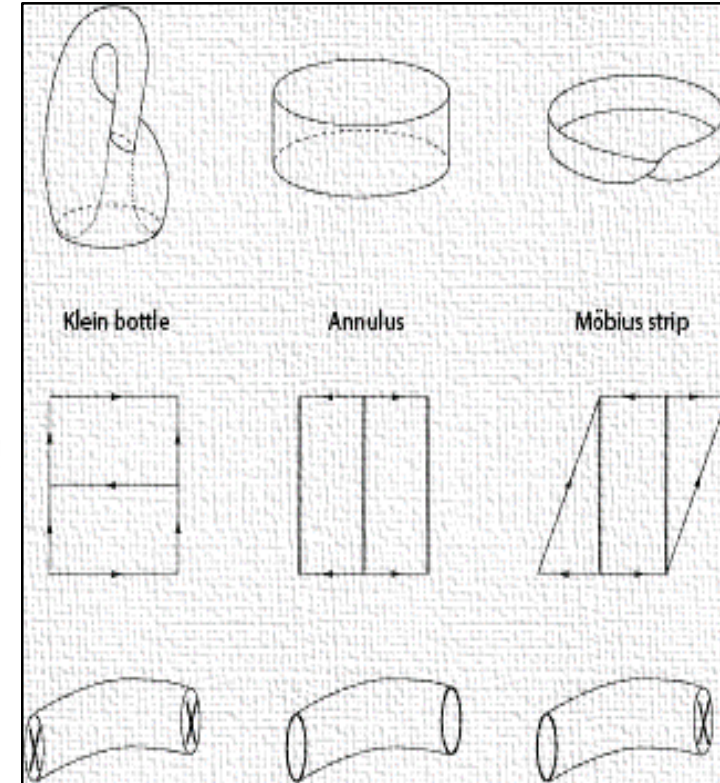
10D Tachyon-Free Orientifolds

1. $USp(32)$ type-I: $(e_\mu^a, B_{\mu\nu}, \phi, \psi_\mu, \psi) \oplus (A_\mu^{(ab)}, \lambda^{[ab]})$, $USp(32)$ gauge group [non-linear SUSY, $T > 0$]
(Sugimoto, 1999)

$$\begin{aligned} \mathcal{T}_{USp(32)} &= \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [\tau, \bar{\tau}] & \mathcal{K}_{USp(32)} &= \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [2i\tau_2] \\ \mathcal{A}_{USp(32)} &= \frac{\mathcal{N}^2}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] & \mathcal{M}_{USp(32)} &= \frac{\mathcal{N}}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{(\hat{V}_8 + \hat{S}_8)}{(\tau_2)^4 \hat{\eta}^8} [i\tau_2/2 + 1/2] \\ & & \mathcal{N} &= 32 \end{aligned}$$

2. $U(32)$ type-O'b: $(e_\mu^a, B_{\mu\nu}, D_{\mu\nu\rho\sigma}^+, \phi, \phi') \oplus (A_{\mu a}{}^b, \lambda^{[ab]}, \lambda_{[ab]})$, $U(32)$ gauge group [NO SUSY, $T > 0$]
(AS, 1995)

$$\begin{aligned} \mathcal{T}_{O'B} &= \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [\tau, \bar{\tau}] & \mathcal{K}_{O'B} &= \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{-O_8 + V_8 + S_8 - C_8}{(\tau_2)^4 \eta^8} [2i\tau_2] \\ \mathcal{A}_{O'B} &= \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{\mathcal{N} \bar{\mathcal{N}}}{(\tau_2)^4 \eta^8} \frac{V_8 - \frac{\mathcal{N}^2 + \bar{\mathcal{N}}^2}{2} C_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] & \mathcal{M}_{O'B} &= -\frac{\mathcal{N} + \bar{\mathcal{N}}}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{\hat{C}_8}{(\tau_2)^4 \hat{\eta}^8} [i\tau_2/2 + 1/2] \\ & & \mathcal{N} = \bar{\mathcal{N}} &= 32 \end{aligned}$$

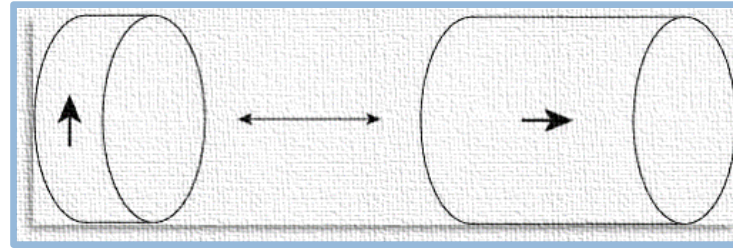


3. $SO(16) \times SO(16)$ heterotic model: $(e_\mu^a, B_{\mu\nu}, \phi) \oplus (A_\mu^{[ab]}, A_\mu^{[a'b']}, \lambda_L^{aa'}, \lambda_R^A, \lambda_R^{A'})$, $SO(16) \times SO(16)$ gauge group [NO SUSY, $\Lambda_{Torus} > 0$]
(Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1986; Dixon and Harvey, 1986)

Brane SUSY Breaking

The Problem

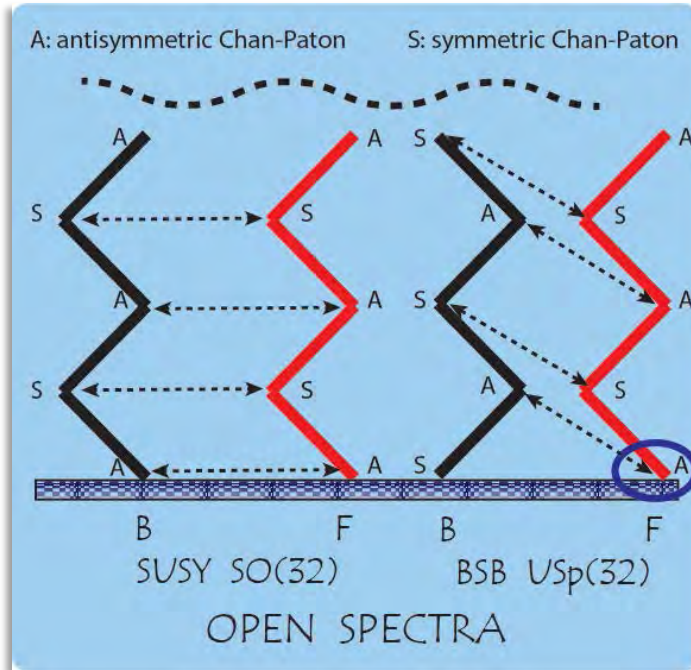
- ❖ String Theory can be efficiently described around supersymmetric vacua (especially with extended SUSY);
- ❖ On the other hand, **broken supersymmetry** is accompanied by **vacuum redefinitions**:
 - In models of **oriented closed strings** these originate from “loop” diagrams (torus and beyond)
 - In **orientifolds** the distinction between tree and loop levels is blurred by **open-closed duality**



- **“Brane SUSY Breaking”**: results from insertion of non-BPS combinations of BPS branes and/or orientifolds
(Sugimoto; Antoniadis, Dudas, AS; Angelantonj, Aldazabal and Uranga, 1999)
 - **Runaway exponential potential** for dilaton ($\sim e^{-\varphi}$ in string frame, or $\sim e^{\frac{3}{2}\varphi}$ in 10D in Einstein frame)
 - [**Torus (genus-one)** correction in 10D closed-string modes: ~ 1 in string frame, or $\sim e^{\frac{5}{2}\varphi}$ in Einstein frame]
- ❖ **In Field Theory** \rightarrow one can shift fields. **BUT in String Theory** \rightarrow must start around “wrong vacua”

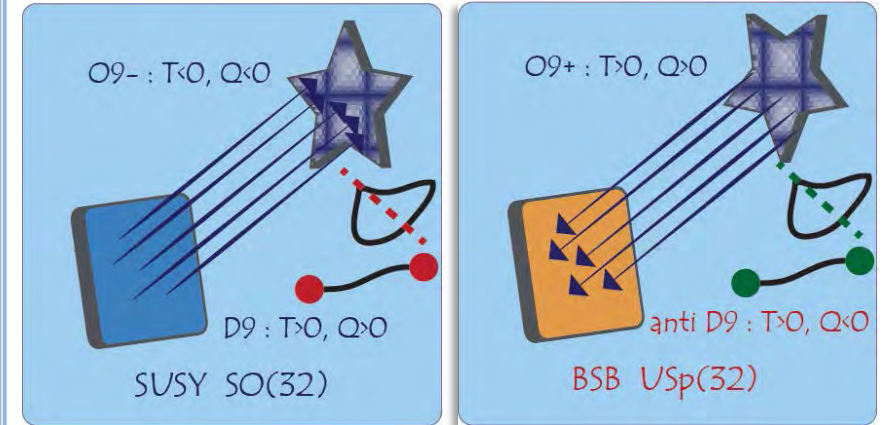
Brane SUSY Breaking (BSB)

(Sugimoto, 1999)
(Antoniadis, Dudas, AS, 1999)
(Angelantonj, 1999)
(Aldazabal, Uranga, 1999)



Tree – level

- ❖ SUSY broken at string scale in open sector, exact in closed sector
- ❖ Stable vacuum (classically)
- ❖ Goldstino in open sector



BSB: Tension unbalance \rightarrow exponential potential

$$S_{10} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-g} \{ e^{-2\phi} (-R + 4(\partial\phi)^2) - T e^{-\phi} + \dots \}$$

BSB: The Low-Energy Supergravity

(Dudas, and Mourad, 2000, 2001)

$$\mathcal{S} = \frac{1}{2 k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr} \mathcal{F}^2 - T e^{-\phi} \right\}$$

1. **Dudas and Mourad** solved the field equations for a 9D profile that (in string frame) describes a 9D S_1/Z_2 compactification. It leads to **finite 9D Planck mass and gauge coupling**, but there are **singularities** at the ends

$$ds^2 = |\alpha y^2|^{\frac{2}{9}} e^{\frac{1}{2}\phi_0} e^{\frac{1}{4}\alpha y^2} \eta_{\mu\nu} dx^\mu dx^\nu + |\alpha y^2|^{-\frac{1}{3}} e^{-\phi_0} e^{-\frac{3}{4}\alpha y^2} dy^2$$

$$e^\phi = e^{\phi_0} |\alpha y^2|^{\frac{1}{3}} e^{\frac{3}{4}\alpha y^2}$$

[similar, albeit more complicated looking, results also obtain for $SO(16) \times SO(16)$]

2. There is an amusing **cosmological counterpart**. The dilaton tadpole lies precisely at the onset of the **"climbing phenomenon"**. The scalar is bound to emerge from the initial singularity **"climbing up" the potential**.

$$ds^2 = |\alpha t^2|^{\frac{2}{9}} e^{\frac{1}{2}\phi_0} e^{-\frac{1}{4}\alpha t^2} \delta_{ij} dx^i dx^j - |\alpha t^2|^{-\frac{1}{3}} e^{-\phi_0} e^{\frac{3}{4}\alpha t^2} dt^2$$

$$e^\phi = e^{\phi_0} |\alpha t^2|^{\frac{1}{3}} e^{-\frac{3}{4}\alpha t^2}$$

Halliwell, 1987)

.....

(Dudas, Mourad, 2000)

(Russo, 2004)

(Dudas, Kitazawa, AS, 2010)

.....

String Clues on the Onset of Inflation?

Cosmological Potentials

What potentials lead to slow-roll, and where?

$$ds^2 = -dt^2 + e^{2A(t)} d\mathbf{x} \cdot d\mathbf{x}$$



$$\ddot{\phi} + 3\dot{\phi} \sqrt{\frac{1}{3} \dot{\phi}^2 + \frac{2}{3} V(\phi)} + V' = 0$$

Driving force from V' *vs* friction from V

- **If V does not vanish**: convenient gauge "makes the damping term neater"

$$ds^2 = e^{2\mathcal{B}(t)} dt^2 - e^{\frac{2\mathcal{A}(t)}{d-1}} d\mathbf{x} \cdot d\mathbf{x}$$

$$V e^{2\mathcal{B}} = V_0$$

$$\tau = t \sqrt{\frac{d-1}{d-2}}, \quad \varphi = \phi \sqrt{\frac{d-1}{d-2}}$$

$$\begin{aligned} \dot{\mathcal{A}}^2 - \dot{\phi}^2 &= 1 \\ \ddot{\phi} + \dot{\phi} \sqrt{1 + \dot{\phi}^2} + \frac{V_\varphi}{2V} (1 + \dot{\phi}^2) &= 0 \end{aligned}$$

- Now driving from $\log V$ *vs* $O(1)$ damping

$$V = \varphi^n \rightarrow \frac{V'}{2V} = \frac{n}{2\varphi}$$

❖ **Quadratic potential?**

Far away from origin

(Linde, 1983)

❖ **Exponential potential?**

YES or NO

$$V(\varphi) = V_0 e^{2\gamma\varphi} \rightarrow \frac{V'}{2V} = \gamma$$

$V = e^{2\gamma\varphi}$: Climbing & Descending Scalars

(Halliwell, 1987;..., Dudas and Mourad, 1999; Russo, 2004; Dudas, Kitazawa, AS, 2010)

- $\gamma < 1$? Both signs of speed

a. "Climbing" solution (φ climbs, then descends):

$$\dot{\varphi} = \frac{1}{2} \left[\sqrt{\frac{1-\gamma}{1+\gamma}} \coth\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \tanh\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) \right]$$

b. "Descending" solution (φ only descends):

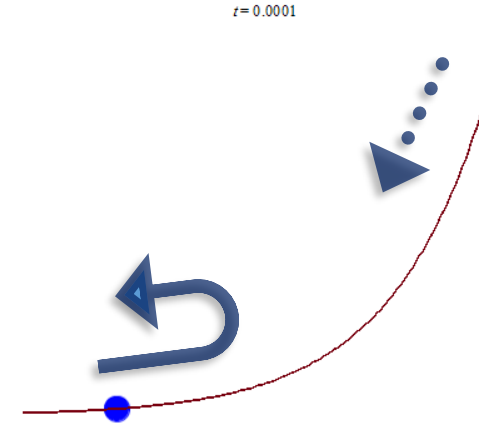
$$\dot{\varphi} = \frac{1}{2} \left[\sqrt{\frac{1-\gamma}{1+\gamma}} \tanh\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \coth\left(\frac{\tau}{2} \sqrt{1-\gamma^2}\right) \right]$$

Limiting τ - speed (LM attractor):

(Lucchin and Matarrese, 1985)

$$v_{lim} = -\frac{\gamma}{\sqrt{1-\gamma^2}}$$

$\gamma = 1$ is "critical": LM attractor & descending solution disappear there and beyond



CLIMBING: in ALL asymptotically exponential potentials with $\gamma \geq 1$!

10D STRING THEORY HAS PRECISELY $\gamma = 1$ (bounded g_s !)

- $\gamma = 1$:

$$\begin{aligned} \varphi(\tau) &= \varphi_0 + \frac{1}{2} \left[\log |\tau - \tau_0| - \frac{1}{2} (\tau - \tau_0)^2 \right] \\ \mathcal{A}(\tau) &= \mathcal{A}_0 + \frac{1}{2} \left[\log |\tau - \tau_0| + \frac{1}{2} (\tau - \tau_0)^2 \right] \end{aligned}$$

Critical Exponentials and BSB: $D < 10$

(Duda, Kitazawa, AS, 2010)
(AS, 2013)
(Fré, AS, Sorin, 2013)

❖ STRING THEORY PREDICTS the exponent in $V = V_0 e^{2\varphi}$

- $D=10$: Polyakov expansion and dilaton tadpole

$$\mathcal{S} = \frac{1}{2k_N^2} \int d^{10}x \sqrt{-\det g} \left[R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - T e^{\frac{3}{2}\phi} + \dots \right] \longrightarrow \gamma = 1 \text{ (for } \varphi)$$

- $D < 10$: two combinations of ϕ and "breathing mode" $\sigma \rightarrow (\Phi_s, \Phi_t)$
- Φ_t yields a "critical" potential ($\gamma = 1$) if Φ_s is stabilized

$$S_d = \frac{1}{2\kappa_d^2} \int d^d x \sqrt{-g} \left[R + \frac{1}{2} (\partial\Phi_s)^2 + \frac{1}{2} (\partial\Phi_t)^2 - T_9 e^{\sqrt{\frac{2(d-1)}{d-2}} \Phi_t} + \dots \right]$$

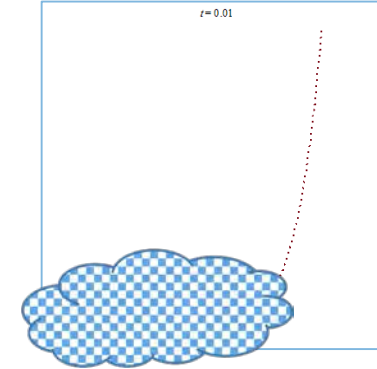
- **If Φ_s is stabilized:** a p-brane that couples via $(g_s)^{-\alpha}$ yields:
[the D9-brane we met before had $p=9, \alpha=1$]

$$\gamma = \frac{1}{12} (p + 9 - 6\alpha) \quad \text{[NOTE: all multiples of } \frac{1}{12} \simeq 0.08 \text{]}$$

Onset of Inflation via BSB & Climbing?

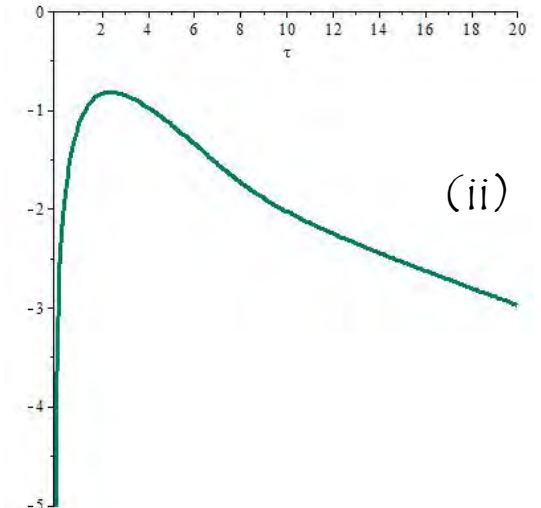
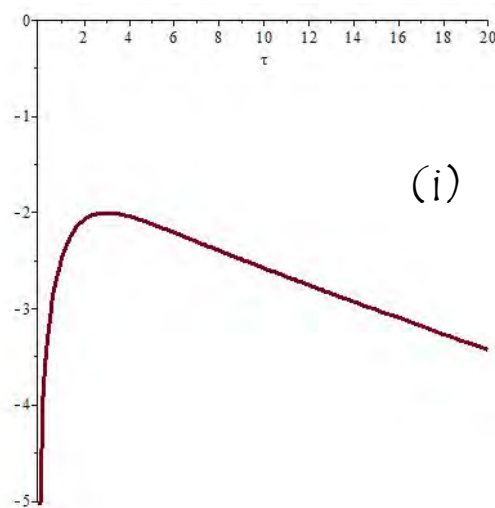
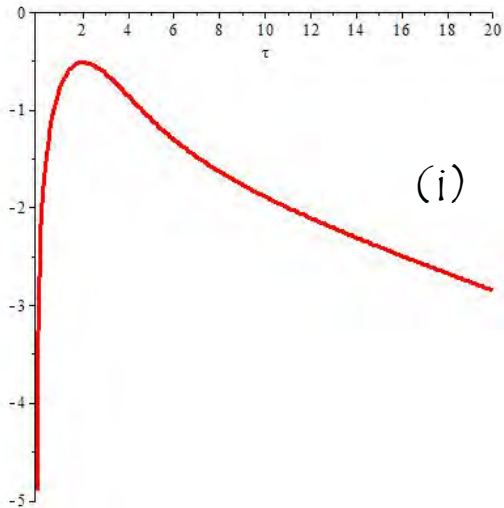
❖ Critical exponential → CLIMBING

❖ NOT ENOUGH: need "flat portion" for slow-roll
[Here we must "guess" (modulo previous slide)]



i. Two-exp: $V(\varphi) = V_0 (e^{2\varphi} + e^{2\gamma\varphi})$ $\left[\gamma = \frac{1}{12} \rightarrow n_s = 0.957 \right]$ (PLANCK015 : 0.968 ± 0.06)

ii. More generally : $V(\varphi) = V_0 (e^{2\varphi} + e^{2\gamma\varphi}) + V'(\varphi)$



$\varphi(\tau)$

Scalar Bounces and the low- ℓ CMB

- Mukhanov-Sasaki equation :

- Limiting W_s :

- Power :

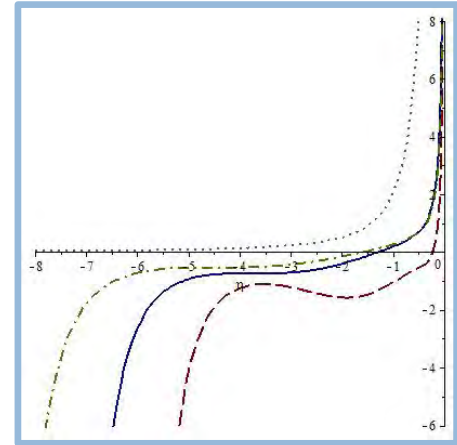
$$P(k) = \frac{k^3}{2\pi^2} \left| \frac{v_k(-\epsilon)}{z(-\epsilon)} \right|^2$$

- ❖ Pre-inflationary fast roll : $P(k) \sim k^3$ vs $P(k) \sim k^{3-2\nu}$
(together with model-dependent fine details near the transition)

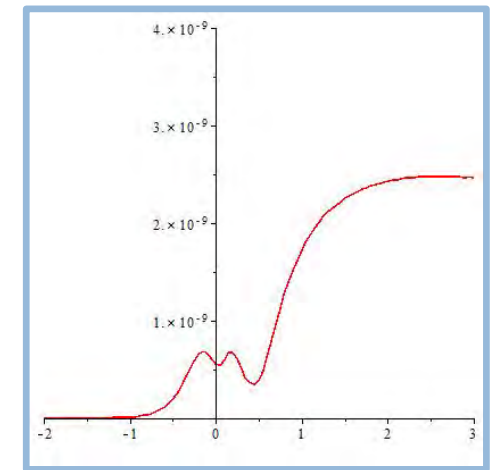
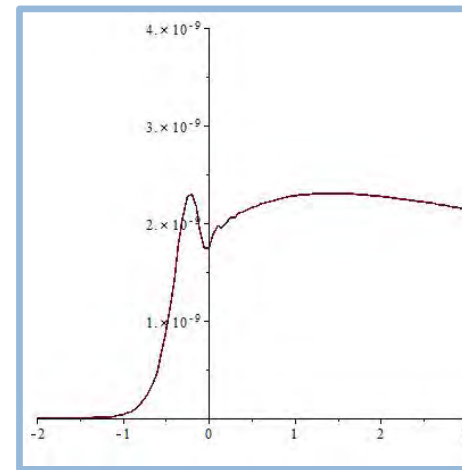
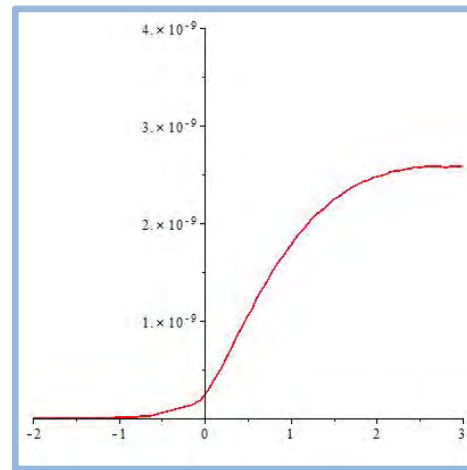
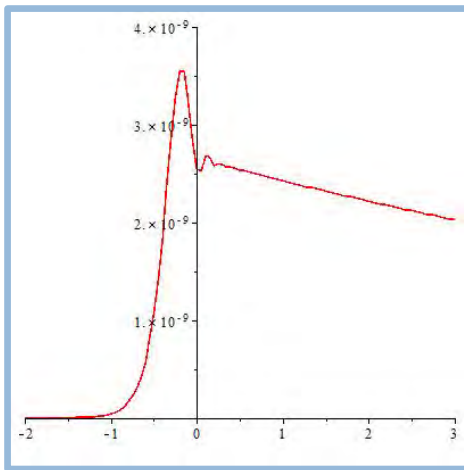
$$\left(\frac{d^2}{d\eta^2} + k^2 - W_s(\eta) \right) v_k(\eta) = 0$$

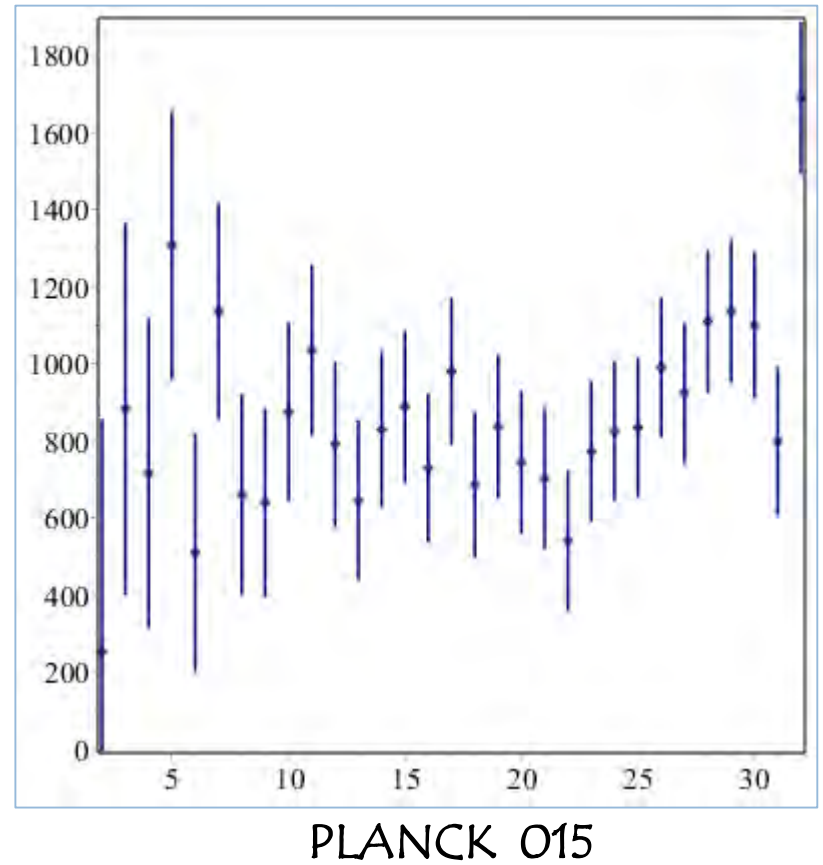
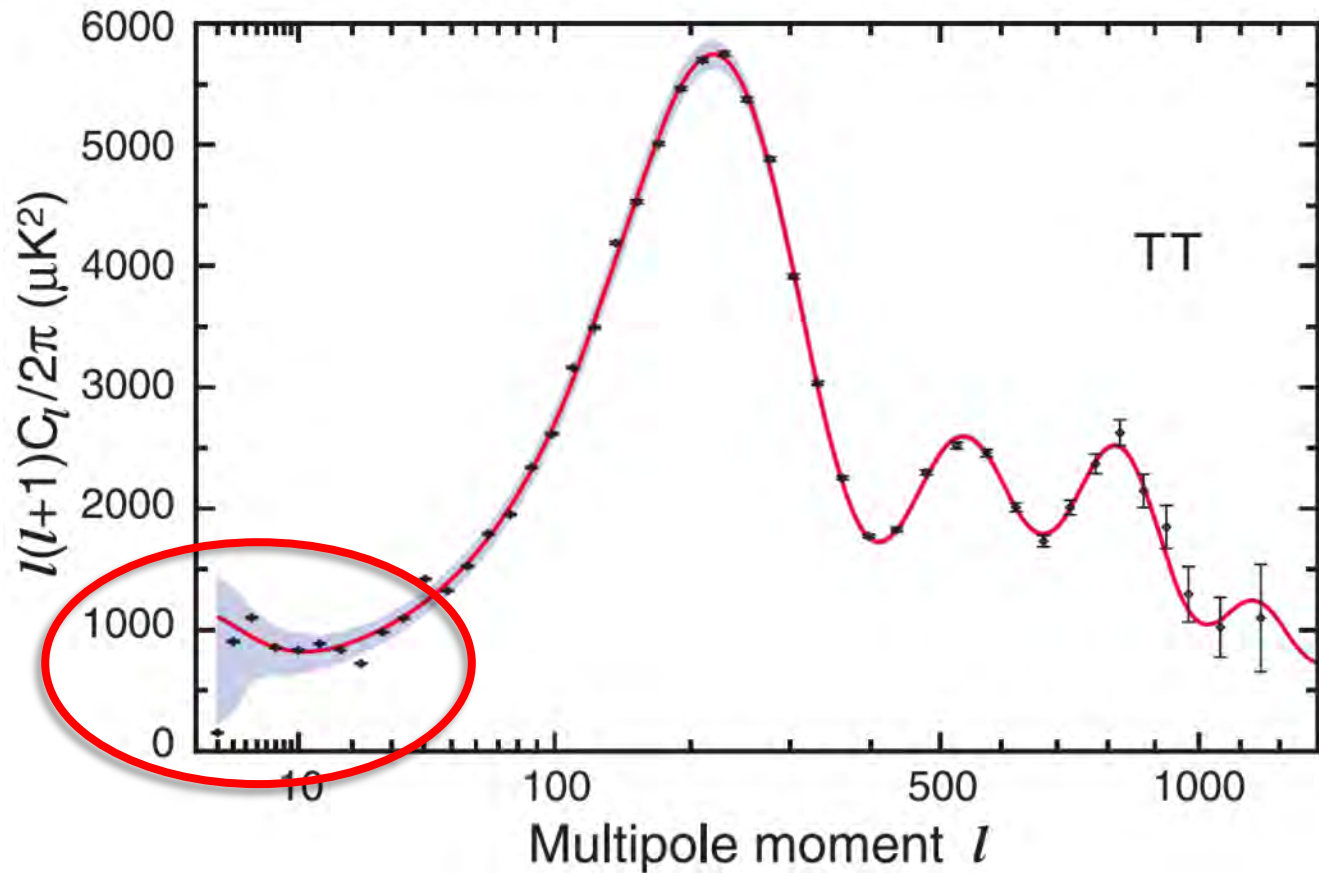
$$W_s \underset{\eta \rightarrow -\eta_0}{\sim} -\frac{1}{4} \frac{1}{(\eta + \eta_0)^2}, \quad W_s \underset{\eta \rightarrow -0^-}{\sim} \frac{\nu^2 - \frac{1}{4}}{\eta^2} \quad \left(\nu = \frac{3}{2} \frac{1 - \gamma^2}{1 - 3\gamma^2} \right)$$

$W_s(\eta)$:



Power spectra with pre-inflationary fast roll (and climbing)



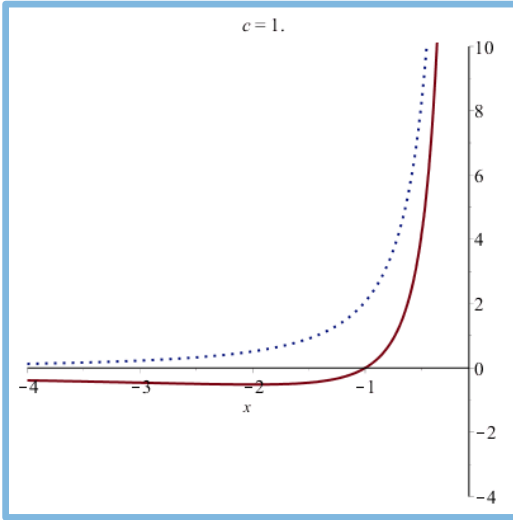


$+$: $A_\ell \sim \ell(\ell+1) \int \frac{dk}{k} P_R(k) j_\ell(k\Delta\eta)^2 \sim P_R\left(k = \frac{\ell}{\Delta\eta}\right)$
 $-$: Cosmic Variance

Are we seeing signs of the onset of inflation ?

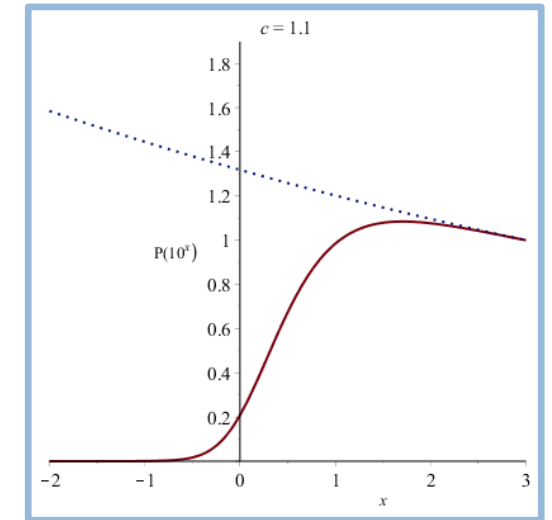
Analytic Mukhanov-Sasaki Power Spectra

(Dudaš, Kitazawa, Patil, AS, 2012)



$$\frac{d^2 v_k(\eta)}{d\eta^2} + [k^2 + \Delta^2 - W_s(\eta)] v_k(\eta) = 0$$

$$W'_s = \frac{\nu^2 - \frac{1}{4}}{\eta^2} - \Delta^2 \longrightarrow P(k) \sim \frac{k^3}{[k^2 + \Delta^2]^\nu}$$



- If W_s crosses the real axis \rightarrow Power cutoff
- One can also produce a “caricature” pre-inflationary peak

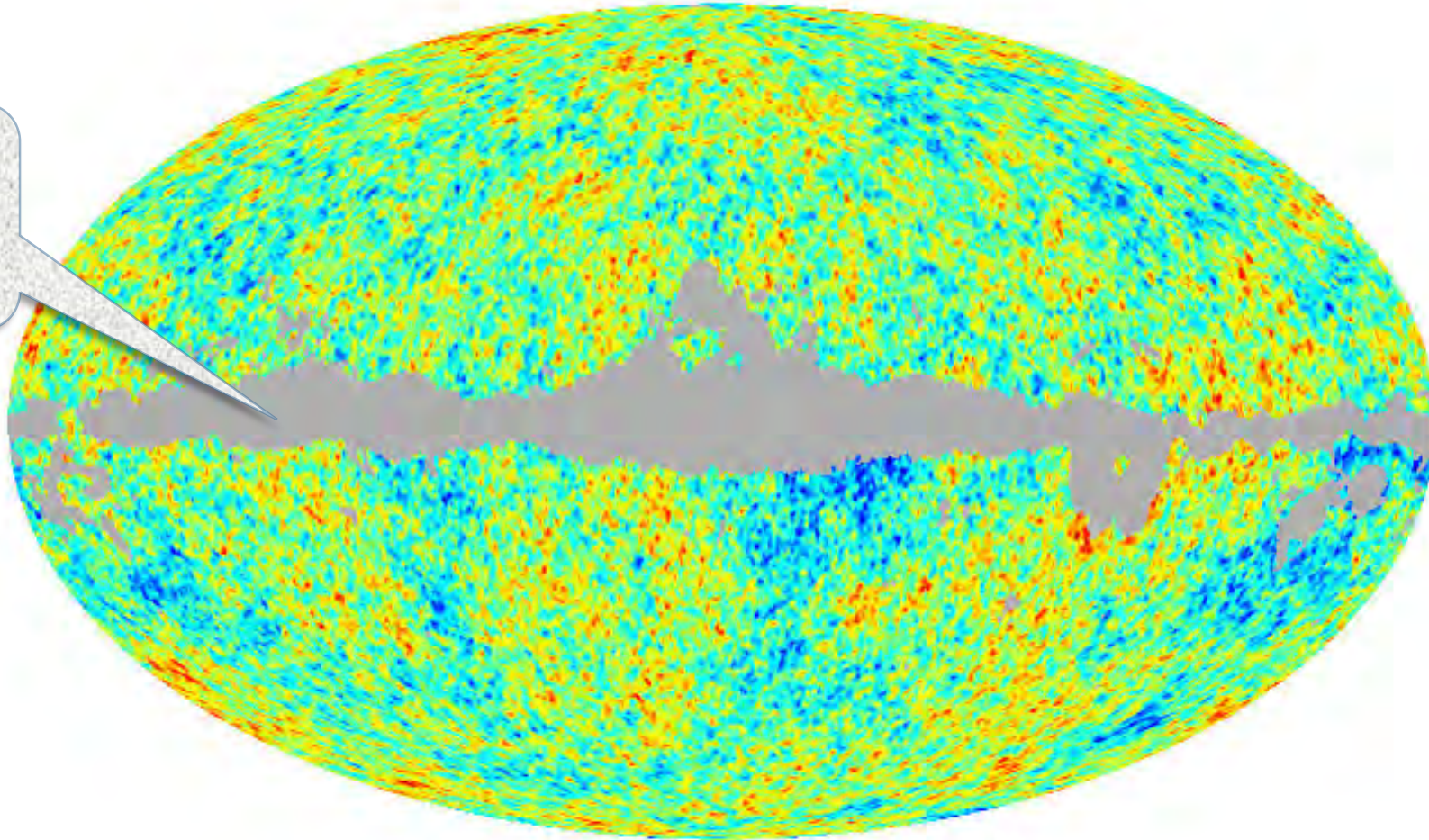
$$W_s = \frac{\nu^2 - \frac{1}{4}}{\eta^2} \left[c \left(1 + \frac{\eta}{\eta_0} \right) + (1 - c) \left(1 + \frac{\eta}{\eta_0} \right)^2 \right]$$

$$P_{\mathcal{R}}(k) \sim \frac{(k \eta_0)^3 \exp \left(\frac{\pi \left(\frac{c}{2} - 1 \right) \left(\nu^2 - \frac{1}{4} \right)}{\sqrt{(k \eta_0)^2 + (c - 1) \left(\nu^2 - \frac{1}{4} \right)}} \right)}{\left| \Gamma \left(\nu + \frac{1}{2} + \frac{i \left(\frac{c}{2} - 1 \right) \left(\nu^2 - \frac{1}{4} \right)}{\sqrt{(k \eta_0)^2 + (c - 1) \left(\nu^2 - \frac{1}{4} \right)}} \right) \right|^2} \left[(k \eta_0)^2 + (c - 1) \left(\nu^2 - \frac{1}{4} \right) \right]^\nu$$

Pre-Inflationary Relics in the CMB?

Planck CMB

Masked region



-331 331

Pre-Inflationary Relics in the CMB?

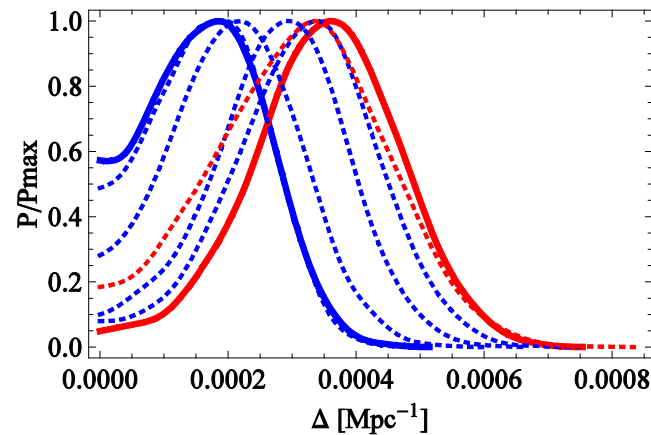
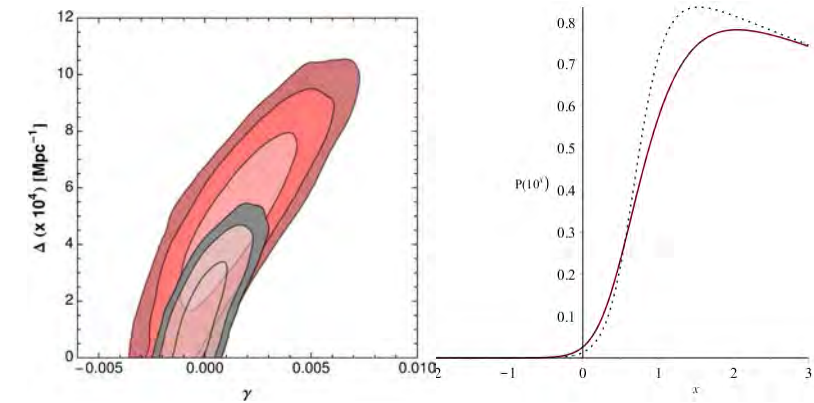
(Gruppuso, Kitazawa, Mandolesi, Natoli, AS, 2015)

- **Extend Λ CDM** to allow for low- ℓ suppression:

(Kitazawa, AS, 2014)

$$\mathcal{P}(k) = A (k/k_0)^{n_s-1} \rightarrow \frac{A (k/k_0)^3}{\left[(k/k_0)^2 + (\Delta/k_0)^2\right]^\nu}$$

- ❖ **NO** effects on standard Λ CDM parameters (6+16 nuisance)
- ❖ **A new scale Δ .** Preferred value? Depends on Galactic masking.



$$\Delta = (0.351 \pm 0.114) \times 10^{-3} \text{ Mpc}^{-1}$$

RED : + 30-degree extended mask
> 99% confidence level

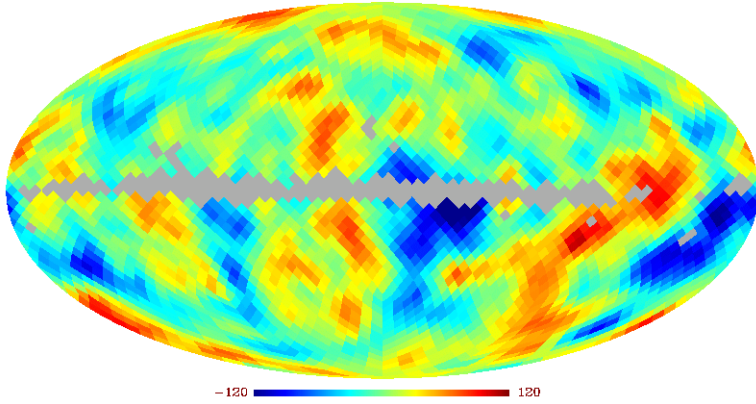
- What is the corresponding energy scale at onset of inflation?

$$\Delta^{Infl} \sim 2.4 \times 10^{12} e^{N-60} \text{ GeV} \sim 10^{12} - 10^{14} \text{ GeV for } N \sim 60 - 65$$

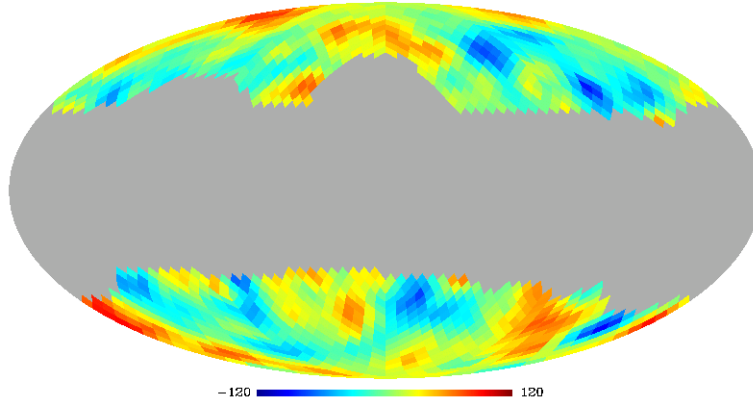
More in Detail

(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, in progress)

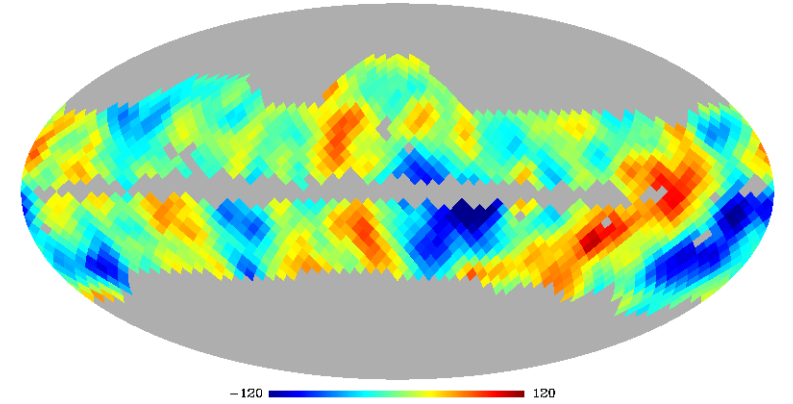
Comm CMB map w/ standard mask



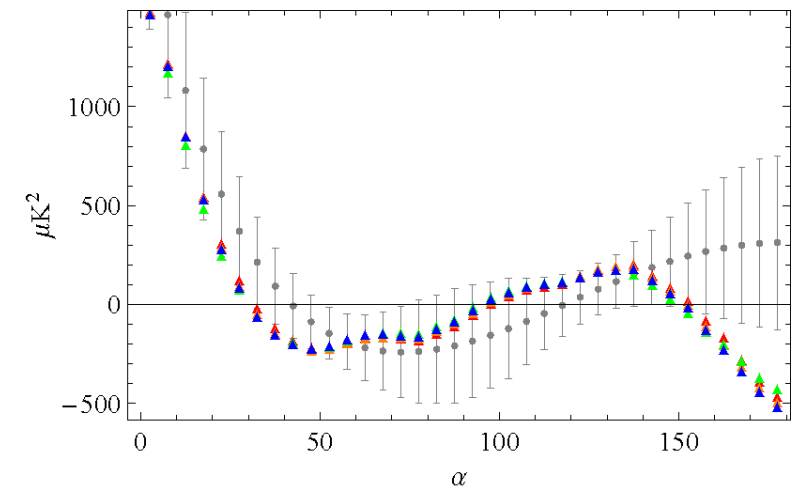
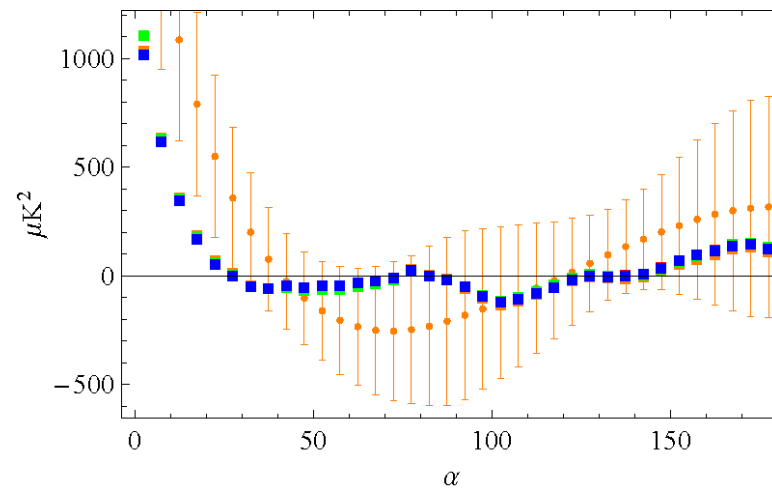
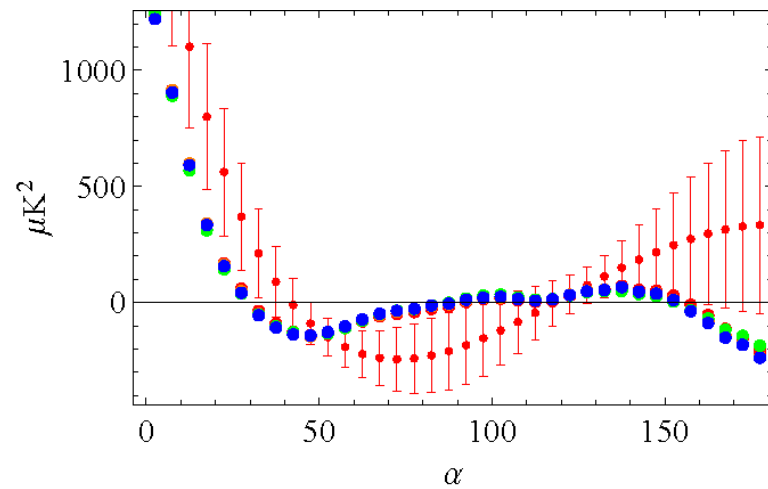
Comm CMB map w/ mask ext 30



Comm CMB map w/ compl mask



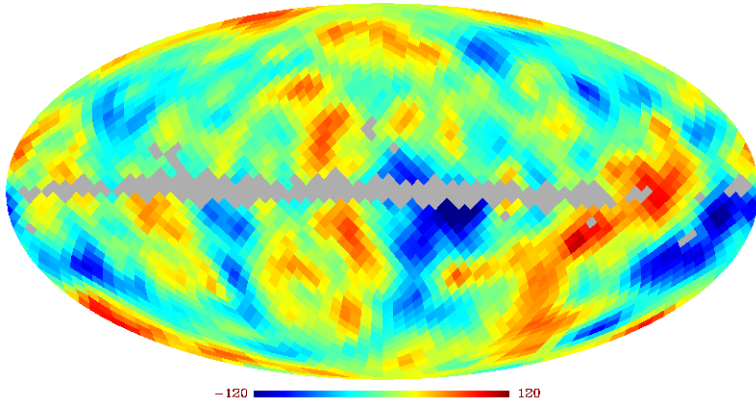
2 - Point : Distinct Behavior in Complementary Regions



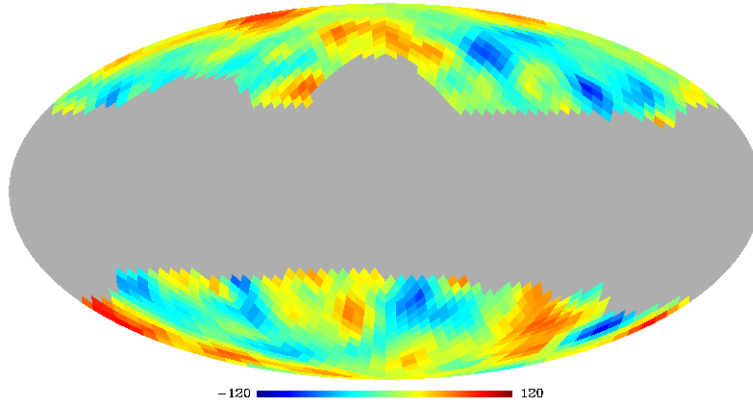
More in Detail

(Gruppuso, Kitazawa, Lattanzi, Mandolesi, Natoli, AS, in progress)

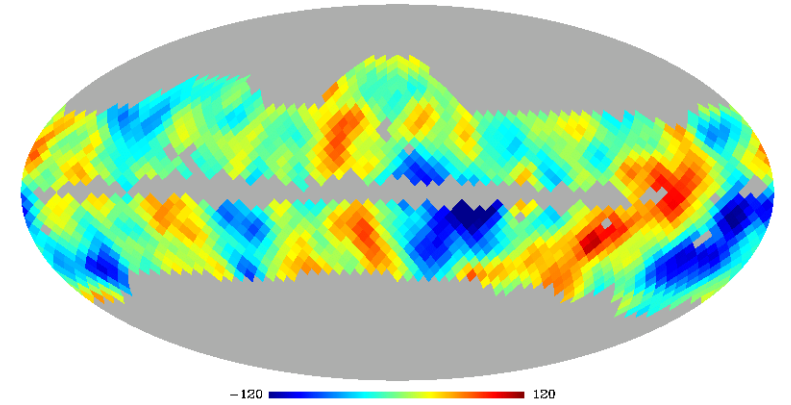
Comm CMB map w/ standard mask



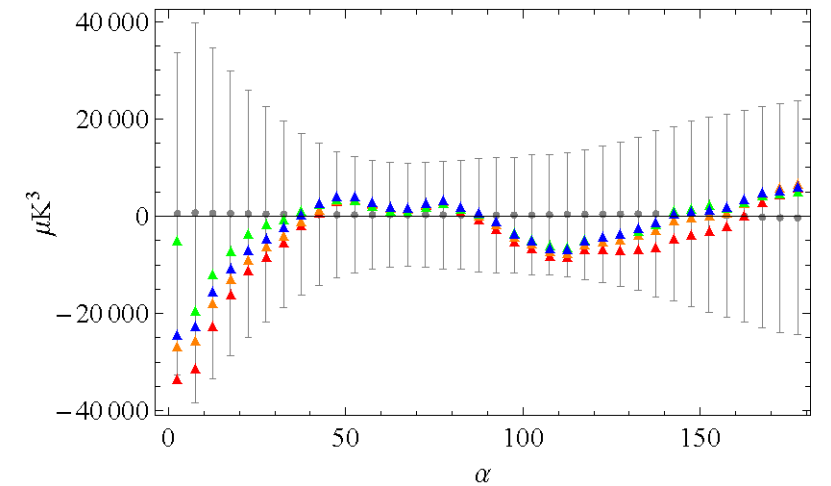
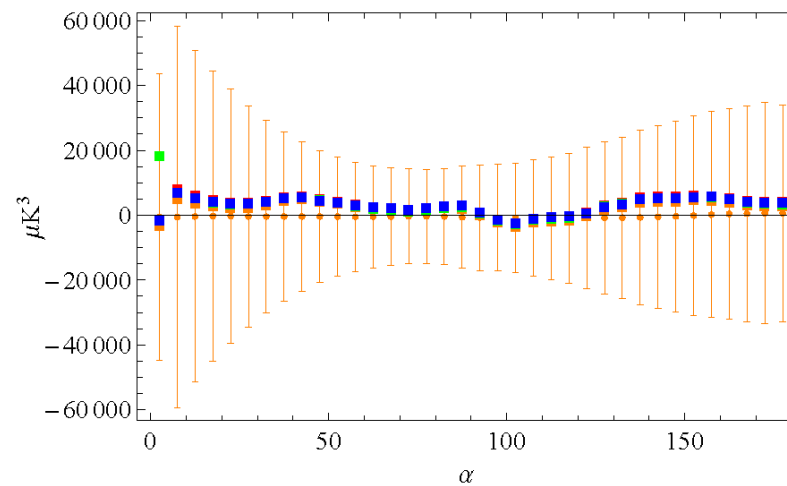
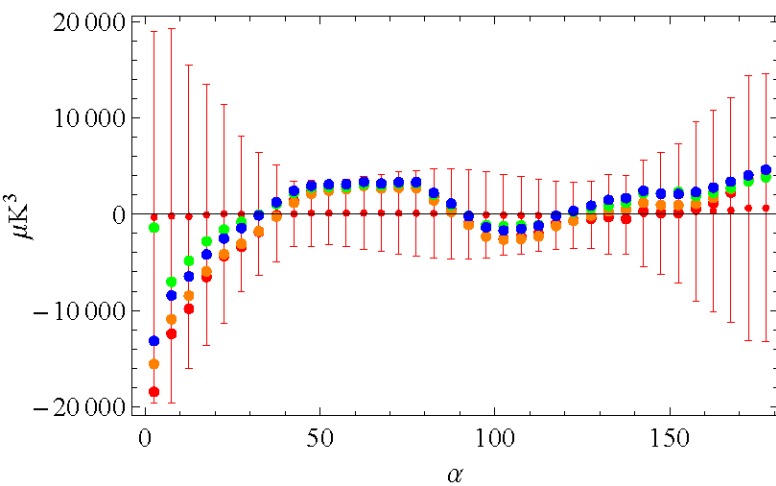
Comm CMB map w/ mask ext 30



Comm CMB map w/ compl mask



3 – Point (collapsed): Everywhere Gaussian



*AdS Vacua from Dilaton
Tadpoles and Form Fluxes*

Low-Energy Lagrangians

In string frame ($T \rightarrow \Lambda$ for heterotic):

$$\mathcal{S} = \frac{1}{2 k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{2(p+2)!} e^{-2\beta_S \phi} \mathcal{H}_{p+2}^2 - \frac{1}{4} e^{-2\alpha_S \phi} \text{tr} \mathcal{F}^2 - T e^{\gamma_S \phi} \right\}$$

In Einstein frame ($T \rightarrow \Lambda$ for heterotic):

$$\mathcal{S} = \frac{1}{2 k_{10}^2} \int d^{10}x \sqrt{-g} \left\{ -R - \frac{1}{2} (\partial\phi)^2 - \frac{1}{2(p+2)!} e^{-2\beta_E^{(p)} \phi} \mathcal{H}_{p+2}^2 - \frac{1}{4} e^{-2\alpha_E \phi} \text{tr} \mathcal{F}^2 - T e^{\gamma_E \phi} \right\}$$

Here:

Orientifolds:

$$\gamma_E = \frac{3}{2} \ (\gamma_S = -1) \ , \ \alpha_E = -\frac{1}{4} \ (\alpha_S = \frac{1}{2}) \ , \ \beta_E^{(1)} = -\frac{1}{2} \ , \ \beta_E^{(5)} = \frac{1}{2} \ (\beta_S = 0)$$

Heterotic:

$$\gamma_E = \frac{5}{2} \ (\gamma_S = 0) \ , \ \alpha_E = \frac{1}{4} \ (\alpha_S = 1) \ , \ \beta_E^{(1)} = \frac{1}{2} \ , \ \beta_E^{(5)} = -\frac{1}{2} \ (\beta_S^{(1)} = 1)$$

Brane Profiles

1. The starting point is a **class of metrics of the type** (whose structure is familiar from the SUSY case):

$$ds^2 = e^{2A(r)} g(L_k) + e^{2B(r)} dr^2 + e^{2C(r)} g(E_{k'})$$

2. There exists a **CFT analysis** of (charged and uncharged) brane configurations for this type of orientifold systems.

(Dudas, Mourad, AS, 2001)

How will the CFT analysis, which is set up around the flat space vacuum, and thus ignoring the dilaton tadpole, **connect to the actual deformed backgrounds?**

Radial Dynamical System

Second-order equations: $(F = (p+1)A - B + (D-p-2)C)$

$$\begin{aligned}
 \mathcal{E}_A &\equiv A'' + A' F' = -\frac{T}{D-2} e^{2B+\gamma\phi} + k p e^{2(B-A)} \\
 &+ \frac{(D-p-3)}{2(D-2)} e^{-2\beta_E\phi-2(p+1)A} (b')^2 + 4 \frac{(D-p-2)}{(D-2)} e^{2C-2\alpha_E\phi} (a')^2 + 8 \frac{(D-p-2)(D-p-3)}{(D-2)} e^{2B-4C-2\alpha_E\phi} a^2 (1-a)^2 \\
 \mathcal{E}_C &\equiv C'' + C' F' = -\frac{T}{D-2} e^{2B+\gamma\phi} + k'(D-p-3) e^{2(B-C)} \\
 &- \frac{(p+1)}{2(D-2)} e^{-2\beta_E\phi-2(p+1)A} (b')^2 - \frac{4p}{D-2} e^{-2C-2\alpha_E\phi} (a')^2 - 8 \frac{(D+p-2)(D-p-3)}{(D-2)} e^{2B-4C-2\alpha_E\phi} a^2 (1-a)^2 \\
 \mathcal{E}_\phi &\equiv \phi'' + \phi' F' = \frac{T\gamma(D-2)}{8} e^{2B+\gamma\phi} + \frac{\beta_E(D-2)}{8} e^{-2\beta_E\phi-2(p+1)A} (b')^2 \\
 &- \alpha_E(D-2)(D-p-2)(a')^2 e^{-2C-2\alpha_E\phi} - 2\alpha_E(D-2)(D-p-2)(D-p-3)a^2(1-a)^2 e^{2B-4C-2\alpha_E\phi} \\
 \mathcal{E}_a &\equiv a'' + a'(F' - 2C' - 2\alpha_E\phi') - 2e^{2(B-C)}(D-p-3)a(1-a)(1-2a) = 0 \\
 \mathcal{E}_b &\equiv \left(e^{-2\beta_E\phi-(p+1)A-B+(D-p-2)C} b' \right)' = 0
 \end{aligned}$$

First-order constraint:

$$\begin{aligned}
 &(p+1)A'[pA' + (D-p-2)C'] + (D-p-2)C'[(D-p-3)C' + (p+1)A'] \\
 &- \frac{4(\phi')^2}{D-2} + T e^{2B+\gamma\phi} - k p (p+1) e^{2(B-A)} - k'(D-p-3)(D-p-2) e^{2(B-C)} \\
 &+ \frac{1}{2} e^{-2\beta_E\phi-2(p+1)A} (b')^2 - 4 e^{-2C-2\alpha_E\phi} (D-p-2) (a')^2 \\
 &+ 8 e^{2B-4C-2\alpha_E\phi} (D-p-2)(D-p-3) a^2 (1-a)^2 = 0
 \end{aligned}$$

Example: Supersymmetric Branes

Gauge choice:
($k=0, k'=1$)

$$C = B + \log r$$

$$ds^2 = e^{2A(r)} g(\mathbf{L}_k) + e^{2B(r)} dr^2 + e^{2C(r)} g(\mathbf{E}_{k'})$$

$A \sim B \sim \phi$:

$$(p+1)A + (D-p-3)B = 0$$

$$\frac{\beta_E (D-2)^2}{4} B + (p+1)\phi = 0$$

If $\beta_E \neq 0$:

$$\lambda = \beta_E + \frac{4(p+1)(D-p-3)}{\beta_E (D-2)^2}$$

$$e^{-\lambda \phi} = e^{-\lambda \phi_0} \left[\left(\frac{r_0}{r} \right)^{D-p-3} + 1 \right]$$

$$e^{2A} = e^{\frac{8\phi_0(D-p-3)}{\beta_E (D-2)^2}} \left[\left(\frac{r_0}{r} \right)^{D-p-3} + 1 \right]^{-\frac{8(D-p-3)}{\beta_E \lambda (D-2)^2}}$$

$$e^{2B} = e^{-\frac{8\phi_0(p+1)}{\beta_E (D-2)^2}} \left[\left(\frac{r_0}{r} \right)^{D-p-3} + 1 \right]^{\frac{8(p+1)}{\beta_E \lambda (D-2)^2}}$$

Non-Singular Vacuum Configurations

1. The class of **metrics**: $ds^2 = e^{2A(r)} g(L_k) + dr^2 + e^{2C(r)} g(E_{k'})$
2. **Constant dilaton profiles**: aim at "fixing" the dilaton, despite the runaway potentials
3. Vacuum configurations for \mathcal{H}_{p+2} : $\mathcal{H}_{p+2} = h e^{(p+1) A(r) + 2 \beta_E^{(p)} \phi - (8-p) C} \epsilon(p+1) dr$
4. Allow also for **non-trivial internal gauge fields**, identifying subgroups of the gauge groups with the tangent space to internal spheres ($\tilde{y}^T \tilde{y} = 1$): the simplest option is for $SU(2)$: *(Wu and Yang, 1969)*

$$\mathcal{A} = i a(r) (\tilde{y} d\tilde{y}^T - d\tilde{y} \tilde{y}^T) \longrightarrow \mathcal{F} = i \xi d\tilde{y} d\tilde{y}^T \quad \left(\text{or } a = \frac{\xi}{2} \right)$$

Orientifold Vacuum Configurations

In this fashion the field equations reduce to

$$\begin{aligned}
 (\star) : T e^{\gamma_E \phi} &= \frac{\xi \alpha_E}{\gamma_E} (8-p)(7-p) e^{-4C-2\alpha_E \phi} - \frac{\beta_E^{(p)} h^2}{\gamma_E} e^{-2(8-p)C+2\beta_E^{(p)} \phi} \\
 16 k' e^{-2C} &= \xi \left[8+p + \frac{2\alpha_E}{\gamma_E} (8-p) \right] e^{-4C-2\alpha_E \phi} + \frac{h^2 \left(p+1 - \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C+2\beta_E^{(p)} \phi}}{(7-p)} \\
 (A')^2 &= k e^{-2A} + \xi \frac{(8-p)(7-p)}{16(p+1)} \left(1 - \frac{2\alpha_E}{\gamma_E} \right) e^{-4C-2\alpha_E \phi} + \frac{h^2}{16(p+1)} \left(7-p + \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C+2\beta_E^{(p)} \phi}
 \end{aligned}$$

(*): dilaton eq: strong constraints due to **positivity of l.h.s.** ($\alpha_E, \beta_E < 0$ for orientifolds & $T > 0$, **NEED** H_3 fluxes)

First two eqs: determine $k'=1$ (internal sphere), and (implicitly) its radius e^C and ϕ in terms of h and ξ

Third eq: determines for $k=0$ $A \sim r$, and thus AdS in Poincaré coordinates (or in other slicings for $k \neq 0$)

AdS Slicings

Let us take a closer look at the last equation:

$$(A')^2 = k e^{-2A} + \frac{1}{R^2} \quad (k = \pm 1, 0)$$

It is solved by:

$$\begin{aligned} ds^2 &= R^2 \sinh^2 \left(\frac{r}{R} \right) (ds^2)_{k=1} + dr^2 \\ ds^2 &= R^2 \cosh^2 \left(\frac{r}{R} \right) (ds^2)_{k=-1} + dr^2 \\ ds^2 &= R^2 e^{2\frac{r}{R}} (ds^2)_{k=0} + dr^2 \end{aligned}$$

These metrics emerge from **three different slicing of the same AdS space**, for which

$$\begin{aligned} X_{-1}^2 + X_0^2 - \sum_{i=1}^{p+1} X_i^2 &= R^2 \\ ds^2 &= -dX_{-1}^2 - dX_0^2 + \sum_{i=1}^{p+1} dX_i^2 \end{aligned}$$

1. **K = 1 slicing:** $-\eta_{\mu\nu} X^\mu X^\nu - X_{p+1}^2 = R^2, \quad X^\mu = R y^\mu \cosh \xi, \quad X_{p+1} = R \sinh \xi, \quad -\eta_{\mu\nu} y^\mu y^\nu = 1$

2. **K=-1 slicing:** $X_{-1}^2 - \eta_{\mu\nu} X^\mu X^\nu = R^2, \quad X^\mu = R y^\mu \sinh \xi, \quad X_{-1} = R \cosh \xi, \quad \eta_{\mu\nu} y^\mu y^\nu = 1$

3. **K=0 slicing:** $X_{-1}^2 - X_{p+1}^2 - \eta_{\mu\nu} X^\mu X^\nu = R^2, \quad U, V = X_{-1} \pm X_{p+1}, \quad V = \frac{R^2}{U} + \eta_{\mu\nu} \frac{U}{R^2} y^\mu y^\nu$

$AdS_3 \times S_7$ Orientifold Vacua $\left(T = \frac{16}{\pi^2} (BSB), \frac{8}{\pi^2} (0'B) \right)$

Concentrate on the **first two equations** [Here $\alpha_E < 0$, $\beta_E < 0$, and therefore we **NEED** a 3-form flux, with $p=1$]:

$$\begin{aligned}
 (\star) : T e^{\gamma_E \phi} &= \frac{\xi \alpha_E}{\gamma_E} (8-p)(7-p) e^{-4C-2\alpha_E \phi} - \frac{\beta_E^{(p)} h^2}{\gamma_E} e^{-2(8-p)C+2\beta_E^{(p)} \phi} \\
 16 k' e^{-2C} &= \xi \left[8+p + \frac{2\alpha_E}{\gamma_E} (8-p) \right] e^{-4C-2\alpha_E \phi} + \frac{h^2 \left(p+1 - \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C+2\beta_E^{(p)} \phi}}{(7-p)}
 \end{aligned}$$

Combining them leads to two branches of solutions :

$$\begin{aligned}
 e^{2C} &= \frac{2\xi e^{\frac{\phi}{2}}}{1 \pm \sqrt{1 - \frac{\xi T}{3} e^{2\phi}}} \\
 \frac{h^2}{32} &= \frac{\xi^7 e^{4\phi}}{\left(1 \pm \sqrt{1 - \frac{\xi T}{3} e^{2\phi}} \right)^7} \left[\frac{42}{\xi} \left(1 \pm \sqrt{1 - \frac{\xi T}{3} e^{2\phi}} \right) + 5 T e^{2\phi} \right]
 \end{aligned}$$

The **lower sign** yields an asymptotically weak-coupling solution (small coupling for large sizes)

$$g_s \equiv e^{\phi} \sim \frac{12}{(2hT^3)^{\frac{1}{4}}}, \quad R^4 g_s^3 \sim \frac{144}{T^2}, \quad (A')^2 \sim k e^{-2A} + \frac{6}{R^2}$$

$AdS_3 \times S_7$ Orientifold Vacua $(T = \frac{16}{\pi^2} (BSB), \frac{8}{\pi^2} (0'B))$

$$e^{2C} = \frac{2\xi e^{\frac{\phi}{2}}}{1 \pm \sqrt{1 - \frac{\xi T}{3} e^{2\phi}}}$$

$$\frac{h^2}{32} = \frac{\xi^7 e^{4\phi}}{\left(1 \pm \sqrt{1 - \frac{\xi T}{3} e^{2\phi}}\right)^7} \left[\frac{42}{\xi} \left(1 \pm \sqrt{1 - \frac{\xi T}{3} e^{2\phi}}\right) + 5 T e^{2\phi} \right]$$

- ❖ The solution corresponding to the **lower sign** exists for $\xi=0$ (with residual unbroken gauge group $Usp(32)$ or $U(32)$), and for $\xi=1$ (with residual unbroken gauge group $Usp(24)$ or $U(24)$)
- ❖ The solution corresponding to the **upper sign** only exists for $\xi=1$, associates **large radii** to **strong couplings**, and **survives in the limit of vanishing T** , so that it is a solution also for the type-I superstring. It lies outside the perturbative reach, but it has an interesting heterotic counterpart, as we shall see shortly.

Heterotic Vacuum Configurations

In this fashion the field equations reduce to

$$\begin{aligned}
 (\star) : \Lambda e^{\gamma_E \phi} &= \frac{\xi \alpha_E}{\gamma_E} (8-p)(7-p) e^{-4C-2\alpha_E \phi} - \frac{\beta_E^{(p)} h^2}{\gamma_E} e^{-2(8-p)C+2\beta_E^{(p)} \phi} \\
 16 k' e^{-2C} &= \xi \left[8+p + \frac{2\alpha_E}{\gamma_E} (8-p) \right] e^{-4C-2\alpha_E \phi} + \frac{h^2 \left(p+1 - \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C+2\beta_E^{(p)} \phi}}{(7-p)} \\
 (A')^2 &= k e^{-2A} + \xi \frac{(8-p)(7-p)}{16(p+1)} \left(1 - \frac{2\alpha_E}{\gamma_E} \right) e^{-4C-2\alpha_E \phi} + \frac{h^2}{16(p+1)} \left(7-p + \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C+2\beta_E^{(p)} \phi}
 \end{aligned}$$

(*) : dilaton eq: now $\alpha_E > 0$ for heterotic & $\Lambda > 0$, but we can allow, surprisingly, unbounded H_3 or H_7 fluxes ($\beta_E > < 0$). Actually, one can also eliminate these fluxes altogether, and then the solutions, supported by the gauge fields alone, exist for all p . Only for $p=3,7$, however, can they have unbounded radii and small string coupling.

First two eqs: determine again $k'=1$ (internal sphere), and (implicitly) its radius e^C and ϕ in terms of h and ξ

Third eq: determines again for $k=0$ $A \sim r$, and thus AdS in Poincaré coordinates (or in other slicings for $k \neq 0$)

$AdS_3 \times S_7$ Heterotic Vacua ($\Lambda \approx \frac{4\pi^2}{25}$)

Let us concentrate on the first two equations (here $\alpha_E > 0$, but we want to allow for large h fluxes, with $p=1$):

$$\begin{aligned}
 (\star) : T e^{\gamma_E \phi} &= \frac{\xi \alpha_E}{\gamma_E} (8-p)(7-p) e^{-4C-2\alpha_E \phi} - \frac{\beta_E^{(p)} h^2}{\gamma_E} e^{-2(8-p)C+2\beta_E^{(p)} \phi} \\
 16 k' e^{-2C} &= \xi \left[8+p + \frac{2\alpha_E}{\gamma_E} (8-p) \right] e^{-4C-2\alpha_E \phi} + \frac{h^2 \left(p+1 - \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C+2\beta_E^{(p)} \phi}}{(7-p)}
 \end{aligned}$$

Combining them leads to one branch of solutions :

$$\begin{aligned}
 e^{2C} &= \frac{2\xi e^{-\frac{\phi}{2}}}{1 + \sqrt{1 + \frac{\xi \Lambda}{3} e^{2\phi}}} \\
 \frac{h^2}{32} &= \frac{e^{-4\phi}}{\left[1 + \sqrt{1 + \frac{\Lambda}{3} e^{2\phi}} \right]^7} \left[42 \left(1 + \sqrt{1 + \frac{\Lambda}{3} e^{2\phi}} \right) - 13 \Lambda e^{2\phi} \right]
 \end{aligned}$$

This solution affords again a weak-coupling limit, and asymptotically

$$g_s \equiv e^{\phi} \sim \left(\frac{21}{h^2} \right)^{\frac{1}{4}}, \quad g_s R^4 \sim 1, \quad (A')^2 \sim k e^{-2A} + \frac{21}{4 R^2}$$

BUT: it continues to exist even if $\Lambda = 0$ (strong-weak coupling dual of second orientifold one in the limit)

$AdS_7 \times S_3$ Heterotic Vacua ($\Lambda \approx \frac{4\pi^2}{25}$)

Let us concentrate on the first two equations (here $\alpha_E > 0$, but we want to allow for large h fluxes, with $p=5$):

$$\begin{aligned}
 (\star) : T e^{\gamma_E \phi} &= \frac{\xi \alpha_E}{\gamma_E} (8-p)(7-p) e^{-4C-2\alpha_E \phi} - \frac{\beta_E^{(p)} h^2}{\gamma_E} e^{-2(8-p)C+2\beta_E^{(p)} \phi} \\
 16 k' e^{-2C} &= \xi \left[8+p + \frac{2\alpha_E}{\gamma_E} (8-p) \right] e^{-4C-2\alpha_E \phi} + \frac{h^2 \left(p+1 - \frac{2\beta_E^{(p)}}{\gamma_E} \right) e^{-2(8-p)C+2\beta_E^{(p)} \phi}}{(7-p)}
 \end{aligned}$$

Combining them leads to one branch of solutions :

$$\begin{aligned}
 e^{2C} &= \frac{\xi}{2} \frac{e^{-\frac{\phi}{2}}}{1 - \sqrt{1 - \xi \Lambda e^{2\phi}}}, \\
 \frac{h^2}{3} &= \xi^3 \frac{\left[\frac{17\Lambda}{24} e^{2\phi} - \frac{1}{\xi} \left(1 - \sqrt{1 - \xi \Lambda e^{2\phi}} \right) \right]}{\left(1 - \sqrt{1 - \xi \Lambda e^{2\phi}} \right)^3},
 \end{aligned}$$

This solution also affords again a weak-coupling limit, and asymptotically

$$g_s \equiv e^{\phi} \sim \left(\frac{5}{h^2 \Lambda^2} \right)^{\frac{1}{4}}, \quad g_s^5 R^4 \sim \frac{1}{\Lambda^2}, \quad (A')^2 \sim k e^{-2A} + \frac{1}{4R^2}$$

BUT: this branch exists only if $\Lambda \neq 0$

Thank You

Some References

❖ Orientifolds:

- ❖ C. Angelantonj and AS, "Open strings," Phys. Rept. **371** (2002) 1

❖ Brane SUSY Breaking:

- ❖ S. Sugimoto, Progr. Theor. Phys. **102** (1999) 685; I. Antoniadis, E. Dudas and AS, Phys. Lett. **B464** (1999) 38;
- ❖ A. Angelantonj, Nucl. Phys. **B566** (2000) 126; G. Aldazabal and A.M. Uranga, JHEP **9910** (1999) 024
- ❖ E. Dudas and J. Mourad, Phys. Lett. **B486** (2000) 172, **B514** (2001) 173
- ❖ G. Pradisi and F. Riccioni, Nucl. Phys. **B615** (2001) 33
- ❖ E. Dudas, J. Mourad and AS, Nucl. Phys. **B620** (2002) 109
- ❖ E. Dudas, G. Pradisi, M. Nicolosi and AS, Nucl. Phys. B **708** (2005) 3; N. Kitazawa, Phys. Lett. **B660** (2008) 415
- ❖ J. Mourad and AS, arxiv: 1612.08566, Phys. Lett. **B768** (2017) 92

❖ Application to the Low- l CMB:

- ❖ E. Dudas, N. Kitazawa and AS, Phys. Lett. **B694** (2011) 80 [arXiv:1009.0874 [hep-th]]
- ❖ Dudas, N. Kitazawa, S.P. Patil and AS, JCAP **1205** (2012) 012 [arXiv:1202.6630 [hep-th]]
- ❖ P. Fré, AS and A.S. Sorin, Nucl. Phys. **B877** (2013) 1028 [arXiv:1307.1910 [hep-th]].
- ❖ N. Kitazawa and AS, JCAP **1404** (2014) 017 [arXiv:1402.1418 [hep-th]]
- ❖ A. Gruppuso, N. Kitazawa, N. Mandolesi, P. Natoli and AS, Phys. Dark Univ. **11** (2016) 68 [arXiv:1508.00411 [astro-ph.CO]].